

STRONGER by DEGREES



MEETING AGENDA

June 20, 2013
Northern Kentucky University
Highland Heights, KY



Wednesday, June 19, 2013

- 3:30 p.m. **Northern Kentucky University Information Session and Campus Tour**
George and Ellen Rieveschl Digitorium, Griffin Hall, Northern Kentucky University
- 6 p.m. **Reception hosted by Northern Kentucky University**
James C. and Rachel M. Votruba Student Union, 2nd Floor Lobby, Northern Kentucky University
- 6:45 p.m. **Dinner hosted by Northern Kentucky University**
James C. and Rachel M. Votruba Student Union, Room 104, Northern Kentucky University

Thursday, June 20, 2013

- 8-8:45 a.m. **CPE Executive Committee Meeting**
James C. and Rachel M. Votruba Student Union, Room 302, Northern Kentucky University
- 9 a.m. **CPE Meeting**
George and Ellen Rieveschl Digitorium, Griffin Hall, Northern Kentucky University



Members, Council on Postsecondary Education

Glenn D. Denton, Paducah
Joe E. Ellis, Benton
Dan E. Flanagan, Campbellsville (*vice chair*)
Joe Graviss, Versailles
Terry Holliday, Commissioner of Education (*ex officio, nonvoting*)
Dennis M. Jackson, Paducah
Nancy J. McKenney, Lexington (*faculty member*)
Pam Miller, Lexington (*chair*)
Donna Moore, Lexington
Marcia Milby Ridings, London
Carolyn Ridley, Bowling Green
C.J. Ryan, Lexington (*student member*)
Arnold Taylor, Edgewood
Joseph B. Wise, Louisville
Sherrill B. Zimmerman, Prospect

Robert L. King, CPE President

AGENDA

Council on Postsecondary Education

Thursday, June 20, 2013

9:00 a.m.

George and Ellen Rieveschl Digitarium, Griffin Hall

Northern Kentucky University

Highland Heights, Kentucky

Welcome

Roll Call

Oath of Office

Approval of Minutes 1

Strategic Agenda Focus Area – Student Success

9:15 a.m. – 10:15 a.m. (45 minutes for presentations and 15 minutes for Questions and Answers)

1. Overview and Update on Student Success Objectives and Strategies 5
 - Delivering on Student Success: Dr. Karla Hughes, Provost and Vice President for Academic Affairs, Morehead State University
 - State Student Financial Aid Trends and Challenges: Dr. Carl Rollins, CEO and Executive Director, Kentucky Higher Education Assistance Authority and Student Loan Corporation

Performance Presentations

2. Kentucky Community and Technical College System
10:15 a.m. – 10:45 a.m. (20 minutes for presentation and 10 minutes for Questions and Answers)

BREAK – 10:45 a.m. – 10:55 a.m.

3. Northern Kentucky University
10:55 a.m. – 11:25 a.m. (20 minutes for presentation and 10 minutes for Questions and Answers)

LUNCH BREAK – 11:25 a.m. -12:30 p.m.

Action and Information Items

12:30 p.m. – 2:00 p.m. (presentation of agenda items)

4. CPE President’s Report to the Council
5. Commissioner of Education Report 7
6. ACTION: Resolution for Continued Support of Senate Bill 1 11
7. ACTION: 2013-14 Tuition and Mandatory Fee Recommendations
 - Eastern Kentucky University 13
 - Kentucky Community and Technical College System 19
 - Kentucky State University 23
 - Morehead State University 27
 - Murray State University 31
 - Northern Kentucky University 35
 - University of Kentucky 41
 - University of Louisville 47
 - Western Kentucky University 53

CPE meeting materials are available on the Council website at <http://cpe.ky.gov/about/cpe/meetings/>.

8. ACTION: Kentucky Tuition Reciprocity Agreements	59
9. ACTION: Rural Access Work Group Report	93
10. ACTION: Regulation Amendment 13 KAR 1:020 Private College Licensing	97
11. ACTION: New Academic Programs – Bachelor of Science in Community Health and Bachelor of Science in Business in Logistics and Supply Chain Management, Murray State University; Master of Science in Health Science, Northern Kentucky University; and Master of Arts in Teaching English as a Second Language, University of Kentucky	147
12. ACTION: 2013-14 CPE Work Plan	149
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15. Committee on Equal Opportunities Report	173
16. Executive Committee Report - President Evaluation	
Reports from the Institutions	177

Resolutions

Other Business

Next Meeting and Governor’s Conference on Postsecondary Education Trusteeship, September 12-13, 2013, Downtown Marriott, Louisville, KY

Adjournment

* A lunch break will be taken midway through the meeting (lunch provided for CPE members only). Times listed are estimates.

MINUTES
Council on Postsecondary Education
April 18, 2013

The Council on Postsecondary Education met Thursday, April 18, 2013, at 9 a.m., CT, at Lindsey Wilson College in Columbia, Kentucky. Chair Pam Miller presided.

WELCOME

Ms. Miller welcomed everyone to the meeting.

President Luckey welcomed everyone to Lindsey Wilson College.

ROLL CALL

The following members were present: Glenn Denton, Dan Flanagan, Joe Graviss, Dennis Jackson, Nancy McKenney, Pam Miller, Donna Moore, Marcia Ridings, Carolyn Ridley, Arnold Taylor, Joe Wise, and Sherrill Zimmerman. Joe Ellis, Terry Holliday, and CJ Ryan did not attend.

RESOLUTIONS

The Council presented resolutions honoring Mr. Kennedy Helm, Ms. Lisa Osborne, and Ms. Angel Johnson for their service to the Council.

MOTION: Mr. Flanagan moved that the Council approve the resolutions of service for Mr. Helm, Ms. Osborne, and Ms. Johnson. Mr. Jackson seconded the motion.

VOTE: The motion passed.

APPROVAL OF MINUTES

The minutes of the February 7 retreat and the February 8 meeting were approved as distributed.

**STRATEGIC AGENDA
FOCUS AREA –
COLLEGE READINESS**

Dr. Aaron Thompson, CPE's senior vice president for academic affairs; Dr. John DeAtley, CPE's director of P-20 and college readiness; Dr. Sue Cain, CPE's coordinator for college readiness; and Dr. Jacqueline Korengel, Kentucky Adult Education's director of strategic initiatives, provided an overview and update on the college readiness objectives and strategies.

**PERFORMANCE
PRESENTATIONS –
WESTERN KENTUCKY
UNIVERSITY AND
ASSOCIATION OF
INDEPENDENT
KENTUCKY COLLEGES
AND UNIVERSITIES**

Dr. Gary Ransdell, WKU's president, provided the Council with an update on Western Kentucky University's progress toward achieving its performance goals as set forth in the state's Strategic Agenda.

Dr. Gary Cox, AIKCU's president, provided the Council with an update on AIKCU's progress toward achieving its performance goals as set forth in the state's Strategic Agenda.

CPE PRESIDENT'S
REPORT TO THE
COUNCIL

A written report from Mr. King was distributed. Highlights of his report included an update on the Rural Access Work Group; Transfer Madness; budget development process; Early Graduation Bill; KYOTE writing placement exam; Kentucky Student Success Summit; GEAR UP Kentucky; a Statewide Webinar on Drug-Free Schools and Campus Regulations (DFSCR) hosted by CPE; and CPE Staff Highlights.

COMMISSIONER OF
EDUCATION REPORT

Commissioner Holliday was not available to attend the April 18 meeting but did provide a written report included in the agenda book.

2013-14 TUITION AND
MANDATORY FEE
RECOMMENDATION

Dr. Bill Payne, CPE's assistant vice president for finance, presented the recommendation that the Council approve a 2013-14 resident, undergraduate tuition and mandatory fee ceiling that equates to a maximum base rate increase of no more than 3 percent for KCTCS institutions, the comprehensive universities, and the research universities.

It was also recommended that the Council maintain the current floor for nonresident, undergraduate tuition and mandatory fees of two times the resident, undergraduate rate.

Finally, it was recommended that the public universities be allowed to submit for Council approval market competitive resident and nonresident tuition and mandatory fee rates for graduate and online courses.

Comments were made by both President Gary Ransdell, Western Kentucky University, and President Wayne Andrews, Morehead State University, on the institutions' need for additional funding and the need for increased state support. The presidents stated the importance of the additional funding in order for the institutions to meet the needs of their students and to be able to support institutional missions. Both presidents expressed the need for increased interaction between the institutional presidents and the Council on the tuition decision making process.

MOTION: Mr. Flanagan moved that the proposed 2013-14 tuition and mandatory fee recommendation be approved. Ms. Moore seconded the motion.

ROLL CALL VOTE: The following Council members voted in favor of the recommendation: Glenn Denton, Dan Flanagan, Joe Graviss, Dennis Jackson, Pam Miller, Donna Moore, Marcia Ridings, Arnold Taylor, Joe Wise, and Sherrill Zimmerman. The following Council member voted against the recommendation: Nancy McKenney. Carolyn Ridley abstained.

The motion passed.

KENTUCKY/INDIANA
TUITION RECIPROCITY
AGREEMENT

Mr. Shaun McKiernan, CPE's senior associate for budget, policy, and planning, presented the staff recommendation that the Council approve the tuition reciprocity agreement with Indiana.

MOTION: Ms. Moore moved that the Council approve the tuition reciprocity agreement with Indiana. Mr. Flanagan seconded the motion.

VOTE: The motion passed.

UNIVERSITY OF
KENTUCKY
UPGRADE/RELOCATE
PEDIATRIC CRITICAL
CARE

Mr. Sherron Jackson, CPE's senior policy advisor, presented the staff recommendation that the Council approve the request of the University of Kentucky to upgrade/relocate Pediatric Critical Care (UK HealthCare) with \$6,000,000 of private funds.

MOTION: Mr. Graviss moved that the Council approve the request of the University of Kentucky to upgrade/relocate Pediatric Critical Care (UK HealthCare) with \$6,000,000 of private funds. Mr. Flanagan seconded the motion.

VOTE: The motion passed.

UNIVERSITY OF
LOUISVILLE
PAPA JOHN CARDINAL
STADIUM SOUND
SYSTEM

Mr. Jackson presented the staff recommendation that the Council approve the request of the University of Louisville to replace the Papa John Cardinal Stadium Sound System with \$3,000,000 of private and Athletic Association funds.

MOTION: Ms. Zimmerman moved that the Council approve the request of the University of Louisville to replace the Papa John Cardinal Stadium Sound System with \$3,000,000 of private and Athletic Association funds. Ms. Ridley seconded the motion.

VOTE: The motion passed.

NEW ACADEMIC
PROGRAMS
UNIVERSITY OF
KENTUCKY AND
UNIVERSITY OF
LOUISVILLE

Dr. Thompson presented the staff recommendation that the Council approve the B.A. in Environmental and Sustainability Studies at the University of Kentucky, the M.A. in Arts Administration at the University of Kentucky, and the B.A. in Asian Studies at the University of Louisville.

MOTION: Mr. Flanagan moved that the Council approve the B.A. in Environmental and Sustainability Studies at the University of Kentucky, the M.A. in Arts Administration at the University of Kentucky, and the B.A. in Asian Studies at the University of Louisville. Ms. Moore seconded the motion.

VOTE: The motion passed.

CLINICAL TEACHER
PREPARATION MODEL
PROGRAMS

Dr. DeAtley presented the staff recommendation that the Council approve the recommendation of the review committee to fund clinical preparation sites with Eastern Kentucky University (in partnership with Corbin Independent Schools), the University of Louisville (in partnership with Jefferson County Public Schools), and Western Kentucky University (in partnership with Bowling Green Independent Schools and Simpson County Public Schools). These three sites will each receive \$500,000 from the Council's Senate Bill 1 (2009) FY 2013-14 appropriation, for a total investment of \$1,500,000.

MOTION: Mr. Flanagan moved that the Council approve the recommendation of the review committee to fund clinical preparation sites with Eastern Kentucky University (in partnership with Corbin Independent Schools), the University of Louisville (in partnership with Jefferson County Public Schools), and Western Kentucky University (in partnership with Bowling Green Independent Schools and Simpson County Public Schools). Mr. Taylor seconded the motion. Ms. Ridley abstained.

VOTE: The motion passed.

2013 LEGISLATIVE
SESSION SUMMARY
UPDATE

Mr. Ron Carson, CPE's senior fellow and legislative liaison, provided a report on actions related to postsecondary education resulting from the 2013 legislative session.

COMMITTEE ON
EQUAL OPPORTUNITIES
UPDATE

Dr. Rana Johnson, CPE's chief diversity officer, provided a report from the Committee on Equal Opportunities. The report included an update on the 2013 Diversity Plan Assessment Reports; the Conclusion of Diversity Plan Assessment; the Governor's Minority Student College Preparation Program; and the SREB Doctoral Scholars Program.

REPORTS FROM
INSTITUTIONS

Ms. Miller called attention to the reports from the institutions included in the agenda book.

OTHER BUSINESS

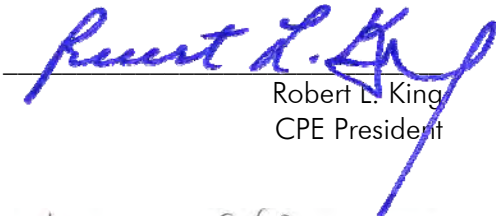
Ms. Miller appointed Dennis Jackson to serve as chair and Arnold Taylor to serve as a member of the Committee on Equal Opportunities.

NEXT MEETING

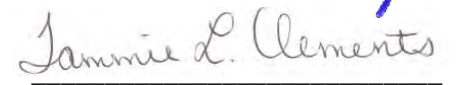
The next Council meeting is at Kentucky State University in Frankfort, Kentucky, June 19-20, 2013.

ADJOURNMENT

The meeting adjourned at 2:05 p.m., CT.



Robert L. King
CPE President



Tammie L. Clements
Associate, Executive Relations

**Council on Postsecondary Education
June 20, 2013**

**Overview and Update on
Student Success Objectives and Strategies**

In February 2011, the Council on Postsecondary Education approved “Stronger by Degrees: A Strategic Agenda for Kentucky Postsecondary and Adult Education” for 2011-15. Over the course of 2011 and 2012, Council staff has presented updates at each Council meeting on efforts to implement the objectives of one of the four focus areas of the agenda.

This meeting will be the third to present progress made toward the student success focus area. The agenda advances two main policy objectives to guide the postsecondary system’s work in this area.

- Increase high-quality degree production and completion rates at all levels and close achievement gaps, particularly for low-income, underprepared, and underrepresented minority students.
- Decrease financial barriers to college access and completion.

The update will highlight progress on strategies to increase student success and academic productivity in the areas of college readiness and access, student and academic support, and adequate postsecondary funding. These strategies will ensure that more Kentuckians complete college with the skills and abilities to be productive and engaged citizens and can meet the state’s current and future workforce needs. Dr. Carl Rollins, CEO and Executive Director of the Kentucky Higher Education Assistance Authority and Student Loan Corporation, will provide information about state student financial aid trends and challenges.

**Commissioner Terry Holliday's Report
for the
June 20, 2013 Council on Postsecondary Education Meeting**

Update on ACT Online End-of-Course Testing

In 2012, 34% or 59,755 students were successfully tested with the ACT online system. Because of the immediate results and other changes to the end-of-course (EOC) assessments, there was an increased interest in the online testing in 2013. 65% of schools were planning to use online testing in 2013. Over 10,000 students successfully tested throughout the 2012-13 school year prior to April 29.

The problem arose when from April 29 to May 3, ACT ran into significant capacity issues while trying to handle online testing from high schools in Alabama, Ohio, and Kentucky. ACT informed the Kentucky Department of Education (KDE) on May 3 that they were shutting down the online system to make repairs. KDE decided to require all schools to move to a paper version of the test.

The impact of this situation is as follows. Some schools were allowed to complete their tests using the repaired online system starting on May 8. These schools either had partially completed tests in the online system, severe scheduling issues or problems with delivery of paper tests. Approximately 2,000 students in 30 schools are known to have had interrupted online test sessions, but were able to complete the tests. Schools converting from online tests to paper administration experienced challenges rescheduling. Local grading policies were reviewed for impact. Some schools revised policies or delayed grade reports. Approximately 100% of high school students completed ACT Quality Core tests in the online system or with paper.

Relative to accountability, KDE, HumRRO and ACT psychometricians will evaluate the impact of the testing problems on scores for individual students and schools. KDE will make appropriate adjustments to the accountability scores for the affected schools and will contact district staff as this process evolves.

Decisions will have to be made in the future on the contractual impact for the 2013 testing program and the contractual impact for the 2013-14 school year.

EPSB in Collaboration with KDE and CPE Applying for CCSSO Grant

In 2012, a Council of Chief State School Officers (CCSSO) chiefs task force, led by former President Tom Luna of Idaho and Vice-Chair Terry Holliday, released a set of recommendations and action steps for states to use to transform educator preparation and entry into the profession. CCSSO was recently awarded funding to select 8-10 states that are willing to pilot the implementation of all ten of the recommendations contained in the report as well as work on policy issues that require collective state action. This pilot will be conducted over a minimum of two years and there will be financial support (in the form of sub-grants).

The Education Professional Standards Board, in collaboration with the Kentucky Department of Education and Council on Postsecondary Education, is preparing an application for this comprehensive effort to transform educator preparation and entry into the profession. The completed applications are due on Friday, June 28th, at 5:00 p.m. EDT.

Good News on Graduation Rate; Challenges Ahead

Education Week released its annual “Diplomas Count” study this week. The Diplomas Count project is an effort by the Editorial Projects in Education that publishes *Education Week* and is an ongoing study of high school graduation issues.

The report includes national and state level data and can be accessed through the following link: <http://www.edweek.org/ew/dc/index.html?intc=thed>. There was some good news for Kentucky in the report and also some continuing concerns that our state must address.

The good news is that the Kentucky graduation rate for the Class of 2010 (the most recent data available for this report) was 77.2 percent -- better than the national average of 74.7 percent. Of particular importance was the fact that Kentucky ranked 3rd in the nation for most improvement in the graduation rate since 2000. Kentucky improved 13.5 percentage points compared to a national improvement of 7.9 points.

Kentucky legislators, who have often been concerned that we were over-estimating the graduation rate since we were unable to move to the cohort graduation rate until the Class of 2013, should find solace in the “Diplomas Count” report. According to the numbers Kentucky’s state-reported graduation rate is the same as the graduation rate calculated for this report.

While there is much to be proud of in Kentucky’s efforts toward improving high school graduation rates, there remain several areas that we need to work on to help more students graduate from high school. According to “Diplomas Count,” Kentucky had 53,524 students enter 9th grade in 2009-10. However, only 42,067 students were estimated to graduate four years later (2012-13). That means 11,457 students did not graduate within four years. This translates to 64 students dropping out of Kentucky high schools every day.

Approval Given to Next-Generation Science Standards by KBE

At its June 5, 2013 regular meeting, the Kentucky Board of Education unanimously approved the Next-Generation Science Standards. These standards have been under development for two years and meet the requirements for new standards mandated by Senate Bill 1 (2009). They provide for deeper understanding of content and application.

Two drafts were released for public comment, one in May 2012 and the other in January 2013. The final release of the science standards occurred in April 2013.

Kentucky was one of 26 states that partnered in developing the Next-Generation Science Standards as part of a collaborative, state-led process. About 40 Kentuckians including P-12 science teachers, state science and policy staff, higher education faculty, scientists and engineers were involved.

At the June 5 meeting during the public comment segment, representatives of Kentucky's Paleontological Society, Kentuckians for Science Education, Climate Parents, science educators and parents expressed their support of the new standards and urged the board to approve them. Sheila Anderson, parent and grandparent, presented the board with a petition with over 3,600 signatures in support of the Next-Generation Science Standards.

The science standards will now go through a public hearing process and then on to the legislative committee review process. Leadership networks will be launched in September 2013 to implement the new standards with the actual assessment of the science standards beginning in the 2014-15 school year.

Board Approves Four Districts of Innovation

As part of the June meeting, four school districts were approved as Districts of Innovation out of the 16 that applied. Through their applications, the districts are seeking waivers from specific regulations that may stand in the way of innovative practices and programs to increase student achievement and college/career-readiness for all students. Not all of the waivers requested by the four districts were approved.

The districts designated as Districts of Innovation were: Danville Independent, Eminence Independent, Jefferson County and Taylor County.

The next step is for the districts to sign a memorandum of understanding with the Kentucky Department of Education to lay out what the department's and the district's responsibilities are during the five-year designation period. Progress will be reviewed annually.

2013 Tell Kentucky Survey Results Publically Released

Ann Maddock, Senior Advisor at the New Teacher Center, shared the results of the 2013 TELL Kentucky Survey with the Kentucky Board of Education. It is a survey of working conditions with the goal of using the data to improve student learning. The full results can be found at: <http://www.tellkentucky.org/>.

Highlights of Maddock's comments about the survey results were:

- The survey was administered March 4-29 with educators being able to access it 24 hours a day.
- The same questions were used as in 2011, plus one additional question on whether the 2011 TELL results were used as a tool for school improvement.
- More educators took the survey (86.7% in 2013; 80.3% in 2011).

- Educators reported (a) more agreement in the areas of Instructional Practices and Supports, School Leadership and School Councils; (b) more teacher engagement in decision making processes and (c) the highest increase in agreement with items related to Time, although it was the lowest area on the survey.
- Only two questions declined in agreement from 2011 to 2013 and both are related to technology.
- Improvements in key areas assessed by the TELL Survey validate efforts made by state leaders to use the data since 2011. These areas include: Time, Instructional Practices and Support, Community Engagement and Support, Managing Student Conduct and Leadership.

Next Kentucky Board of Education Meeting: August 7, 2013 Retreat Meeting and August 8, 2013 Regular Meeting

**Council on Postsecondary Education
June 20, 2013**

Resolution for Continued Support of Senate Bill 1

ACTION: The Council staff recommends approval of the resolution continuing support for the Kentucky Core Academic Standards and the collaborative work of Senate Bill 1.

Kentucky's participation in the common core standards initiative ensures that the tenets of Senate Bill 1 (passed in 2009 and codified as KRS 158:6451) are met. The Common Core State Standards Initiative is a state-led effort coordinated by the National Governors Association Center for Best Practices (NGA Center) and the Council of Chief State School Officers (CCSSO).

Governors and state commissioners of education from 46 states and territories have committed to developing a common core of state standards in English/language arts and mathematics for grades K-12 that are aligned to college expectations. This resolution reaffirms the Council's continued support for the common core state standards, known in Kentucky as the Kentucky Core Academic Standards, and the collaborative work with the Kentucky Department of Education and the Education Professional Standards Board to support Senate Bill 1 efforts aligning standards, assessments, and accountability systems.

These standards are aligned with college and work expectations, include rigorous content and application of knowledge through high-order skills, build upon strengths and lessons of current state standards, are internationally-benchmarked, and are evidence and/or research-based.

The three boards recommended approval of a joint resolution supporting this work at a joint meeting held in February 2010. Since that time, the staffs of these organizations have worked together to implement the standards in Kentucky's P-12, postsecondary, and adult education systems. Kentucky is recognized as a national leader in the implementation of common core standards and in the work to support college readiness.

The approval of the resolution will reaffirm the commitment of the Council to integrate the Kentucky Core Academic Standards into their work and processes to ensure that all Kentucky students experience a successful and productive future.

Resolution in Support of Senate Bill 1 (2009)
by the
Kentucky Council on Postsecondary Education

- Whereas,** Education is the cornerstone of a strong economy and student success brings fundamental improvement to the life of all Kentucky citizens; and
- Whereas,** The Kentucky Council on Postsecondary Education is committed to ensuring postsecondary opportunities are available to citizens across the Commonwealth; and
- Whereas,** Kentucky's higher education system has a clear and significant responsibility to work with P-12 education to ensure that students benefit from high-quality teachers, engaged and progressive school leaders, and new college readiness strategies; and
- Whereas,** Kentucky's colleges and universities are responding to the needs of Kentucky's P-12 and adult education systems through expanded outreach and collaboration, increased education research, and innovative methods for preparing and supporting teachers and school leaders; and
- Whereas,** During the 2009 legislative session, the General Assembly, the Governor, the Council on Postsecondary Education, the Department of Education, the Education Professional Standards Board, and other education partners collaborated in support of Senate Bill 1, landmark legislation calling for higher education standards and unprecedented levels of cooperation among all education providers; and
- Whereas,** In February 2010, the Council on Postsecondary Education, the Kentucky Department of Education, and the Education Professional Standards Board jointly adopted the Kentucky Core Academic Standards, which call for rigorous preparation, are internationally benchmarked, and focus on criteria for college and career success;

NOW, THEREFORE, be it resolved that the Kentucky Council on Postsecondary Education declares its continued support of Senate Bill 1 and the Kentucky Core Academic Standards and their vital role in ensuring the college and career readiness of students.

Done in the city of Highland Heights, Kentucky, this 20th day of June, in the year Two Thousand and Thirteen.

Pam Miller, Chair
Kentucky Council on Postsecondary Education

Robert L. King, President
Kentucky Council on Postsecondary Education

**Council on Postsecondary Education
June 20, 2013**

**2013-14 Tuition and Mandatory Fee Recommendation
Eastern Kentucky University**

On May 10, 2013, Eastern Kentucky University submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a comprehensive university approved by the Council at the April 18 meeting, and that the requested exception to the nonresident rate floor is in line with those approved by the Council last year. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at EKU during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates and the requested exception to the nonresident rate floor for Eastern Kentucky University.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for the comprehensive universities and voted to allow the institutions to submit for Council approval market competitive rates for graduate and online courses. As can be seen in the table below, the proposal that Eastern Kentucky University submitted to the Council on May 10 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current 2012-13 Tuition & Fees</u>	<u>Proposed 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident ¹	\$7,320	\$7,536	\$216	3.0%
Nonresident	\$16,464	\$16,608	\$144	0.9%
Nonresident Multiple	2.3	2.2		
Graduate				
Resident (Per Credit Hour)	\$440 pch	\$455 pch	\$15	3.4%
Nonresident (Per Credit Hour)	\$770 pch	\$780 pch	\$10	1.3%

¹ Annual rate for 12 or more credit hours per semester. Credit hours over 19 permitted with permission.
pch - per credit hour

Attachment A contains additional categories and rates for undergraduate, resident and nonresident part-time students (per credit hour charge); for graduate, resident and nonresident part-time students (per credit hour charge); for undergraduate and graduate students enrolled in online justice and safety programs and other online programs (per credit hour charge); for graduate students in the Education, Nursing Practice, and Occupational Therapy programs; and for undergraduate, nonresident students from targeted areas. The Council staff recommends approval of rates in these additional categories as proposed by the university.

Estimated Tuition Revenue

EKU officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$131.4 million in gross tuition and fee revenue, an increase of about \$4.2 million or 3.3 percent over the previous year (see Attachment B). The increase can be attributed to the growth in online programs. The online programs are run as self-supporting programs with only a portion of the revenue reverting back to the general fund. Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$3.4 million in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On April 24, 2013, Council staff provided Kentucky's public postsecondary institutions with templates for submitting their respective tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, institutions were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

Eastern Kentucky University is requesting an exception to the nonresident rate floor for its undergraduate, nonresident targeted areas rate. The proposed 2013-14 targeted areas rate is \$12,984, or 1.72 times the resident rate. Attachment C contains a brief description of this rate category, as well as the rationale for ECU's exception request. The Council staff recommends approval of this nonresident rate as proposed by the university.

**Proposed 2013-14 Tuition and Mandatory Fee Charges
Eastern Kentucky University**

<i>Category</i>	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014
<i>Undergraduate</i>				
Resident				
Full-time (12 credit hours and above)	\$ 3,768	\$ 3,768	\$ 7,536	
Per Credit Hour	314	314		\$ 314
Nonresident				
Full-time (12 credit hours and above)	8,304	8,304	16,608	
Per Credit Hour	692	692		692
Nonresident - Targeted Areas				
Full-time	6,492	6,492	12,984	
Per Credit Hour	541	541		541
Online Courses (per credit hour)	395	395		395
Online Programs				
General Education	395	395		395
Paralegal	395	395		395
Psychology	395	395		395
Corrections and Juvenile Justice	395	395		395
Police Studies	395	395		395
Fire Protection Administration	395	395		395
Fire Protection and Safety Engineering Technology	395	395		395
Homeland Security	395	395		395
Occupational Safety	395	395		395
Other	395	395		395
<i>Graduate - Master's</i>				
Resident				
Per Credit Hour	455	455		455
Nonresident				
Per Credit Hour	780	780		780
Online Courses (per credit hour)	465	465		465
Online Programs (per credit hour)				
Safety, Security, and Emergency Management	590	590		590
Adult, Juvenile, and Community Correction Leadership	590	590		590
Library Science	490	490		490
Elementary Education	490	490		490
Instructional Leadership	490	490		490
Special Education	490	490		490
Family Nurse Practitioner	590	590		590
Public Health Nursing	590	590		590
Psychiatric Mental Health Nurse Practitioner	590	590		590
Other	590	590		590
<i>Graduate - Doctorate (per credit hour)</i>				
Doctorate in Education	495	495		495
Doctorate in Nursing Practice	610	610		610
Doctorate in Occupational Therapy	610	610		610
<i>EKU Now (High School)</i>				
Per Credit Hour	65	65		65

ATTACHMENT B

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue
Eastern Kentucky University

<i>Category</i>	Estimated 2012-13	Estimated 2013-14
<i>Undergraduate</i> ⁽¹⁾		
Resident	\$ 75,647,719	\$ 75,982,651
Nonresident	14,756,706	11,482,266
Targeted	7,877,880	9,789,304
Online	10,068,879	14,423,263
 <i>Graduate - Master's</i> ⁽¹⁾		
Resident	9,544,205	8,462,236
Nonresident	2,325,024	2,475,595
Online	6,665,257	7,947,336
 <i>Graduate - Doctorate</i>		
Resident	-	
Nonresident	-	
Residency Unspecified	265,500	835,759
 <i>Total</i>	\$ 127,151,170	\$ 131,398,410

⁽¹⁾ Numbers include revenue generated from online programs. A revenue sharing agreement exists and a portion of the net profit is returned to the university.

Eastern Kentucky University

UNDERGRADUATE NON-RESIDENT RATE Exception Request (Continuation)

Eastern Kentucky University has traditionally offered two types of undergraduate non-resident rates: non-resident and targeted. For the 2013-14 academic year, the rates are proposed as follows:

	<i>Semester</i>	<i>Multiplier</i>
<i>Non Resident Targeted</i>	<i>\$6,492</i>	<i>1.72</i>
<i>Non Resident</i>	<i>\$8,304</i>	<i>2.20</i>

*The non-resident rate is well in line with the current acceptable multiplier of 2.0. EKU requests an exception to the undergraduate nonresident rate multiplier of 2.0 for the **non-resident targeted area**. For a student to qualify for this non-resident targeted tuition, students must meet the criteria for full admission with a minimum of 2.0 GPA on a 4.0 scale (this includes transfer students) and meet at least one of the following three criteria:*

1. Be the child of an EKU graduate who received an associates, bachelors, or masters degree.
2. Be any high ability student who has a cumulative GPA of 3.0 or higher on a 4.0 scale, and a composite ACT score of 25 or higher, with no sub-score below 18 in English, Math, or Reading.
3. Be a continuing student and live in a designated/targeted county in a state bordering Kentucky.

In fall 2012, there were 880 students that received the non-resident targeted rate.

	# of Students
<i>New Freshman</i>	<i>0</i>
<i>New Transfer</i>	<i>0</i>
<i>Continuing</i>	<i>877</i>
<i>Returning/Readmit</i>	<i>3</i>
<i>TOTAL TARGETED</i>	<i>880</i>

**Council on Postsecondary Education
June 20, 2013**

**2013-14 Tuition and Mandatory Fee Recommendation
Kentucky Community and Technical College System**

On April 25, 2013, the Kentucky Community and Technical College System (KCTCS) submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a community or technical college approved by the Council at its April 18 meeting. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at KCTCS during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates for the Kentucky Community and Technical College System.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for KCTCS institutions. As can be seen in the table below, the proposal that KCTCS submitted to the Council on April 25 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current 2012-13 Tuition & Fees</u>	<u>Proposed 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Resident				
Per Credit Hour	\$140	\$144	\$4	2.9%
Nonresident - Contiguous Counties				
Per Credit Hour	\$280	\$288	\$8	2.9%
Nonresident Multiple	2.0	2.0		
Nonresident - Other				
Per Credit Hour	\$490	\$504	\$14	2.9%
Nonresident Multiple	3.5	3.5		

Attachment A contains resident, nonresident - contiguous counties, and nonresident - other per credit hour rates for KCTCS, and shows total semester and annual billable charges for students enrolled at various levels of credit hours taken (e.g., 3 hours, 6 hours, 9 hours). The Council staff recommends approval of these rates as proposed by KCTCS.

Estimated Tuition Revenue

KCTCS officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time/part-time status) will generate about \$234.9 million in gross tuition and fee revenue, an increase of about \$6.5 million or 2.9 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$12.1 million in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On April 24, 2013, Council staff provided Kentucky's public postsecondary institutions with templates for submitting their respective tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, institutions were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

Both of KCTCS's nonresident - contiguous county and nonresident - other rates comply with the Council's floor for nonresident rates, exhibiting multiples of 2.0 and 3.5 times the resident rate, respectively. The Council staff recommends approval of these rates as proposed by KCTCS.

**Proposed 2013-14 Tuition and Mandatory Fee Charges
Kentucky Community and Technical College System**

<i>Category</i>	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014
<i>Resident</i>				
Per Credit Hour	\$144	\$144		\$144
Charge for Students Enrolled with 3 Credit Hours	432	432	864	
Charge for Students Enrolled with 6 Credit Hours	864	864	1,728	
Charge for Students Enrolled with 9 Credit Hours	1,296	1,296	2,592	
Charge for Students Enrolled with 12 Credit Hours	1,728	1,728	3,456	
Charge for Students Enrolled with 15 Credit Hours	2,160	2,160	4,320	
<i>Nonresident - Contiguous Counties</i>				
Per Credit Hour	288	288		288
Charge for Students Enrolled with 3 Credit Hours	864	864	1,728	
Charge for Students Enrolled with 6 Credit Hours	1,728	1,728	3,456	
Charge for Students Enrolled with 9 Credit Hours	2,592	2,592	5,184	
Charge for Students Enrolled with 12 Credit Hours	3,456	3,456	6,912	
Charge for Students Enrolled with 15 Credit Hours	4,320	4,320	8,640	
<i>Nonresident - Other</i>				
Per Credit Hour	504	504		504
Charge for Students Enrolled with 3 Credit Hours	1,512	1,512	3,024	
Charge for Students Enrolled with 6 Credit Hours	3,024	3,024	6,048	
Charge for Students Enrolled with 9 Credit Hours	4,536	4,536	9,072	
Charge for Students Enrolled with 12 Credit Hours	6,048	6,048	12,096	
Charge for Students Enrolled with 15 Credit Hours	7,560	7,560	15,120	

ATTACHMENT B

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue
Kentucky Community and Technical College System

<i>Category</i>	Estimated 2012-13	Estimated 2013-14
<i>Undergraduate</i>		
Resident	\$ 151,922,500	\$ 156,263,100
Nonresident	3,822,300	3,931,500
Online	72,661,300	74,737,300
 <i>Total</i>	\$ 228,406,100	\$ 234,931,900

**Council on Postsecondary Education
June 20, 2013**

**2013-14 Tuition and Mandatory Fee Recommendation
Kentucky State University**

On May 9, 2013, Kentucky State University submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a comprehensive university approved by the Council at its April 18 meeting. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at KSU during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates for Kentucky State University.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for the comprehensive universities and voted to allow the institutions to submit for Council approval market competitive rates for graduate and online courses. As can be seen in the table below, the proposal that Kentucky State University submitted to the Council on May 9 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current 2012-13 Tuition & Fees</u>	<u>Proposed ¹ 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate ¹				
Resident	\$254 pch	\$261.50 pch	\$7.50	2.95%
Nonresident	\$610 pch	\$628 pch	\$18	2.95%
Nonresident Multiple	2.4	2.4		
Graduate				
Resident	\$387 pch	\$398 pch	\$11	2.84%
Nonresident	\$582 pch	\$599 pch	\$17	2.92%

¹ All full-time, undergraduate students will be assessed the listed per credit hour rate for 12 credit hours. Hours enrolled beyond 12 credit hours will be assessed at 50 percent of the appropriate per credit hour rate (e.g., \$130.75 per credit hour for resident, undergraduate students in FY14).
pch - per credit hour.

Attachment A contains additional categories and rates, including the full-time charge for undergraduate, resident and nonresident students; the full-time charge for graduate, resident and nonresident students; the discounted per credit hour rate that resident and nonresident, undergraduate students pay for credit hours 13 and above (i.e., the per credit hour rate for credit hours 1-12, discounted by 50 percent); the per credit hour rate for undergraduate and graduate students enrolled in online courses; and the per credit hour rate for undergraduate, nonresident Destiny (targeted states) and nonresident Legacy students. The Council staff recommends approval of rates in these additional categories as proposed by the university.

Estimated Tuition Revenue

KSU officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$21.6 million in gross tuition and fee revenue, a decrease of about \$1.8 million or -7.5 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$912,000 in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On April 24, 2013, Council staff provided Kentucky's public postsecondary institutions with templates for submitting their respective tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, institutions were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

Kentucky State University is not requesting any exceptions to the nonresident, undergraduate rate floor established by the Council. The university's proposed 2013-14 full-time, nonresident rate for undergraduate students is \$628 per credit hour, which is 2.4 times the in-state rate and exceeds the established floor. Furthermore, the university's proposed nonresident Destiny (targeted states) and nonresident Legacy rates are both 2.0 times the in-state rate.

ATTACHMENT A

Proposed 2013-14 Tuition and Mandatory Fee Charges
Kentucky State University

<u>Category</u>	<u>Fall 2013</u>	<u>Spring 2014</u>	<u>Annual 2013-14</u>	<u>Summer 2014</u>
<i>Undergraduate</i>				
Resident				
Full-time (15 credit hours)	\$ 3,530	\$ 3,530	\$ 7,060	
Per Credit Hour	261.50	261.50		261.50
Nonresident				
Full-time (15 credit hours)	8,478	8,478	16,956	
Per Credit Hour	628	628		628
Nonresident-Destiny (Targeted States)				
Full-time	7,060	7,060	14,120	
Per Credit Hour	523	523		523
Nonresident-Legacy				
Full-time	7,060	7,060	14,120	
Per Credit Hour	523	523		523
Online Programs				
Per Credit Hour	370	370		370
<i>Graduate</i>				
Resident				
Full-time	3,582	3,582	7,164	
Per Credit Hour	398	398		398
Nonresident				
Full-time	5,391	5,391	10,782	
Per Credit Hour	599	599		599
Online Programs				
Per Credit Hour	453	453		453

¹ All full-time undergraduate students will be assessed at the appropriate per credit hour rate for 12 credit hours. Hours enrolled beyond 12 credit hours will be assessed at 50 percent of the appropriate per credit hour rate (e.g., \$130.75 per credit hour for resident, undergraduate students).

ATTACHMENT B

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue
Kentucky State University

<i>Category</i>	Estimated 2012-13	Estimated 2013-14
<i>Undergraduate</i>		
Resident	\$ 6,845,292	\$ 6,198,437
Nonresident	\$ 13,826,787	12,512,013
Online	\$ 430,718	1,087,421
<i>Graduate</i>		
Resident	\$ 644,137	742,207
Nonresident	\$ 234,310	367,733
Online	\$ 620,183	140,089
<i>Summer</i>	\$ 789,705	583,000
<i>Total</i>	\$ 23,391,131	\$ 21,630,900

**Council on Postsecondary Education
June 20, 2013**

2013-14 Tuition and Mandatory Fee Recommendation Morehead State University

On May 30, 2013, Morehead State University submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a comprehensive university approved by the Council at its April 18 meeting. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at MoSU during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates for Morehead State University.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for the comprehensive universities and voted to allow the institutions to submit for Council approval market competitive rates for graduate and online courses. As can be seen in the table below, the proposal that Morehead State University submitted to the Council on May 30 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current ¹ 2012-13 Tuition & Fees</u>	<u>Proposed ¹ 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident ²	\$7,152	\$7,366	\$214	3.0%
Nonresident ²	\$17,898	\$18,614	\$716	4.0%
Nonresident Multiple	2.5	2.5		
Graduate				
Resident ³ (per credit hour)	\$409 pch	\$530 pch	\$121	29.6%
Nonresident ³ (per credit hour)	\$1,023 pch	\$530 pch	-\$493	-48.2%

¹ Rates do not include \$5 per credit hour (equivalent of \$66 for full-time per semester enrollment, undergraduate) special use fee approved through the tuition exception policy for a student-approved recreation center fee.

² In 2012-13, MoSU used a per credit hour charge for 1-12 credit hours with a discount rate of 60 percent for credit hours 13 and above. In 2013-14, MoSU proposes transitioning to a flat rate pricing structure, where there will be one price for students taking 12-18 credit hours. Additionally, online rates will be lowered as to be equivalent to traditional undergraduate rates. These changes are projected to be revenue neutral.

³ MoSU proposes implementing one per credit hour rate of \$530 for all graduate courses (resident, nonresident, online). Although the charge for a full-time resident graduate student taking traditional face to face classes will increase by 29.6 percent, the majority of resident graduate students will see an overall decrease in tuition as a result of lower rates for online classes.

Attachment A contains additional categories and rates, including the per credit hour rates paid by resident and nonresident, part-time, undergraduate students. The CPE staff recommends approval of rates in these additional categories as proposed by the university.

Estimated Tuition Revenue

MoSU officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$70.1 million in gross tuition and fee revenue, an increase of about \$1.2 million or 1.8 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$4.5 million in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On May 29, 2013, Council staff provided Morehead State University with templates for submitting their tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, Morehead State University was asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

Morehead State University is not requesting any exceptions to the nonresident, undergraduate rate floor established by the Council. The university's proposed 2013-14 full-time, nonresident rate for undergraduate students is \$18,614 per credit hour, which is 2.5 times the in-state rate and exceeds the established floor.

Proposed 2013-14 Tuition and Mandatory Fee Charges
Morehead State University

<u>Category</u>	<u>Fall 2013</u>	<u>Spring 2014</u>	<u>Annual 2013-14</u>	<u>Summer 2014</u>
<i>Undergraduate</i>				
Resident				
Full-time	\$ 3,683	\$ 3,683	\$ 7,366	
Per Credit Hour	315	315	-	\$ 315
Nonresident				
Full-time	9,307	9,307	18,614	-
Per Credit Hour	795	795	-	795
<i>Graduate</i>				
Resident				
Per Credit Hour	530	530	-	530
Nonresident				
Per Credit Hour	530	530	-	530

Note: Rates do not include \$5 per credit hour (equivalent of \$66 for full-time per semester enrollment, undergraduate) special use fee approved through the tuition exception policy for a student-approved recreation center fee.

ATTACHMENT B

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue
Morehead State University

<i>Category</i>	Estimated 2012-13	Estimated 2013-14
<i>Undergraduate</i>		
Resident	\$ 43,553,948	\$ 42,314,156
Nonresident	13,953,395	16,437,132
<i>Graduate</i>		
Resident	10,763,555	8,846,712
Nonresident	617,128	2,523,712
Total	\$ 68,888,026	\$ 70,121,712

Note: Total estimated revenue for 2013-14 does not include revenue that would be generated from the special use fee approved through the tuition exception policy for a student-approved recreation center fee.

**Council on Postsecondary Education
June 20, 2013**

**2013-14 Tuition and Mandatory Fee Recommendation
Murray State University**

On May 13, 2013, Murray State University submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a comprehensive university approved by the Council at its April 18 meeting. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at MuSU during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates for Murray State University.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for the comprehensive universities and voted to allow the institutions to submit for Council approval market competitive rates for graduate and online courses. As can be seen in the table below, the proposal that Murray State University submitted to the Council on May 13 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current 2012-13 Tuition & Fees</u>	<u>Proposed 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$6,840	\$7,044	\$204	3.0%
Nonresident	\$18,600	\$19,164	\$564	3.0%
Nonresident Multiple	2.7	2.7		
Graduate ¹				
Resident	\$433 pch	\$446 pch	\$13	3.0%
Nonresident	\$1,219 pch	\$1,256 pch	\$37	3.0%

¹ Murray State is requesting authorization to completely uncap its graduate tuition rate from its present full-time total of 12 SCHs which would be equal to \$5,352 per semester or \$10,704 annually for residents and \$15,072 per semester or \$30,144 annually for nonresidents.

Attachment A contains additional categories and rates for undergraduate, resident and nonresident part-time students (per-credit-hour rate); graduate, resident and nonresident part-time students (per-credit-hour rate); Doctor of Nursing Practice; and undergraduate and graduate WEB courses. The Council staff recommends approval of rates in these additional categories as proposed by the university.

Estimated Tuition Revenue

MuSU officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$106.4 million in gross tuition and fee revenue, an increase of about \$5.7 million or 5.6 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$2.6 million in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On April 24, 2013, Council staff provided Kentucky's public postsecondary institutions with templates for submitting their respective tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, campus officials were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

Murray State University is not requesting any exceptions to the nonresident rate floor established by the Council. The university's proposed 2013-14 full-time, nonresident, undergraduate tuition and fee charge is \$19,164, which is 2.7 times the resident rate and exceeds the established floor.

Proposed 2013-14 Tuition and Mandatory Fee Charges
Murray State University

<u>Category</u>	<u>Fall 2013</u>	<u>Spring 2014</u>	<u>Annual 2013-14</u>	<u>Summer 2014</u>
<i>Undergraduate</i>				
Resident				
Full-time (12 credit hours and above)	\$ 3,522	\$ 3,522	\$ 7,044	
Per Credit Hour	293.50	293.50		\$ 293.50
(WEB Courses Resident) Per Credit Hour	369.50	369.50		369.50
Nonresident				
Full-time (12 credit hours and above)	9,582	9,582	19,164	
Per Credit Hour	798.50	798.50		798.50
(WEB Courses Resident) Per Credit Hour	369.50	369.50		369.50
<i>Graduate</i>				
Resident				
Full-time	5,352	5,352	10,704	
Per Credit Hour	446	446		446
(WEB Courses Resident) Per Credit Hour	567.50	567.50		567.50
Nonresident				
Full-time	15,072	15,072	30,144	
Per Credit Hour	1,256	1,256		1,256
(WEB Courses Resident) Per Credit Hour	567.50	567.50		567.50
Doctor of Nursing Practice				
Resident (Per Credit Hour)	556	556		556
Nonresident (Per Credit Hour)	813.50	813.50		813.50
(WEB Courses Resident) Per Credit Hour	710.50	710.50		710.50

Murray State is requesting authorization to completely uncap its graduate tuition rate from its present full-time total of 12 SCHs which would be equal to \$5,352 per semester or \$10,704 annually for residents and \$15,072 per semester or \$30,144 annually for nonresidents.

ATTACHMENT B

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue Murray State University

<i>Category</i>	Estimated 2012-13	Estimated 2013-14
<i>Undergraduate</i>		
Resident	\$ 32,133,324	\$ 32,534,358
Nonresident	41,609,180	46,977,528
Online	5,780,172	6,094,210
 <i>Graduate</i>		
Resident	5,437,792	4,541,411
Nonresident	10,110,266	10,465,449
Online	5,629,122	5,743,234
<i>Total</i>	\$ 100,699,856	\$ 106,356,190

**Council on Postsecondary Education
June 20, 2013**

2013-14 Tuition and Mandatory Fee Recommendation Northern Kentucky University

On May 13, 2013, Northern Kentucky University submitted its tuition and mandatory fee increase proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a comprehensive university approved by the Council at the April 18 meeting, and that the requested exceptions to the nonresident rate floor are in line with those approved by the Council last year. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at NKU during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates and requested exceptions to the nonresident rate floor for Northern Kentucky University.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for the comprehensive universities and voted to allow the institutions to submit for Council approval market competitive rates for graduate and online courses. As can be seen in the table below, the proposal that Northern Kentucky University submitted to the Council on May 13 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current 2012-13 Tuition & Fees</u>	<u>Proposed 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$7,872	\$8,088	\$216	2.7%
Nonresident	\$15,744	\$16,176	\$432	2.7%
Nonresident Multiple	2.0	2.0		
Graduate				
Resident	\$452 pch	\$494 pch	\$42	9.3%
Nonresident	\$765 pch	\$765 pch	\$0	0.0%

Note: Tuition and fees shown above do not include Special Use Fees (i.e., \$8 pch in AY13; \$12 pch in AY14 up to and including the 12th credit hour).

Attachment A contains additional categories and rates for undergraduate resident, nonresident, Metro, PACE Program, and online students; graduate resident, nonresident, Ohio/Indiana, and online students; online programs; and business, law, and education doctorate and first professional programs. CPE staff recommends approval of rates in these additional categories as proposed by the university.

Estimated Tuition Revenue

NKU officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$138.5 million in gross tuition and fee revenue (not including \$4 million in estimated revenue generated by NKU's student endorsed fee), an increase of about \$4.7 million or 3.5 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$3.8 million in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident undergraduate tuition and mandatory fees of at least two times the resident undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On April 24, 2013, Council staff provided Kentucky's public postsecondary institutions with templates for submitting their respective tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, institutions were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

In line with what NKU proposed and the Council approved last year (i.e., 2012-13), Northern Kentucky University is requesting exceptions to the nonresident rate floor for their undergraduate Metropolitan rate and their Program for Adult-Centered Education (PACE) rate. The proposed 2013-14 undergraduate Metropolitan rate is \$12,552, or 1.55 times the resident rate. The proposed 2013-14 PACE rate is \$362 per credit hour, or 1.07 times the resident rate. Attachment C contains brief descriptions of these rate categories, as well as the rationale for NKU's exception requests. The CPE staff recommends approval of these nonresident rates as proposed by the university.

Proposed 2013-14 Tuition and Mandatory Fee Charges
Northern Kentucky University

Category-Tuition	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014	Winter 2013
Undergraduate (Note 1)					
Resident					
Full-time (12-16 credit hours)	\$ 4,044	\$ 4,044	\$ 8,088	N/A	N/A
Per Credit Hour	337	337	N/A	\$ 337	\$ 337
Metro					
Full-time (12-16 credit hours)	6,276	6,276	12,552	N/A	N/A
Per Credit Hour	523	523	N/A	523	523
Nonresident					
Full-time (12-16 credit hours)	8,088	8,088	16,176	N/A	N/A
Per Credit Hour	674	674	N/A	674	674
Resident and Nonresident Online					
Per Credit Hour	372	372	N/A	372	372
Resident and Nonresident - PACE Program					
Per Credit Hour	362	362	N/A	362	362
		1.07			
Graduate					
Resident					
Per Credit Hour	494	494	N/A	494	494
Ohio/Indiana (formerly Metro)					
Per Credit Hour	606	606	N/A	606	606
Nonresident					
Per Credit Hour	765	765	N/A	765	765
Nonresident & Resident - Online					
Per Credit Hour	534	534	N/A	534	534
Graduate - Master of Education (Program is only Online)					
	494	494	N/A	494	494
Business Graduate					
Resident					
Per Credit Hour	560	560	N/A	560	560
Ohio/Indiana (formerly Metro)					
Per Credit Hour	670	670	N/A	670	670
Nonresident					
Per Credit Hour	920	920	N/A	920	920
Business MBA - Traditional (formerly Business Graduate)					
Resident					
Per Credit Hour	549	549	N/A	549	549
Ohio/Indiana (formerly Metro)					
Per Credit Hour	625	625	N/A	625	625
Nonresident					
Per Credit Hour	675	675	N/A	675	675
Business MBA - New Program (Note 2) (semester rates)					
Resident	3,360	3,360	N/A	3,360	N/A
Ohio/Indiana (all students in these two states)	3,900	3,900	N/A	3,900	N/A
Nonresident	4,260	4,260	N/A	4,260	N/A
College of Health Professions (Nursing Grad Cohort Programs) (Note 3)					
Doctorate of Nurse Practitioner					
Per Credit Hour	575	575	N/A	575	N/A
Master of Nursing Degrees (Cohort Offsite Rates)					
Per Credit Hour	550	550	N/A	550	N/A
College of Informatics					
	550	550	N/A	550	550

**Proposed 2013-14 Tuition and Mandatory Fee Charges
Northern Kentucky University**

Category-Tuition	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014	Winter 2013
Law (Note 4)					
Resident					
Full-time (13 - 16 credit hours)	8,528	8,528	17,056	N/A	N/A
Per Credit Hour	656	656	N/A	656	656
Nonresident (formerly Metro)					
Full-time (13 - 16 credit hours)	13,793	13,793	27,586	N/A	N/A
Per Credit Hour	1,061	1,061	N/A	1,061	1,061
School Based Scholars (High School Students) (Note 5)	337	337	N/A	337	N/A
Education Doctorate (annual)					
Resident	N/A	N/A	12,064	N/A	N/A
Metro	N/A	N/A	14,615	N/A	N/A
Nonresident	N/A	N/A	21,105	N/A	N/A
Executive Leadership & Organizational Change (ELOC) (Note 6)	N/A	N/A	30,490	N/A	N/A
Category-Mandatory Fee (Special Use Fee)					
Special Use Fee (Campus Recreation Fee) (Note 7)					
Per Credit Hour (maximum charge per semester = \$144)	12	12	N/A	12	12

Note 1: For full-time rates, each credit hour 17 and above is charged the per credit hour rate (\$337 for FY 14).

Note 2: New MBA program requires students to enroll in 6 credit hours each semester

Note 3: All other College of Health master's degrees are at the graduate per-credit-hour rate based on their residency.

Note 4: Chase College of Law students pay the per-credit-hour rate for credit hours 1 through 13 and for hours 17 and above.

Note 5: High school students taking NKU classes at a high school campus, NKU's extended campus in Grant County, or at other community locations are charged this rate per class. The School Based Scholars rate may be lowered, pending outcome of the June 13 meeting between President Mearns and the Northern Kentucky area Superintendents. Any subsequent change will be brought before the next regular meeting of the NKU Board of Regents for approval.

Note 6: Cohort rate for two-year program.

Note 7: All students are charged \$12 per credit hour up to and including the 12th credit hour (maximum per semester is \$144).

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue
Northern Kentucky University

<i>Category - Tuition</i>	Estimated 2012-13	Estimated 2013-14
<i>Undergraduate</i>		
Resident	\$57,082,100	\$59,327,600
Metro	27,271,000	28,056,800
Nonresident	10,698,200	10,978,300
School Based Scholars	433,200	391,600
PACE	2,860,100	2,974,900
Indiana Rate	3,482,600	3,350,300
Ohio Reciprocity	3,209,000	3,458,900
Online	4,313,300	4,411,700
<i>Graduate</i>		
Resident	4,865,700	5,100,100
Metro	2,616,800	2,805,600
Nonresident	665,400	702,900
Online	2,617,300	2,764,400
<i>Business Graduate</i>		
Resident	750,800	786,300
Metro	536,400	558,800
Nonresident	55,400	57,200
ELOC Program	661,700	683,500
<i>First-Professional</i>		
Resident	5,444,400	5,592,500
Metro	5,152,900	5,292,300
Nonresident	548,800	437,300
<i>Doctorate</i>		
Resident	400,000	438,200
Metro	200,000	263,900
Nonresident	0	0
Dr. Online (Dr of Nurse Practitioner)	0	84,600
<i>Total Gross Tuition</i>	\$133,865,100	\$138,517,700
<i>Category - Mandatory Fee</i>		
Special Use Fee (Campus Rec Fee)	2,500,000	4,000,000
Total	\$136,365,100	\$142,517,700

Northern Kentucky University

Rationale for Exception Requests

UNDERGRADUATE METROPOLITAN RATE

Exception Request (Continuation): assess a non-resident rate that is 1.6 times the resident rate for students from the Ohio area market (counties: Adams, Brown, Butler, Champaign, Clark, Clermont, Clinton, Darke, Delaware, Fairfield, Fayette, Franklin, Greene, Highland, Hamilton, Licking, Madison, Miami, Montgomery, Pickaway, Pike, Preble, Ross, Scioto, Union, and Warren.

Average number of students: Fall/Spring 2,249 for 2013-14

NKU requests continuation of the Metro tuition rate to allow NKU to remain competitive in our Ohio market, maintain our financial base, and continue our current proportion of resident to non-resident students. Given our low levels of state funding relative to other comprehensive institutions and our dependence on non-resident tuition dollars for nearly 42% of our overall tuition revenue, NKU cannot afford to jeopardize this source of funding and risk fiscal instability should these students decide to transfer to other institutions in our very competitive regional market. Such a loss would result in a substantial compromise of quality, especially given the high costs of operating in an urban environment. By continuing the Metro rate, our full-time annual Metro tuition rate will be more than \$1,800 more than the University of Cincinnati resident rate. At the recommended rate, Ohio residents from the targeted counties will still pay roughly \$19,000 more for their four-year degree than Kentucky residents. This exception applies to 19% of our overall undergraduate population.

In addition, continuation of the Metro tuition rate will allow NKU to continue to attract and retain students which enhance diversity while progressing towards meeting Northern Kentucky labor needs. NKU recognizes that it will not attain enrollment goals set forth in the CPE's Double the Numbers plan or Northern Kentucky Vision 2015 plan solely on Kentucky residents.

PACE (PROGRAM FOR ADULT-CENTERED EDUCATION) RATE (CONTINUATION)

Exception Request (Continuation): assess resident rates to non-resident students in the PACE program

Average number of students: Fall/Spring average of 70 for 2013-14

NKU wishes to continue offering resident rates to non-resident PACE program participants. Given NKU's location in a tri-state economic region, the PACE program is designed to serve adults who both live and work in Kentucky, live in Kentucky and work in Ohio or Indiana, and live in Ohio or Indiana but work in Kentucky. Since the PACE program is self-supporting, the revenue generated by non-resident students subsidizes the program and helps pay for fixed costs (primarily faculty salaries). Without this revenue, it would not be feasible to offer the program on a self-supporting basis to Kentucky adults. A market analysis of other programs within the region suggests that charging the regular non-resident rate would price the program out of the market. No Kentucky resident has been denied access to the PACE program due to space limitations resulting from non-resident enrollments.

**Council on Postsecondary Education
June 20, 2013**

**2013-14 Tuition and Mandatory Fee Recommendation
University of Kentucky**

On May 20, 2013, the University of Kentucky submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a research university approved by the Council at its April 18 meeting. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at UK during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates for the University of Kentucky.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for the research universities and voted to allow the institutions to submit for Council approval market competitive rates for graduate and online courses. As can be seen in the table below, the proposal that the University of Kentucky submitted to the Council on May 20 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current 2012-13 Tuition & Fees</u>	<u>Proposed 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate ¹				
Resident	\$9,816	\$10,110	\$294	3.0%
Nonresident	\$19,997	\$21,193	\$1,196	6.0%
Nonresident Multiple	2.0	2.1		
Graduate				
Resident	\$10,458	\$10,772	\$314	3.0%
Nonresident	\$21,546	\$22,838	\$1,292	6.0%

¹ Average of upper- and lower-division rates.

Attachment A contains additional categories and rates for undergraduate, resident and nonresident part-time students (per credit hour charge); for graduate, resident and nonresident part-time students (per credit hour charge); for master's degree programs in business administration, diplomacy and international commerce, physician assistant studies, radiological medical physics, and health physics; and for graduate programs in law, medicine, dentistry, pharmacy, professional doctoral, MBA, and doctorate of physical therapy. The Council staff recommends approval of rates in these additional categories as proposed by the university.

Estimated Tuition Revenue

UK officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$354.7 million in gross tuition and fee revenue, an increase of about \$21.4 million or 6.4 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$16.8 million in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On April 24, 2013, Council staff provided Kentucky's public postsecondary institutions with templates for submitting their respective tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, institutions were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

The University of Kentucky is not requesting any exceptions to the nonresident, undergraduate rate floor established by the Council. The university's proposed 2013-14 full-time, nonresident rate for undergraduate students is \$21,193 (an average of upper- and lower-division nonresident rates), which is 2.1 times the in-state rate and exceeds the established floor.

**Proposed 2013-14 Tuition and Mandatory Fee Charges
University of Kentucky**

<i>Category</i>	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014
<i>Undergraduate (Lower Division)</i>				
Resident				
Full-time (12 credit hours and above)	\$ 4,983	\$ 4,983	\$ 9,966	
Per Credit Hour	\$ 401	\$ 401		\$ 401
Nonresident				
Full-time (12 credit hours and above)	\$ 10,526	\$ 10,526	\$ 21,052	
Per Credit Hour	\$ 862	\$ 862		\$ 862
<i>Undergraduate (Upper Division)</i>				
Resident				
Full-time (12 credit hours and above)	\$ 5,127	\$ 5,127	\$ 10,254	
Per Credit Hour	\$ 413	\$ 413		\$ 413
Nonresident				
Full-time (12 credit hours and above)	\$ 10,667	\$ 10,667	\$ 21,334	
Per Credit Hour	\$ 874	\$ 874		\$ 874
<i>Graduate</i>				
Resident				
Full-time	\$ 5,386	\$ 5,386	\$ 10,772	
Per Credit Hour	\$ 568	\$ 568		\$ 568
Nonresident				
Full-time	\$ 11,419	\$ 11,419	\$ 22,838	
Per Credit Hour	\$ 1,239	\$ 1,239		\$ 1,239
<i>Master of Business Administration (MBA)</i>				
<i>Evening and part-time students</i>				
Resident				
Full-time	\$ 6,225	\$ 6,225	\$ 12,450	
Per Credit Hour	\$ 662	\$ 662		\$ 662
Nonresident				
Full-time	\$ 13,631	\$ 13,631	\$ 27,262	
Per Credit Hour	\$ 1,485	\$ 1,485		\$ 1,485
<i>Master of Arts in Diplomacy and International Commerce</i>				
Resident				
Full-time	\$ 5,646	\$ 5,646	\$ 11,292	
Per Credit Hour	\$ 597	\$ 597		\$ 597
Nonresident				
Full-time	\$ 11,683	\$ 11,683	\$ 23,366	
Per Credit Hour	\$ 1,268	\$ 1,268		\$ 1,268

**Proposed 2013-14 Tuition and Mandatory Fee Charges
University of Kentucky**

<i>Category</i>	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014
<i>Master of Science in Physician Assistant Studies</i>				
Resident				
Full-time	\$ 5,802	\$ 5,802	\$ 11,604	
Per Credit Hour	\$ 615	\$ 615		\$ 615
Nonresident				
Full-time	\$ 11,842	\$ 11,842	\$ 23,684	
Per Credit Hour	\$ 1,286	\$ 1,286		\$ 1,286
<i>Master of Science in Radiological Medical Physics and Master of Science in Health Physics (College of Health Sciences, Division of Radiation Sciences)</i>				
Resident				
Full-time	\$ 6,269	\$ 6,269	\$ 12,538	
Per Credit Hour	\$ 667	\$ 667		\$ 667
Nonresident				
Full-time	\$ 12,289	\$ 12,289	\$ 24,578	
Per Credit Hour	\$ 1,335	\$ 1,335		\$ 1,335
<i>Law</i>				
Resident				
Full-time	\$ 9,993	\$ 9,993	\$ 19,986	
Per Credit Hour	\$ 975	\$ 975		\$ 975
Nonresident				
Full-time	\$ 17,826	\$ 17,826	\$ 35,652	
Per Credit Hour	\$ 1,759	\$ 1,759		\$ 1,759
<i>Medicine</i>				
<i>Students - entering classes of fall 2009</i>				
Resident			\$ 29,233	
Nonresident			\$ 53,639	
<i>Students - entering classes of fall 2010</i>				
Resident			\$ 30,110	
Nonresident			\$ 55,248	
<i>Students - entering classes of fall 2011</i>				
Resident			\$ 31,907	
Nonresident			\$ 58,553	
<i>Students - entering classes of fall 2012</i>				
Resident			\$ 32,889	
Nonresident			\$ 60,272	
<i>Students - entering classes of fall 2013</i>				
Resident			\$ 33,870	
Nonresident			\$ 62,073	
<i>Reduced Curriculum load</i>				
Resident			\$ 17,572	
Nonresident			\$ 31,673	

Proposed 2013-14 Tuition and Mandatory Fee Charges
University of Kentucky

<i>Category</i>	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014
<i>Dentistry</i>				
Resident			\$ 29,307	
Nonresident			\$ 59,822	
<i>Reduced Curriculum load</i>				
Resident			\$ 15,290	
Nonresident			\$ 30,548	
<i>Doctor of Pharmacy*</i>				
<i>Rates by Professional Year (PY) of instruction</i>				
<i>PY 1</i>				
Resident			\$ 25,672	
Nonresident			\$ 46,614	
<i>PY 2</i>				
Resident			\$ 25,046	
Nonresident			\$ 45,451	
<i>PY 3</i>				
Resident			\$ 23,795	
Nonresident			\$ 43,126	
<i>PY 4</i>				
Resident			\$ 17,528	
Nonresident			\$ 32,027	
<i>Reduced curriculum load</i>				
Resident			\$ 13,473	
Non-Resident			\$ 23,944	
*Beginning July 2013, tuition and fees for the Doctor of Pharmacy will be annualized rates.				
<i>Professional Doctoral</i>				
Resident				
Full-time	\$ 7,022	\$ 7,022	\$ 14,044	
Per Credit Hour	\$ 750	\$ 750		\$ 750
Nonresident				
Full-time	\$ 15,776	\$ 15,776	\$ 31,552	
Per Credit Hour	\$ 1,723	\$ 1,723		\$ 1,723
<i>Master of Business Administration (MBA)</i>				
<i>Full-time students in the "Day" Program</i>				
Resident			\$ 11,495	
Nonresident			\$ 23,560	
<i>Doctorate of Physical Therapy</i>				
Resident			\$ 17,470	
Nonresident			\$ 39,350	

ATTACHMENT B

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue
University of Kentucky

<i>Category</i>	Estimated 2012-13	Estimated 2013-14
<i>Undergraduate</i>		
Resident	\$ 136,981,717	\$ 141,093,487
Nonresident	\$ 82,312,077	94,767,600
Online		N/A
<i>Graduate</i>		
Resident	\$ 25,080,118	24,410,250
Nonresident	\$ 34,125,351	34,756,083
Online		N/A
<i>First-Professional</i>		
Resident	\$ 29,807,671	27,214,503
Nonresident	\$ 16,439,825	23,617,415
Mandatory Fees	\$ 8,588,438	8,846,091
<i>Total</i>	\$ 333,335,197	\$ 354,705,430

**Council on Postsecondary Education
June 20, 2013**

**2013-14 Tuition and Mandatory Fee Recommendation
University of Louisville**

On May 13, 2013, the University of Louisville submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a research university approved by the Council at its April 18 meeting. Upon approval by the Council, the proposed rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at UofL during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates for the University of Louisville.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for the research universities and voted to allow the institutions to submit for Council approval market competitive rates for graduate and online courses. As can be seen in the table below, the proposal that the University of Louisville submitted to the Council on May 13 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current¹ 2012-13 Tuition & Fees</u>	<u>Proposed¹ 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$9,466	\$9,750	\$284	3.0%
Nonresident	\$22,950	\$23,638	\$688	3.0%
Nonresident Multiple	2.4	2.4		
Graduate				
Resident	\$10,274	\$10,788	\$514	5.0%
Nonresident	\$21,378	\$22,446	\$1,068	5.0%

¹ Reported rates do not include Special Use Fee of \$98 per semester or \$196 per academic year.

Attachment A contains additional categories and rates for undergraduate and graduate part-time students (per credit hour rates); undergraduate and graduate distance education students; an education doctorate practitioner program; and business, international business, medicine, human resources and organizational development, dentistry, and law first-professional programs. The Council staff recommends approval of rates in these additional categories as proposed by the university.

Estimated Tuition Revenue

UofL officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$253.5 million in gross tuition and fee revenue, an increase of about \$13.1 million or 5.5 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$14.2 million in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On April 24, 2013, Council staff provided Kentucky's public postsecondary institutions with templates for submitting their respective tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, institutions were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and provide a brief explanation for the reduced charge.

The University of Louisville is not requesting any exceptions to the nonresident, undergraduate rate floor established by the Council. The university's proposed 2013-14 full-time, nonresident rate for undergraduate students is \$23,638, which is 2.4 times the in-state rate and exceeds the established floor.

**Proposed 2013-14 Tuition and Mandatory Fee Charges
University of Louisville**

<i>Category</i>	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014
<i>Undergraduate</i>				
Resident				
Full-time (12 credit hours and above) ¹	\$ 4,875	\$ 4,875	\$ 9,750	\$ 4,875
Per Credit Hour	407	407		407
Nonresident				
Full-time (12 credit hours and above) ¹	11,819	11,819	23,638	11,819
Per Credit Hour	985	985		985
Special Fort Knox Rate				
Per Credit Hour (includes online courses)	250	250		250
<i>Intensive English as a Second Language (IESL)</i>				
Full-time Day Program	4,792	4,792	9,584	
<i>Graduate</i>				
Resident				
Full-time	5,394	5,394	10,788	5,394
Per Credit Hour	600	600		600
Nonresident				
Full-time	11,223	11,223	22,446	11,223
Per Credit Hour	1,247	1,247		1,247
Special Fort Knox Rate				
Per Credit Hour (includes online courses)	250	250		250
<i>Distance Education (per credit hour)</i>				
Undergraduate	460	460		460
Graduate	660	660		660
Graduate - Urban Planning & Public Admin	790	790		790
Law, full-time	1,015	1,015		1,015
Law, part-time	845	845		845
<i>Professional MBA Cohort Program</i>				
Resident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
Nonresident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
<i>Full-time MBA Cohort Program</i>				
Resident - 13 month program				
Full-time	10,667	10,667	32,000	10,667
Nonresident - 13 month program				
Full-time	10,667	10,667	32,000	10,667

¹ Credit hours over 18 permitted with permission.

**Proposed 2013-14 Tuition and Mandatory Fee Charges
University of Louisville**

<i>Category</i>	Fall 2013	Spring 2014	Annual 2013-14	Summer 2014
<i>IMBA Cohort Program</i>				
Resident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
Nonresident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
<i>Master's in Accountancy Cohort Program</i>				
Resident - 3 consecutive semesters including summer terms				
Full-time	7,000	7,000	21,000	7,000
Nonresident - 3 consecutive semesters including summer terms				
Full-time	7,000	7,000	21,000	7,000
<i>Certificate Program in Accounting</i>				
Resident (7 undergraduate courses over 2-3 semesters)				
Full-time	6,450	6,450	12,900	NA
Nonresident (7 undergraduate courses over 2-3 semesters)				
Full-time	6,450	6,450	12,900	NA
<i>Ed. D. Practitioner</i>				
Resident - 9 consecutive semesters including summer terms				
Full-time	1,800	1,800	5,400	1,800
Nonresident - 9 consecutive semesters including summer terms				
Full-time	1,800	1,800	5,400	1,800
<i>M.S. in Human Resources and Organization Development</i>				
Resident - 6 consecutive semesters including summer terms				
Full-time	2,750	2,750	8,250	2,750
Nonresident - 6 consecutive semesters including summer terms				
Full-time	2,750	2,750	8,250	2,750
<i>Law</i>				
Resident				
Full-time	9,289	9,289	18,578	9,289
Per Credit Hour	929	929		929
Nonresident				
Full-time	17,306	17,306	34,612	17,306
Per Credit Hour	1,731	1,731		1,731
<i>Medicine</i>				
Resident	16,859	16,859	33,718	NA
Nonresident	25,625	25,625	51,250	NA
<i>Dentistry</i>				
Resident	14,273	14,273	28,546	NA
Nonresident	29,750	29,750	59,500	NA

ATTACHMENT B

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue University of Louisville

<i>Category</i>	Estimated 2012-13	Estimated 2013-14
<i>Undergraduate</i>		
Resident	\$ 115,457,738	\$ 120,204,464
Nonresident	\$ 24,618,072	26,623,355
Online	\$ 11,594,843	12,991,628
<i>Graduate</i>		
Resident	\$ 22,201,305	22,362,226
Nonresident	\$ 14,133,866	14,453,925
Online	\$ 2,647,193	2,909,254
<i>First-Professional</i>		
Resident	\$ 25,004,861	26,581,522
Nonresident	\$ 24,736,024	27,402,686
Total	\$ 240,393,900	\$ 253,529,060

**Council on Postsecondary Education
June 20, 2013**

**2013-14 Tuition and Mandatory Fee Recommendation
Western Kentucky University**

On May 8, 2013, Western Kentucky University submitted its tuition and mandatory fee proposal and tuition revenue estimates to the Council. The Council staff has reviewed the proposed rates and determined that they comply with the resident, undergraduate rate ceiling for a comprehensive university approved by the Council at its April 18 meeting, and that the requested exception to the nonresident rate floor is in line with those approved by the Council last year. Upon approval by the Council, the recommended rates will establish maximum tuition and mandatory fee charges that may be assessed to students enrolling at WKU during fall semester 2013, spring semester 2014, and summer term 2014.

ACTION: The staff recommends that the Council approve the following 2013-14 tuition and mandatory fee rates and the requested exception to the nonresident rate floor for Western Kentucky University.

Tuition and Mandatory Fees

On April 18, the Council approved a resident, undergraduate tuition and mandatory fee ceiling of 3 percent for the comprehensive universities and voted to allow the institutions to submit for Council approval market competitive rates for graduate and online courses. As can be seen in the table below, the proposal that Western Kentucky University submitted to the Council on May 8 is in compliance with the 3 percent ceiling.

<u>Rate Category</u>	<u>Current ¹ 2012-13 Tuition & Fees</u>	<u>Proposed ¹ 2013-14 Tuition & Fees</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$8,332	\$8,582	\$250	3.0%
Nonresident	\$20,860	\$22,108	\$1,248	6.0%
Nonresident Multiple	2.5	2.6		
Graduate				
Resident	\$459 pch	\$482 pch	\$23	5.0%
Nonresident	\$575 pch	\$632 pch	\$57	9.9%

¹ Reported rates do not include Special Use Fee of \$70 per semester or \$140 per academic year.

Attachment A contains additional categories and rates for undergraduate, resident and nonresident part-time and distance learning students; nonresident Tuition Incentive Program (TIP) students; graduate, resident and nonresident distance learning students; graduate, nonresident international students; nurse practitioner and physical therapy doctoral students; and graduate professional MBA program students. The Council staff recommends approval of rates in these additional categories as proposed by the university.

Estimated Tuition Revenue

WKU officials estimate that their proposed 2013-14 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$180 million in gross tuition and fee revenue, an increase of about \$9.4 million or 5.5 percent over the previous year (see Attachment B). Campus officials also estimate that education and general (E&G) fixed costs will grow by about \$4.6 million in 2013-14.

Nonresident Rate Exceptions

At its April 18 meeting, the Council voted to maintain the existing floor for nonresident, undergraduate tuition and mandatory fees of at least two times the resident, undergraduate rate. The Council also reinforced its practice of allowing institutions to request exceptions to this policy when they submit their 2013-14 tuition and fee rates for final Council action.

On April 24, 2013, Council staff provided Kentucky's public postsecondary institutions with templates for submitting their respective tuition and mandatory fee proposals and tuition and fee revenue estimates to the Council. As part of that correspondence, campus officials were asked to identify categories of nonresident students that they desire to charge less than two times the resident rate and to provide a brief explanation for the reduced charge.

Western Kentucky University is requesting an exception to the nonresident rate floor for their undergraduate Tuition Incentive Program (TIP) rate (see Attachment C). The proposed nonresident TIP rate is 1.33 times the in-state rate. The university's regular out-of-state rate is 2.58 times the in-state rate. The Council staff recommends approval of WKU's nonresident TIP rate as proposed by the university. This request is in line with what the university proposed and the Council approved last year (i.e., 2012-13).

**Proposed 2013-14 Tuition and Mandatory Fee Charges
Western Kentucky University**

<u>Category</u>	<u>Fall 2013</u>	<u>Spring 2014</u>	<u>Annual 2013-14</u>	<u>Summer 2014</u>
<i>Undergraduate</i>				
Resident				
Full-time (12-18 credit hours) ¹	\$ 4,291	\$ 4,291	\$ 8,582	
Part-time (Per Credit Hour)	357.58	357.58		357.58
Dual Credit (Per Credit Hour)	70.00	70.00		
Nonresident				
Full-time (12-18 credit hours)	11,054	11,054	22,108	
Full-time - Tuition Incentive Program (12-18 ch)	5,714	5,714	11,428	
Part-time (Per Credit Hour)	921.17	921.17		921.17
Part-time - Tuition Incentive Program (pch)	476.17	476.17		476.17
Part-time - Distance Learning (Online Courses)	445	445		445
International				
Full-time (12-18 credit hours)	11,378	11,378	22,756	
Part-time (Per Credit Hour)	948.17	948.17		948.17
<i>Graduate</i>				
Resident				
Per Credit Hour	482	482		482
Nonresident				
Domestic Per Credit Hour	632	632		632
International Per Credit Hour	954	954		954
Distance Learning (Online Courses)	588	588		588
Doctorate, Nurse Practitioner				
Resident (Per Credit Hour)	582	582		582
Nonresident (Per Credit Hour)	729	729		729
Doctorate, Physical Therapy				
Resident (Per Credit Hour)	582	582		582
Nonresident (Per Credit Hour)	729	729		729
Professional MBA, Continuing (Per Credit Hour)	799	799		799
Professional MBA, New (Per Credit Hour)	839	839		839
<i>Active Military (Per Credit Hour) ²</i>	250	250		250

¹ There is an additional charge of \$363.42 per credit hour for every hour above 18. Credit hours over 18 are permitted with approval. Students taking more than 18 hours must carry a 3.3 GPA. A waiver is applied for music practicums.

Over 18 hours assessed on per credit hour rate based on residency.

² GoArmyEd portal rate cap. Department of Defense MOU requires that there be a single tuition rate for all active duty service members and their respective reserve and guard components. No approved increase in GoArmyEd portal rate since fall 2007.

Above fees exclude special use fee of \$140/year.

ATTACHMENT B

Estimated 2013-14 Gross Tuition and Mandatory Fee Revenue
Western Kentucky University

<u>Category</u>	<u>Estimated 2012-13</u>	<u>Estimated 2013-14</u>
<i>Undergraduate</i>		
Resident	\$ 94,133,000	\$ 95,995,000
Nonresident	28,362,000	31,405,000
Online	7,372,000	7,963,000
<i>Graduate</i>		
Resident	6,875,000	8,171,000
Nonresident	5,599,000	6,329,000
Online	8,548,000	9,285,000
<i>Division of Extended Learning and Outreach</i>	13,309,000	14,453,000
<i>Student Athletics Fee</i>	6,441,000	6,441,000
Total	<u>\$ 170,639,000</u>	<u>\$ 180,042,000</u>

Note: includes growth for nursing, DNP and DPT.



**WESTERN KENTUCKY UNIVERSITY
TUITION PARAMETER EXCEPTION REQUEST FOR NONRESIDENT STUDENTS**

REQUEST: Western Kentucky University is requesting Council on Postsecondary Education's approval to continue its Tuition Incentive Program (TIP).

BACKGROUND:

WKU requests that we be able to continue our Tuition Incentive Program that ensures economic access and geographic access to students that meet or exceed our admissions requirements. For decades, the Tuition Incentive Program has been marketed to students, parents and counselors as an affordable alternative to a residential school. The TIP rate is 1.33 times the in-state tuition and fee rate in comparison to the out-of-state rate which is 2.55 times the in-state rate. The CPE parameter is two times the in-state rate. We are requesting that the CPE continue to approve the TIP rate which continues to attract students to Kentucky. Not approving this rate would certainly cause a significant drop in enrollment and loss of revenue.

Potential number of students impacted: 1,900 full-time students or 13% of total full-time students. The number of part-time students is minimal; it will be approximately 140 students.

**Council on Postsecondary Education
June 20, 2013**

Kentucky Tuition Reciprocity Agreements

ACTION: The staff recommends that the Council approve the tuition reciprocity agreements with Ohio, Illinois, Tennessee, and West Virginia.

Tuition reciprocity agreements are arrangements between two or more states where the citizens of a defined region in one state can enroll at identified institutions (or in selected programs) in another state (and vice versa) for a reduced tuition charge and also may receive special treatment for admission purposes.

In general, the advantages of reciprocity agreements include:

- Broader access and opportunity for citizens in a region.
- Reduction in unnecessary duplication of academic programs.
- Cost reduction by utilizing academic programs in other states.

Currently, Kentucky has separate tuition reciprocity agreements with Ohio, Illinois, Tennessee, and West Virginia, in addition to the agreement with Indiana, which was renewed at the April 18, 2013, Council meeting. The Ohio, Illinois, Tennessee, and West Virginia agreements all expire June 30, 2013. Council staff has worked with its counterparts in these neighboring states and with participating Kentucky institutions to renegotiate these agreements in compliance with policies adopted by the Council. The proposed renewals have limited changes from agreements in past years.

Ohio Agreements (Attachments A1, A2, A3)

(Kentucky and Ohio have three separate agreements)

Agreement 1:

KY Institutions & Eligible OH Counties
Northern Kentucky University
Gateway Community & Technical College
Adams County, Brown County
Butler County, Clermont County
Clinton County, Fayette County*
Hamilton County, Highland County
Warren County

OH Institutions & Eligible KY Counties
Cincinnati State Technical & Community College
Clark State Community College
Southern State Community College
University of Cincinnati
Bracken County, Boone County, Campbell County
Carroll County Gallatin County, Grant County
Kenton County, Pendleton County

*Fayette County, OH, residents are not eligible at Gateway Community & Technical College.

Agreement 2:

KY Institutions & Eligible OH Counties
Ashland Community & Technical College
Morehead State University – Morehead
Morehead State University – Ashland
 Adams County, Athens County,
 Gallia County, Jackson County,
 Lawrence County, Meigs County
 Lawrence County, Lewis County
 Pike County, Scioto County, Vinton County

OH Institutions & Eligible KY Counties
Ohio University – Athens
Ohio University – Southern
Rio Grande Community College
Shawnee State University
Southern State Community College
 Boyd County, Carter County, Elliot County
 Fleming County, Greenup County
 Mason County, Rowan County

Agreement 3:

KY Institutions & Eligible OH Counties
Maysville Community & Technical College
 Adams County, Brown County
 Clermont County

OH Institutions & Eligible KY Counties
University of Cincinnati – Clermont College
 Bracken County, Lewis County
 Mason County, Robertson County

See the chart below for a comparison of fall enrollment.

<u>Reciprocity Students</u>	<u>2009-10 Fall Headcount</u>	<u>2010-11 Fall Headcount</u>
KY residents at OH institutions	1,529	1,655
OH residents at KY institutions	1,133	1,272

The only change to the 2011-13 agreement is the addition of Industrial Design and Fashion Design programs to the list of programs approved for Kentucky reciprocity students. The new agreement is for two years and will expire June 30, 2015, unless renewed.

Illinois Agreement (Attachment B)

KY Institution & Eligible IL Counties
West Kentucky Community & Technical College
 Alexander County, Massac County
 Pulaski County

IL Institution & Eligible KY Counties
Shawnee Community College
 Ballard County, Livingston County
 McCracken County

See the chart below for a comparison of full time equivalent students and credit hours.

<u>Reciprocity Students</u>	<u>2009-10</u>		<u>2010-11</u>	
	<u>FTE</u>	<u>Credit Hours</u>	<u>FTE</u>	<u>Credit Hours</u>
KY residents at IL institution	39	1,177	28	852
IL residents at KY institution	70	2,095	7	204

The Illinois renewal is for six years and will expire June 30, 2019.

Tennessee Agreement (Attachment C)

KY Institutions & Eligible TN Counties

Murray State University

Henry County, Obion County
Stewart County, Weakley County

Western Kentucky University

Macon County, Robertson County
Sumner County

Hopkinsville Community College

Montgomery County, Robertson County
Stewart County

Southeast Kentucky Community & Technical College

Campbell County, Claiborne County

Southcentral Kentucky Community and Technical College

Macon County, Robertson County
Sumner County

Somerset Community College

Scott County, Picket County
Clay County

TN Institutions & Eligible KY Counties

Austin Peay State University

Christian County, Logan County
Todd County, Trigg County

University of Tennessee at Martin

Fulton County, Hickman County
Graves County

Volunteer State Community College

Logan County, Simpson County
Allen County

See the chart below for a comparison of full time equivalent students and credit hours.

<u>Reciprocity Students</u>	<u>2009-10</u>		<u>2010-11</u>	
	<u>FTE</u>	<u>Credit Hours</u>	<u>FTE</u>	<u>Credit Hours</u>
KY residents at TN institutions	389	9,301	308	9,080
TN residents at KY institutions	1,585	47,124	1,599	49,574

The Tennessee renewal is for six years and will expire June 30, 2019.

West Virginia Agreement (Attachment D)

KY Institutions & Eligible WV Counties

Ashland Community & Technical College
Big Sandy Community & Technical College
Cabell County, McDowell County
Mingo County, Wayne County

WV Institutions & Eligible KY Counties

Marshall Community & Technical College
Southern W.V. Community & Technical College
Boyd County, Lawrence County
Martin County, Pike County

See the following chart for a comparison of student enrollment.

<u>Reciprocity Students</u>	<u>Fall 2011</u>
KY residents at WV institutions	451
WV residents at KY institutions	240

The West Virginia renewal is for six years and will expire June 30, 2019.

The proposed agreements between Kentucky and Ohio, Illinois, Tennessee, and West Virginia are attached. Staff recommends that the Council approve these agreements as presented.

UNDERGRADUATE TUITION RECIPROCITY AGREEMENT

Northern Kentucky University
Gateway Community and Technical College
And
Cincinnati State Technical and Community College
Clark State Community College
Southern State Community College
University of Cincinnati

This tuition reciprocity agreement is entered into between the Kentucky Council on Postsecondary Education and the chancellor of the Ohio Board of Regents, Cincinnati State Technical and Community College, Clark State Community College, Gateway Community and Technical College, the Kentucky Community and Technical College System, Northern Kentucky University, Southern State Community College, and the University of Cincinnati, pursuant to the provisions of Section 3333.17 of the Ohio Revised Code and in compliance with rules and procedures of the aforementioned parties.

I. Purpose

The general purpose of this tuition reciprocity agreement is to expand postsecondary educational opportunities in the greater Cincinnati area while limiting the cost of such expansion to the taxpayers of Ohio and Kentucky through collaboration among public institutions of higher education. The intended outcomes of this collaboration are to increase the availability of programs to residents of the greater Cincinnati area without needless duplication of educational effort and to promote efficient use of existing educational facilities and resources.

II. Terms**1. Duration and Termination**

The agreement shall be effective beginning July 1, 2013, through June 30, 2015, and may be renewed prior to June 30, 2015, by mutual consent of all of the parties for a period of two years. As the agreement must coincide with the biennial budgets of the State of Ohio, the next renewal shall be for July 1, 2015, to June 30, 2017.

The agreement may be amended through mutual consent of all parties, providing the amendment is in writing and signed by all parties to the agreement prior to the effective date of the amendment.

- a. The parties may amend the agreement in the following manner. Amendments must be presented to each of the parties of this agreement for their consideration. Each party of this agreement will then have sixty (60) days to respond in writing with a decision as to whether they approve/disapprove of the proposed amendment to the agreement. The responses will be sent to all parties in the agreement. After sixty (60) days, if all parties approve of the proposed amendment, the agreement will be amended. If all parties do not approve, the agreement will not be amended.

A review of this agreement may occur from time to time at the request of any party hereto, provided all parties to this agreement are served with written notice of such request at least ninety (90) days prior to said review.

This agreement may be terminated by any of the participating institutions, the chancellor of the Ohio Board of Regents, or the Kentucky Council on Postsecondary Education on June 30 of any year, with at least ninety (90) days prior written notice to each of the parties to this agreement.

2. Kentucky Residents' Eligibility for Ohio Programs

The participating Ohio institutions agree to accept at Ohio resident tuition rates any resident of Bracken, Boone, Campbell, Carroll, Gallatin, Grant, Kenton, and Pendleton Counties of Kentucky who enrolls and who satisfies all regular admission requirements (including those requirements of the specific program in which admission is sought) at the University of Cincinnati's two-year colleges (Clermont College, College of Applied Science, and Raymond Walters College) or at Cincinnati State Technical and Community College or at Clark State Community College or at Southern State Community College in the associate degree programs not specifically excluded from this agreement.

In this section, the word "program" only means an associate degree program and the word "resident" means resident for the purpose of tuition determination as defined by the respective institution.

Majors and/or programs at the University of Cincinnati two-year colleges, Cincinnati State Technical and Community College, and Southern State Community College which are excluded from this agreement are the following two-year programs otherwise offered at Northern Kentucky University:

- (1) Clermont College: Human/Social Services Technology and Criminal Justice Technology
- (2) College of Applied Science: no exclusions
- (3) Raymond Walters College: Radiological Technology
- (4) Cincinnati State Technical and Community College: no exclusions
- (5) Southern State Community College: no exclusions

Any program listed above as excluded may, by the joint written consent of the presidents of all four institutions, be included in this agreement.

The following major and/or program offered by Clark State Community College at Great Oaks Institute of Technology and Career Development in Cincinnati, Ohio, is included in the agreement:

- (1) Associate of Applied Business, with a major in Realtime Reporting with an option in Broadcast Captioning/CART

The University of Cincinnati agrees to accept at Ohio resident tuition rates any resident of Boone, Bracken, Campbell, Carroll, Gallatin, Grant, Kenton, and Pendleton Counties of Kentucky who enrolls and who satisfies all regular baccalaureate admissions requirements (including those requirements of the specific program in which admission is being sought) at the University of Cincinnati in the following baccalaureate degree programs:

Architecture, Culinary Arts and Science, Digital Design, Engineering, Honors PLUS (see provision below), Horticulture, Radiation Science, Interior Design, Industrial Design, Fashion Design, and Urban Planning.

In conformance with the limitations set forth in the bulleted item below, admission to the Honors PLUS baccalaureate degree program in the College of Business, University of Cincinnati, is subject to the following provision:

- Reciprocity for the Honors PLUS program at the University of Cincinnati is limited to residents of the eligible Kentucky counties with a cap of fifty (50) students enrolled in courses at any one time.

In this section, the word “program” only means a baccalaureate degree program and the word “resident” means resident for the purpose of tuition determination as defined by the respective institution.

3. Ohio Residents’ Eligibility for Kentucky Baccalaureate Degree Programs

Northern Kentucky University agrees to accept at Kentucky resident tuition rates any resident of Adams, Brown, Butler, Clermont, Clinton, Fayette, Hamilton, Highland, and Warren Counties of Ohio with an associate degree from the University of Cincinnati, Cincinnati State Technical and Community College, Clark State Community College, or Southern State Community College, who enrolls and who satisfies all regular transfer admissions requirements (including those requirements of the specific program in which admission is sought) in Northern Kentucky University baccalaureate degree programs not specifically excluded from this agreement.

In this section, the word “program” only means a baccalaureate degree program and the word “resident” means resident for the purpose of tuition determination as defined by the respective institution.

The majors and/or programs at Northern Kentucky University excluded from this agreement are:

- a. Early Childhood Education
- b. Criminal Justice
- c. Environmental Science
- d. Nursing

Any major/program listed above as excluded may, by the joint written consent of the presidents of all four institutions, be included in this agreement.

4. Ohio Residents’ Eligibility for Kentucky Associate Degree Programs

Gateway Community and Technical College agrees to accept at Kentucky resident tuition rates any resident of Adams, Brown, Butler, Clermont, Clinton, Hamilton, Highland, and Warren Counties of Ohio who enrolls and who satisfies all regular admission requirements (including those requirements of the specific program in which admission is sought) at Gateway Community and Technical College.

All programs, excluding nursing, at Gateway Community and Technical College are included in the agreement.

5. Ohio Residents' Eligibility/Enrollment Limitations for Kentucky Programs

In conformance with the limitations set forth in the bulleted item below, admission to the Business Administration baccalaureate degree program in the College of Business, Northern Kentucky University, is subject to the following provision:

- Reciprocity for the College of Business, Business Administration program at Northern Kentucky University is limited to residents of the eligible Ohio counties with a cap of fifty (50) students enrolled in courses at any one time.

In this section, the word "program" only means a specific baccalaureate degree program and the word "resident" means resident for the purpose of tuition determination as defined by the respective institution.

6. New Program Eligibility

Any new program may be included in this agreement upon successful completion of the agreements' amendment process, as listed above.

In this section, the word "program" may mean a workshop, a certificate program, an associate degree program, or a baccalaureate degree program.

7. Resident Status

- a. During the period of this agreement, the chancellor of the Ohio Board of Regents will consider residents of Bracken, Boone, Campbell, Carroll, Gallatin, Grant, Kenton, and Pendleton Counties who attend the University of Cincinnati, Cincinnati State Technical and Community College, Clark State Community College, or Southern State Community College under this agreement as qualifying for Ohio resident tuition rates, and as Ohio residents for the purpose of allocating funds to the University of Cincinnati, Cincinnati State Technical and Community College, Clark State Community College, and Southern State Community College.
- b. During the period of this agreement, the Kentucky Council on Postsecondary Education will consider residents of Adams, Brown, Butler, Clermont, Clinton, Fayette, Hamilton, Highland, and Warren Counties who attend Northern Kentucky University or Gateway Community and Technical College under this agreement as qualifying for Kentucky resident tuition rates, and as reciprocity students for the purpose of allocating funds to Northern Kentucky University and Gateway Community and Technical College.

8. Continued Eligibility

Once enrolled as a reciprocity student, each student demonstrating satisfactory academic performance under already existing standards and criteria of his/her institution will continue to receive reciprocity benefits under this agreement through graduation for the degree in which enrolled, as long as a reciprocity agreement exists. Student participation is subject to the terms and conditions of the reciprocity agreement in effect at the time of initial enrollment, and, in the event of termination, each student will be informed by the enrolling institution of his/her future status. If the agreement is terminated, participating institutions may agree at that time to continue tuition reciprocity for students appropriately enrolled in eligible programs at the time of termination until the completion of their programs of study, subject to the biennial limitations as described in paragraph II.1.

9. Notice, Application, and Waiver

The availability of reciprocity tuition rates under this agreement shall be advertised to applicants and/or to students of Cincinnati State Technical and Community College, Clark State Community College, Gateway Community and Technical College, Southern State Community College, Northern Kentucky University and the University of Cincinnati by any means deemed appropriate by the respective institutions.

All students who want to receive reciprocity tuition rates under this agreement must apply for such rates at the institution where they plan to enroll.

Failure to so apply in the manner required by each institution and in advance of enrollment will constitute a waiver of all rights under the terms of this agreement for the quarter or semester of enrollment and any preceding quarter or semester of enrollment for which no application was made. Each institution will develop a process for applicants to use in order to apply for reciprocity tuition rates under this agreement.

These processes will be written and shared with each institution as well as with the chancellor of the Ohio Board of Regents and the Kentucky Council on Postsecondary Education. The process will also be made available to all potential applicants upon request.

In this section, the word "resident" means resident for the purpose of tuition determination as defined by the respective institution.

10. Annual Report

By June 30 of each year, Cincinnati State Technical and Community College, Clark State Community College, Gateway Community and Technical College, Northern Kentucky University, Southern State Community College, and the University of Cincinnati agree to provide annual reports on the enrollment and fiscal implications of the agreement to the other respective institutions, the Kentucky Council on Postsecondary Education, and the chancellor of the Ohio Board of Regents. Specific forms for the annual report may be prescribed by the state agencies.

III. Approval

This agreement is not effective unless and until approved by the chancellor of the Ohio Board of Regents pursuant to Section 3333.17 of the Ohio Revised Code and the Kentucky Council on Postsecondary Education.

IV. Counterparts

This agreement may be executed in counterparts, each counterpart agreement shall be deemed an original, and all of which together shall constitute one in the same instrument.

**TUITION RECIPROCITY AGREEMENT
SIGNATURE PAGE**

STATE AGENCIES

Robert L. King, President
Kentucky Council on Postsecondary Education

Signed: _____

Date: _____

John Carey, Chancellor
Ohio Board of Regents

Signed: _____

Date: _____

Michael B. McCall, President
Kentucky Community & Technical College System

Signed: _____

Date: _____

INSTITUTIONS

Geoffrey Mearns, President
Northern Kentucky University

Signed: _____

Date: _____

Ed Hughes, President
Gateway Community and Technical College

Signed: _____

Date: _____

Santa J. Ono, President
University of Cincinnati

Signed: _____

Date: _____

O'dell M. Owens, President
Cincinnati State Technical and Community College

Signed: _____

Date: _____

Karen E. Rafinski, President
Clark State Community College

Signed: _____

Date: _____

Kevin Boys, President
Southern State Community College

Signed: _____

Date: _____

TUITION RECIPROcity AGREEMENT

Ashland Community and Technical College
 Morehead State University-Morehead
 Morehead State University-Ashland
 And
 Ohio University-Athens
 Ohio University-Southern
 Rio Grande Community College
 Shawnee State University
 Southern State Community College

In an effort to increase the college going rate and postsecondary opportunities in an underserved Appalachian region, this tuition reciprocity agreement is entered into between the Kentucky Council on Postsecondary Education, the Kentucky Community and Technical College System, the chancellor of the Ohio Board of Regents, the Boards of Trustees of Ashland Community and Technical College, Morehead State University-Morehead, Morehead State University-Ashland, Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College pursuant to the provisions of Section 3333.17 of the Ohio Revised Code and in compliance with rules and procedures of the aforementioned parties.

I. Purpose

The general purpose of this tuition reciprocity agreement is to expand postsecondary educational opportunities in the region while limiting the cost of such expansion to the taxpayers of Ohio and Kentucky through collaboration among public institutions of higher education. The intended outcomes of this collaboration are to increase the availability of programs to residents of the region without needless duplication of educational effort and to promote efficient use of existing educational facilities and resources.

II. Terms

1. Duration and Termination

The agreement shall be effective beginning July 1, 2013, through June 30, 2015, and may be renewed prior to June 30, 2015, by mutual consent of all of the parties for a period of two years. As the agreement must coincide with the biennial budgets of the State of Ohio, the next renewal shall be for the term of July 1, 2015, to June 30, 2017.

The agreement may be amended through mutual consent of all parties, providing the amendment is in writing and signed by all parties to the agreement prior to the effective date of the amendment.

- a. The parties may amend the agreement in the following manner. Amendments must be presented to each of the parties of this agreement for their consideration. Each party of this agreement will then have sixty (60) days to respond in writing with a decision as to whether they approve/disapprove of the proposed amendment to the agreement. The responses will be sent to all parties in the agreement. After sixty (60) days, if all parties approve of the proposed amendment, the agreement will be amended. If all parties do not approve, the agreement will not be amended.

A review of this agreement may occur from time to time at the request of any party hereto, provided all parties to this agreement are served with written notice of such request at least ninety (90) days prior to said review.

This agreement may be terminated by any of the participating institutions, the chancellor of the Ohio Board of Regents, the Kentucky Community and Technical College System, or the Kentucky Council on Postsecondary Education on June 30 of any year, with at least ninety (90) days prior written notice to each of the parties to this agreement.

2. Kentucky Residents Eligibility/Ohio Programs

Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College agree to accept at Ohio resident tuition rates any resident of Boyd, Carter, Elliot, Fleming, Greenup, Lawrence, Lewis, Mason, and Rowan Counties of Kentucky who enrolls and who satisfies all regular admissions requirements (including those requirements of the specific program in which admission is being sought).

The following program from Southern State Community College is included in the agreement: Medical Assisting Program offered at Shawnee State University.

3. Ohio Residents Eligibility/Kentucky Programs

Ashland Community and Technical College, Morehead State University-Morehead, and Morehead State University-Ashland agree to accept at Kentucky resident tuition rates any resident of Adams, Athens, Gallia, Jackson, Lawrence, Meigs, Pike, Scioto, and Vinton Counties of Ohio who enrolls and who satisfies all regular admissions requirements (including those requirements of the specific program in which admission is being sought).

The following program from Morehead State University is excluded from the agreement: Master of Business Administration.

4. Resident Status

- a. During the period of this agreement, the chancellor of the Ohio Board of Regents will consider residents of Boyd, Carter, Elliot, Fleming, Greenup, Lawrence, Lewis, Mason and Rowan Counties who attend Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College under this agreement as qualifying for resident Ohio tuition and as Ohio residents for the purpose of allocating funds to Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College.

- b. During the period of this agreement, the Kentucky Community and Technical College System and the Kentucky Council on Postsecondary Education will consider residents of Adams, Athens, Gallia, Jackson, Lawrence, Meigs, Pike, Scioto, and Vinton Counties who attend Ashland Community and Technical College, Morehead State University-Morehead, and Morehead State University-Ashland under this agreement as qualifying for resident Kentucky tuition and as Kentucky residents for the purpose of allocating funds to Ashland Community and Technical College, Morehead State University-Morehead, and Morehead State University-Ashland.

5. Continued Eligibility

Once enrolled as a reciprocity student, each student demonstrating satisfactory academic performance under already existing academic standards and criteria of their institution will continue to receive reciprocity benefits under this agreement through graduation for the degree in which enrolled, as long as a reciprocity agreement exists. Student participation is subject to the terms and conditions of the reciprocity agreement in effect at the time of initial enrollment, and, in the event of termination, each student will be informed by the enrolling institution of his/her future status. If the agreement is terminated, participating institutions may agree at that time to continue tuition reciprocity for students appropriately enrolled in eligible programs at the time of termination until the completion of their programs of study, subject to the biennial limitations as described in paragraph II.1.

6. Notice, Application, and Waiver

The availability of resident tuition rates under this agreement shall be advertised to applicants and/or to students of Ashland Community and Technical College, Morehead State University-Morehead, Morehead State University-Ashland, Ohio University-Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College by any means deemed appropriate by those institutions.

All eligible students who want to receive resident tuition rates under this agreement must apply for such rates at the institution where they plan to enroll. Failure to apply in the manner required by each institution and in advance of enrollment will constitute a waiver of all rights under the terms of this agreement for that quarter or semester of enrollment and any preceding quarter or semester of enrollment for which no application was made. Each institution will develop a process for applicants to use in order to apply for resident tuition rates under this agreement.

7. Annual Report

By June 30 of each year, Ashland Community and Technical College, Morehead State University-Morehead, Morehead State University-Ashland, Ohio University- Athens, Ohio University-Southern, Rio Grande Community College, Shawnee State University, and Southern State Community College agree to provide annual reports on the enrollment and fiscal implications of the agreement to the other respective institutions, the Kentucky Council on Postsecondary Education, and the chancellor of the Ohio Board of Regents. Specific forms for the annual report may be prescribed by the state agencies.

III. Approval

This agreement is not effective unless and until approved by the chancellor of the Ohio Board of Regents pursuant to Section 3333.17 of the Ohio Revised Code and the Kentucky Council on Postsecondary Education.

IV. Counterparts

This agreement may be executed in counterparts, each counterpart agreement shall be deemed an original, and all of which together shall constitute one in the same instrument.

**TUITION RECIPROCITY AGREEMENT
SIGNATURE PAGES**

STATE AGENCIES

Robert L. King, President
Kentucky Council on Postsecondary Education

Signed: _____

Date: _____

John Carey, Chancellor
Ohio Board of Regents

Signed: _____

Date: _____

Michael B. McCall, President
Kentucky Community & Technical College System

Signed: _____

Date: _____

INSTITUTIONS

Kay Adkins, President
Ashland Community and Technical College

Signed: _____

Date: _____

Wayne Andrews, President
Morehead State University

Signed: _____

Date: _____

Roderick McDavis, President
Ohio University

Signed: _____

Date: _____

Barbara Gellman-Danley, President
Rio Grande Community College

Signed: _____

Date: _____

Rita Morris, President
Shawnee State University

Signed: _____

Date: _____

Kevin Boys, President
Southern State Community College

Signed: _____

Date: _____

TUITION RECIPROcity AGREEMENT

Maysville Community and Technical College
And
University of Cincinnati – Clermont College

This tuition reciprocity agreement is entered into between the Kentucky Council on Postsecondary Education and the chancellor of the Ohio Board of Regents, the Kentucky Community and Technical College System, Maysville Community and Technical College, and the University of Cincinnati-Clermont College pursuant to the provisions of Section 3333.17 of the Ohio Revised Code and in compliance with rules and procedures of the aforementioned parties.

I. Purpose

The general purpose of this tuition reciprocity agreement is to expand postsecondary educational opportunities in the northern Kentucky and southern Ohio area while limiting the cost of such expansion to the taxpayers of Ohio and Kentucky through collaboration among public institutions of higher education. The intended outcomes of this collaboration are to increase the availability of programs to residents of Clermont, Adams, and Brown Counties in Ohio and Mason, Bracken, Lewis, and Robertson Counties in Kentucky without needless duplication of educational effort and to promote efficient use of existing educational facilities and resources.

II. Terms**1. Duration and Termination**

The agreement shall be effective beginning July 1, 2013, through June 30, 2015, and may be renewed prior to June 30, 2015, by mutual consent of all of the parties for a period of two years. As the agreement must coincide with the biennial budgets of the State of Ohio, the next renewal shall be for the term of July 1, 2015, to June 30, 2017.

The agreement may be amended through mutual consent of all parties, providing the amendment is in writing and signed by all parties to the agreement prior to the effective date of the amendment.

- a. The parties may amend the agreement in the following manner. Amendments must be presented to each of the parties of this agreement for their consideration. Each party of this agreement will then have sixty (60) days to respond in writing with a decision as to whether they approve/disapprove of the proposed amendment to the agreement. The responses will be sent to all parties in the agreement. After sixty (60) days, if all parties approve of the proposed amendment, the agreement will be amended. If all parties do not approve, the agreement will not be amended.

A review of this agreement may occur from time to time at the request of any party hereto, provided all parties to this agreement are served with written notice of such request at least ninety (90) days prior to said review.

This agreement may be terminated by any of the participating institutions, the chancellor of the Ohio Board of Regents, the Kentucky Community and Technical College System, or the Kentucky Council on Postsecondary Education on June 30 of any year, with at least ninety (90) days prior written notice to each of the parties to this agreement.

2. Kentucky Residents' Eligibility for Ohio Programs

The University of Cincinnati-Clermont College agrees to accept at Ohio resident tuition rates any resident of Bracken, Lewis, Mason, or Robertson Counties of Kentucky who enrolls and who satisfies all regular admission requirements (including those requirements of the specific program in which admission is sought) at the University of Cincinnati-Clermont College in the programs not specifically excluded from this agreement. In this context, the word "program" may mean a workshop, a certificate program, and/or associate degree program.

3. Ohio Residents' Eligibility for Kentucky Programs

Maysville Community and Technical College agrees to accept at Kentucky resident tuition rates any resident of Adams, Brown, and Clermont Counties of Ohio who enrolls and who satisfies all regular admission requirements (including those requirements of the specific program in which admission is sought) at Maysville Community and Technical College in the programs not specifically excluded from this agreement. In this context, the word "program" may mean a workshop, a certificate program, and/or associate degree program.

4. New Program Eligibility

Any new program may be included in this agreement upon successful completion of the agreement's amendment process, as listed above. In this context, the word "program" may mean a workshop, a certificate program, and/or associate degree program.

5. Resident Status

- a. During the period of the agreement, the chancellor of the Ohio Board of Regents will consider residents of Bracken, Lewis, Mason, and Robertson Counties who attend the University of Cincinnati-Clermont College under this agreement as qualifying for Ohio resident tuition rates and as Ohio residents for the purpose of allocating funds to the University of Cincinnati-Clermont College.
- b. During the period of this agreement, the Kentucky Community and Technical College System and the Kentucky Council on Postsecondary Education will consider residents of Adams, Brown, and Clermont Counties who attend Maysville Community and Technical College under this agreement as qualifying for Kentucky resident tuition rates and as Kentucky residents for the purpose of allocating funds to Maysville Community and Technical College.

6. Continued Eligibility

Once enrolled as a reciprocity student, each student demonstrating satisfactory academic performance under already existing standards and criteria of his/her institution will continue to receive reciprocity benefits under this agreement through graduation for the degree in which enrolled, as long as a reciprocity agreement exists. Student participation is subject to the terms and conditions of the reciprocity agreement in effect at the time of initial enrollment, and, in the event of termination, each student will be informed by the enrolling institution of his/her future status. If the agreement is terminated, participating institutions may agree at that time to continue tuition reciprocity for students appropriately enrolled in eligible programs at the time of termination until completion of their programs of study, subject to the biennial limitations as described in paragraph II.1.

7. Notice, Application, and Waiver

The availability of resident tuition rates under this agreement shall be advertised to applicants and/or to students of Maysville Community and Technical College and the University of Cincinnati-Clermont College by any means deemed appropriate by those institutions.

All eligible students who want to receive resident tuition rates under this agreement must apply for such rates at the institution where they plan to enroll. Failure to apply in the manner required by each institution and in advance of enrollment will constitute a waiver of all rights under the terms of this agreement for that quarter or semester of enrollment and any preceding quarter or semester of enrollment for which no application was made. Each institution will develop a process for applicants to use in order to apply for resident tuition rates under this agreement.

8. Annual Report

By June 30 of each year, the Kentucky Community and Technical College System, Maysville Community and Technical College, and University of Cincinnati-Clermont College agree to provide annual reports on the enrollment and fiscal implications of the agreement to the other respective institutions, the Kentucky Council on Postsecondary Education, and the chancellor of the Ohio Board of Regents. Specific forms for the annual report may be prescribed by the state agencies.

III. Approval

This agreement is not effective unless and until approved by the chancellor of the Ohio Board of Regents pursuant to Section 3333.17 of the Ohio Revised Code and the Kentucky Council on Postsecondary Education.

IV. Counterparts

This agreement may be executed in counterparts, each counterpart agreement shall be deemed an original, and all of which together shall constitute one in the same instrument.

**TUITION RECIPROCITY AGREEMENT
SIGNATURE PAGES**

STATE AGENCIES

Robert L. King, President
Kentucky Council on Postsecondary Education

Signed: _____

Date: _____

John Carey, Chancellor
Ohio Board of Regents

Signed: _____

Date: _____

Michael B. McCall, President
Kentucky Community and Technical College System

Signed: _____

Date: _____

INSTITUTIONS

Ed Story, President
Maysville Community and Technical College

Signed: _____

Date: _____

Santa J. Ono, President
University of Cincinnati

Signed: _____

Date: _____

RECIPROCITY AGREEMENT

West Kentucky Community and Technical College (Kentucky)
 And
 Shawnee Community College (Illinois)
 2013-19

I. Parties

For Kentucky: Council on Postsecondary Education, West Kentucky Community and Technical College, and the Kentucky Community and Technical College System

For Illinois: Shawnee Community College

II. Purpose

The Commonwealth of Kentucky and Shawnee Community College desire to provide postsecondary opportunities for the residents of designated counties in both states in a way that will increase educational opportunities and benefits for participating students and provide an avenue for offering educational services in a cost-effective and cost-saving manner. Under this agreement, eligible students from either state will be able to attend designated institutions in the other state while paying reduced tuition rates through an innovative and cost-effective reciprocity/"dual enrollment" (see note) provision. This agreement describes how both states provide such opportunities.

Note: Throughout this agreement, the term "dual enrollment" applies only to Shawnee Community College.

III. Period Covered By Agreement

July 1, 2013 - June 30, 2019

IV. Eligible Students

- A. To be eligible for reciprocal-dual enrollment tuition under the terms of this agreement, students must (1) reside in one of the counties designated as an eligible county, (2) be accepted by the eligible institution, (3) be approved for enrollment by the participating colleges, and (4) enroll at that institution.
- B. Eligible students may enroll in designated classes or programs offered by either of the participating colleges.
- C. Eligible students may enroll on a full-time or part-time basis.
- D. For Kentucky residents: eligible students will be charged the resident rate at Shawnee Community College. For Illinois residents: eligible students will be charged the resident tuition and fee rate at West Kentucky Community and Technical College.

- E. Eligible students from Illinois will be dually enrolled in parallel classes by Shawnee Community College. Such students will be claimed for enrollment purposes by Shawnee Community College. The procedures for accomplishing dual enrollment by Shawnee Community College will be simplified to the greatest extent possible. Reimbursement claims for dual-enrolled students will be made in accordance and compliance with existing procedures, rules, and guidelines that are in effect for Shawnee Community College. The concept of dual enrollment does not apply to West Kentucky Community and Technical College.

V. Terms Of Agreement

A. Shawnee Community College:

- 1. Will accept eligible students from the following Kentucky counties: Ballard, Livingston, and McCracken.
- 2. Will identify eligible courses and seek state approval of any courses not already approved.
- 3. Will enroll students designated as eligible for reciprocity/dual enrollment.

B. West Kentucky Community and Technical College:

- 1. Will accept eligible students from the following Illinois counties: Massac, Pulaski, and Alexander.

C. The Commonwealth of Kentucky and Shawnee Community College:

- 1. Will jointly monitor cross-border student flows under this agreement.
- 2. Will jointly designate those classes and programs in which students can enroll at the participating institutions.
- 3. Will meet periodically to assess the progress of this agreement and to consider changes as might be appropriate.

D. Shawnee Community College and West Kentucky Community and Technical College:

- 1. Will treat eligible students as reciprocity students when assessing tuition and fees.
- 2. Will treat eligible students as in-state students for admission and placement purposes.
- 3. Will treat eligible students as in-state students with respect to registration, refunds, student records, and academic advising.
- 4. Will assist with the record-keeping necessary to monitor cross-border student flows and will report data as deemed necessary by the Kentucky Council on Postsecondary Education and the Illinois Community College Board.
- 5. Will continue to report eligible students as out-of-state students when reporting enrollment data to the Kentucky Council on Postsecondary Education and the Illinois Community College Board based upon existing reporting requirements.
- 6. Are responsible for direct instructional costs.

7. Will maintain separate transcripts and/or student files for all participating students.
8. Will meet periodically with the appropriate state higher education agency to discuss the agreement and its impact and to recommend changes as might be appropriate.

VI. Termination Or Renewal Of Agreement

- A. This agreement will begin on July 1, 2013, and end June 30, 2019.
- B. The agreement may be amended through mutual consent of all parties, providing the amendment is in writing and signed by all parties to the agreement prior to the effective date of the amendment.
- C. The parties may amend the agreement in the following manner:
 1. Amendments must be presented to each of the parties of this agreement for their consideration.
 2. Each party of this agreement will then have sixty (60) days to respond in writing with a decision as to whether they approve/disapprove of the proposed amendment to the agreement.
 3. The responses will be sent to all parties in the agreement.
 4. After 60 days, if all parties approve of the proposed amendment, the agreement will be amended. If all parties do not approve, the agreement will not be amended.
- D. Any party to the current agreement must notify the other parties of its intention to renew, or not to renew, by January 1, 2019.
- E. This agreement may be terminated by any party as of June 30th of any year, provided that the party electing to terminate has delivered written notice of such intention to terminate to the other parties by the preceding January 1st.
- F. In the event of termination, all enrolled reciprocity students will be allowed to complete their degree programs with state support at reciprocal rates of tuition or at the then-current rate as otherwise specified in this agreement so long as they maintain continuous enrollment.

VII. Counterparts

This agreement may be executed in counterparts, each counterpart agreement shall be deemed an original, and all of which together shall constitute one in the same instrument.

TUITION RECIPROCITY AGREEMENT

Kentucky and Tennessee
2013-19**I. Parties**

For Kentucky: Council on Postsecondary Education, Murray State University, Western Kentucky University, and the Kentucky Community and Technical College System

For Tennessee: Tennessee Higher Education Commission, the University of Tennessee, and the Tennessee Board of Regents

II. Purpose

The Commonwealth of Kentucky and the State of Tennessee desire to provide postsecondary opportunities for the residents of designated counties in both states.

Under this agreement, eligible students from either state will be able to attend designated institutions in the other state while paying the tuition rate charged to residents of that state.

III. Period Covered By Agreement

July 1, 2013 - June 30, 2019

IV. Eligible Students

To be eligible for reciprocal tuition under the terms of this agreement, students must (1) reside in one of the counties designated as an eligible county, (2) be accepted by the eligible institution, and (3) enroll at that institution.

- A. Eligible students may enroll in any program (undergraduate or graduate) offered by the eligible institution.
- B. Eligible students may enroll on a full-time or part-time basis.
- C. Part-time students enrolled exclusively in online courses are not eligible to participate under reciprocity.
- D. In the remainder of this document, eligible students are called "reciprocity students."

V. Terms Of Agreement

- A. The State of Tennessee:
 - 1. Has identified eligible Tennessee institutions and Kentucky counties as provided in the Tennessee Code Title 49, Chapters 8 and 9. (See Appendix A.)
- B. The Commonwealth of Kentucky:
 - 1. Will agree to a list of eligible counties consisting of Tennessee counties bordering Kentucky and lying wholly or in part within 30 miles of the county of the eligible Kentucky institution. (See Appendix A.)
- C. The Commonwealth of Kentucky and the State of Tennessee:
 - 1. Will jointly monitor cross-border student flows under this agreement.
 - 2. Will meet periodically to assess the progress of this agreement and to consider changes as might be appropriate.
- D. Each designated public postsecondary institution:
 - 1. Will treat reciprocity students as in-state students for admission and placement purposes.
 - 2. Will treat reciprocity students as in-state students with respect to registration, refunds, student records, and academic advising.
 - 3. Will assist with the record keeping necessary to monitor cross-border student flows and will report data as deemed necessary by the Kentucky Council on Postsecondary Education and the Tennessee Higher Education Commission.
 - 4. Will continue to report reciprocity students as out-of-state students when reporting enrollment data to the Kentucky Council on Postsecondary Education and the Tennessee Higher Education Commission based upon existing reporting requirements.
 - 5. Will meet periodically with the appropriate state higher education agency to discuss the agreement and its impact and to recommend changes as might be appropriate.

VI. Termination Or Renewal Of Agreement

- A. This agreement will begin on July 1, 2013, and end June 30, 2019, unless mutual agreement exists to renew for the following one-year period.
- B. This agreement is subject to review and revision on an annual basis. Any party must notify the other parties by January 1 of its intention to change any term of the agreement to be effective the following July 1.
- C. This agreement may be terminated by action of the Kentucky Council on Postsecondary Education or the Tennessee Higher Education Commission. In the event of termination, all enrolled reciprocity students will be allowed to complete their degree programs at the resident rate of tuition so long as they maintain continuous enrollment.

APPENDIX A

ELIGIBLE INSTITUTIONS AND COUNTIES

**Kentucky Institutions and
Tennessee Counties**

Murray State University
Henry County
Obion County
Stewart County
Weakley County

Western Kentucky University
Macon County
Robertson County
Sumner County

Hopkinsville Community College
Montgomery County
Robertson County
Stewart County

Southeast Kentucky Community and Technical College
Campbell County
Claiborne County

Southcentral Kentucky Community and Technical College
Macon County
Robertson County
Sumner County

Somerset Community College
Scott County
Picket County
Clay County

**Tennessee Institutions and
Kentucky Counties**

Austin Peay State University
Christian County
Logan County
Todd County
Trigg County

University of Tennessee at Martin
Fulton County
Hickman County
Graves County

Volunteer State Community College
Logan County
Simpson County
Allen County

TUITION RECIPROCITY AGREEMENT

**Ashland Community and Technical College
Big Sandy Community and Technical College
And
Southern West Virginia Community and Technical College
Marshall Community and Technical College
2013-19**

I. Parties

Under the provisions of Section 18-B-4-3 of the West Virginia Code, Section 164.020 of the Kentucky Revised Statutes, and in compliance with rules and procedures of the West Virginia Council for Community and Technical College Education, the Kentucky Council on Postsecondary Education, the Kentucky Community and Technical College System, Ashland Community and Technical College, Big Sandy Community and Technical College, Marshall Community and Technical College, and Southern West Virginia Community and Technical College, the following agreement is entered into between the Kentucky Council on Postsecondary Education and the West Virginia Council for Community and Technical College Education, and between Ashland Community and Technical College and Big Sandy Community and Technical College of the Kentucky Community and Technical College System and Marshall Community and Technical College and Southern West Virginia Community and Technical College.

II. Purpose

The purposes of this tuition reciprocity agreement are to (1) improve the lower division post high school educational advantages to residents of Boyd, Lawrence, Martin, and Pike Counties in Kentucky and Cabell, McDowell, Mingo, and Wayne Counties in West Virginia; (2) minimize the cost of such improvements for the taxpayers and legislative bodies of both states by cooperative planning and joint education efforts; and (3) promote the maximum use of existing educational facilities and address the problems of enrollment fluctuations and fiscal constraints at both institutions.

III. Period Covered By Agreement

July 1, 2013 - June 30, 2019

IV. Terms

The provisions of this agreement shall be effective beginning July 1, 2013, and expire on June 30, 2019, and will commence with any registration after the effective date. Students who are enrolled under the provisions of the agreement may continue to attend the selected colleges at the reciprocity rates for a period of two years subsequent to termination of the agreement. The agreement shall be subject to review and revision on an annual basis.

V. Eligible Students

Ashland Community and Technical College and Big Sandy Community and Technical College agree to accept at the resident rate any resident of Cabell, McDowell, Mingo, or Wayne Counties of West Virginia wishing to enroll for whom space is available and who satisfies all regular in-state admission requirements of Ashland Community and Technical College and Big Sandy Community and Technical College.

Marshall Community and Technical College and Southern West Virginia Community and Technical College agree to accept at the resident rate any resident of Boyd, Lawrence, Martin, or Pike Counties of Kentucky wishing to enroll for whom space is available and who satisfies all regular in-state admissions requirements of Marshall Community and Technical College and Southern West Virginia Community and Technical College.

VI. Termination Or Renewal Of Agreement

This agreement shall be reviewed annually and may be considered for termination or modification for cause at the request of either participating institution, the West Virginia Council for Community and Technical College Education, or the Kentucky Council on Postsecondary Education.

Ashland Community and Technical College, Big Sandy Community and Technical College, Marshall Community and Technical College, and Southern West Virginia Community and Technical College agree to provide on an annual basis to the Kentucky Council on Postsecondary Education and the West Virginia Council for Community and Technical College Education reports on the enrollment and program implications of the agreement on forms prescribed for the purpose by these state agencies.

VII. Signatures

Robert L. King Date
President, Kentucky Council on
Postsecondary Education

Jim L. Skidmore Date
Chancellor, West Virginia Council
for Community and Technical
College Education

Michael B. McCall Date
President, Kentucky
Community & Technical
College System

Bob Hayes Date
Interim President, Marshall
Community & Technical College

Kay Adkins Date
President, Ashland Community
& Technical College

Joanne J. Tomblin Date
President, Southern West Virginia
Community & Technical College

George D. Edwards Date
President, Big Sandy
Community & Technical
College

**Council on Postsecondary Education
June 20, 2013**

Rural Access Work Group Report

ACTION: The staff recommends that the Council approve the recommendations advanced by the Rural Access Work Group to improve postsecondary access and success in rural, economically distressed counties with low levels of educational attainment.

In October 2012, the Council on Postsecondary Education formed a work group to explore the causes of perennially low educational attainment in rural pockets of the state. This action was in part a response to a study commissioned by Governor Beshear and conducted by the National Center on Higher Education Management Systems (NCHEMS) to determine if the University of Pikeville should become the state's ninth public university. While the NCHEMS report did not ultimately endorse that proposal, it did highlight broader challenges facing the state regarding postsecondary access and success in Kentucky's most economically and educationally distressed rural areas.

The Council charged the Rural Access Work Group with formulating policies, strategies, and initiatives to advance the objectives of the 2011-15 Strategic Agenda for Kentucky Postsecondary and Adult Education aimed at increasing postsecondary access and success in all parts of the state. The work group included a broad array of education, business, and state and local government leaders in Kentucky and was chaired by Council Chair Pam Miller.

The group met six times between October 2012 and May 2013 to hear presentations from the public institutions and the Association of Independent Kentucky Colleges and Universities (AIKCU) on current outreach activities in the region. Other speakers included MDC, a North Carolina consulting firm focused on rural development issues; the Kentucky Higher Education Assistance Authority (KHEAA); the Commonwealth Office of Broadband Outreach and Development; superintendents from three rural P-12 districts; and the Kentucky Workforce Investment Board's "Work Ready Communities" staff. Additionally, Council staff members traveled across the state to meet with each work group member individually and learn more about their specific community and regional challenges.

Based on testimony and discussions, the group formulated 19 recommendations focused on six broad areas for improvement: (1) college affordability; (2) college readiness and outreach; (3) education and workforce alignment; (4) partnerships between KCTCS and university regional campuses; (5) adult-friendly degree programs; and (6) high-speed Internet access. The recommendations in the Rural Access Work Group's Final Report build and expand on NCHEMS's findings, while also identifying other promising practices for consideration by local community, business, and education leaders.

The attached Executive Summary provides a list of the work group recommendations. The full report will be available at the June Council meeting.

Staff preparation by Lee Nimocks and Melissa Young

EXECUTIVE SUMMARY



In October 2012, the Council on Postsecondary Education formed a work group to explore the causes of perennially low educational attainment in rural pockets of the state. The Rural Access Work Group was charged with formulating policies, strategies, and initiatives to advance not only the recommendations proposed by NCHEMS in a study on the feasibility of making the University of Pikeville a public institution, but also the objectives of the *2011-15 Strategic Agenda for Kentucky Postsecondary and Adult Education* aimed at increasing postsecondary access and success in all parts of the state. Based on testimony and discussions, the group formulated over 30 draft recommendations focused on six broad areas for improvement. The work group then narrowed the field to 19 final recommendations included in this report.

The Recommendations

1

COLLEGE AFFORDABILITY

College affordability is a barrier to postsecondary entry and completion in rural, distressed areas of the state. State need-based aid programs are underfunded, and aid for working-age adults is inadequate to meet the needs of the target population (adults 25-44 without a postsecondary degree or credential).

- 1A. Urge the legislature to fully fund Kentucky's need-based student aid programs.
- 1B. Urge the legislature to expand the Go Higher Grant program to serve more adult learners (full-time and part-time), for a longer period of time, and at a higher level of funding. A new source of funds would need to be identified so as not to compromise support for the state's College Access Program or Kentucky Tuition Grant Program.
- 1C. Support legislation, which was under consideration in the 2012 and 2013 legislative sessions, to provide college scholarships for students in coal producing counties with coal severance dollars.
- 1D. Urge the legislature to encourage local businesses and corporations to create or continue tuition reimbursement programs and to offer paid co-ops and internships to students. Explore federal and state tax incentives for students enrolled in postsecondary education (e.g., earned income tax credits for postsecondary enrollment), as well as for employers who subsidize the cost of employees enrolling in postsecondary education.

2

COLLEGE READINESS & OUTREACH

Students in rural eastern, southeastern, and some western counties score below the state average on college readiness assessments. Students who are less academically prepared are less likely to go to college; if they do go, they face greater obstacles to degree completion. Kentucky needs to strengthen the college-going culture in these areas of the state.

- 2A. Work with the Kentucky Department of Education to develop funding mechanisms that encourage dual credit, Advanced Placement, and other such arrangements between high schools and colleges. For high schools that are underserved, explore ITV and other distance education technologies to connect students with available AP and dual credit courses.
- 2B. Encourage KCTCS to expand partnerships and align curricula with the state's Area Technology Centers and career and technical high schools so that students pursuing skilled trades are encouraged to earn postsecondary credentials.
- 2C. Create a grassroots campaign toolkit to promote the value and affordability of postsecondary education to students and families in rural distressed areas. This should include unified statewide messages, materials, and templates that can be customized for local use. Engage statewide organizations with footprints in rural areas to play a central role in helping disseminate college-going information (e.g., League of Cities, Association of Counties, Family Youth Resource Service Centers, Early Childhood Education, Adult Education, Area Development Districts, Workforce Investment Boards, Leadership Kentucky, Extension Service offices, KHEAA, etc.).
- 2D. Seek state, federal, and/or private resources to expand the College Coaches Program into every county, with a priority on the state's economically distressed rural areas.

3

EDUCATION & WORKFORCE ALIGNMENT

Effective and sustained partnerships between local postsecondary leaders and employers are critical to advancing common regional development and education goals. Kentucky's Work Ready Community initiative could serve as a catalyst for increasing cross-sector collaboration. Increased entrepreneurship is also part of the solution.

4

PARTNERSHIPS BETWEEN KCTCS & UNIVERSITY REGIONAL CAMPUSES

Some regional campuses have more transparent, efficient partnerships with KCTCS than others, especially around joint programs and seamless advising services.

5

ADULT-FRIENDLY DEGREE PROGRAMS

Certain conditions must be in place to persuade adults to devote the time, energy, and money to go back to school. An affordable, flexible online delivery system could improve access if cost and connectivity issues are addressed.

6

HIGH SPEED INTERNET ACCESS

Access to high-speed Internet is still a challenge in many of Kentucky's rural, remote areas, and even where available, it is prohibitively expensive for some students.

- 3A. Establish educational attainment targets for each university's "geographic area of responsibility" and, if possible, create financial incentives for meeting these targets to encourage greater collaboration among postsecondary providers, employers, and other stakeholders in the region.
- 3B. Ensure state policies and programs recognize and leverage the value and contributions of Kentucky's independent colleges and universities, many of which have long served rural regions of the state.
- 3C. Recommend that Kentucky develop financial incentives for communities that achieve the "Work Ready" designation, and promote Kentucky's "Work Ready Communities" as a catalyst for community and education leaders to come together to address their educational and workforce needs.
- 3D. In partnership with the Kentucky Center for Education and Workforce Statistics (KCEWS), create reports on the current and future workforce needs of each region and how these align with the current educational skills and degree programs. As resources allow, provide technical assistance to local community leaders on how to interpret and use these data as policy levers for change.
- 3E. Encourage colleges and universities to create and deliver postsecondary entrepreneurial certificate programs in rural areas to help people start businesses, and leverage university resources for small business development support.
- 4A. Encourage the KCTCS institutions and universities to expand joint enrollment, advising, and completion programs that result in more students transitioning from 2-year to 4-year campuses and more timely degree completion (2+2 degrees, complete degrees, 3+1 degrees).
- 4B. Encourage universities to develop full degree programs at regional campuses through a variety of means (ITV, online courses, partnerships with KCTCS institutions, etc.). Use faculty and advising resources at university regional campuses to provide face-to-face support and educational counseling for distance education students. The University Center of the Mountains in Hazard (in which multiple providers offer full programs) may be a useful model to replicate in other underserved rural areas of the state.
- 5A. Work with Kentucky's universities and KCTCS to develop, implement, and fund a collaborative, affordable, competency-based higher education delivery model that offers career pathways; direct assessment of student learning, in lieu of measuring student learning in credit hours or clock-hours; and online associate and baccalaureate degree programs in high-demand fields tailored to working adults. These programs should be fully supported by online advising and tutoring services, in addition to face-to-face advising and/or instruction.
- 5B. Study the structure of online tuition and fees for the purpose of improving access and affordability for online students.
- 6A. Promote the development of "E-Learning Centers" in rural areas that offer free high-speed Internet access for students enrolled in postsecondary education programs. The centers would be developed through partnerships with schools, libraries, adult education centers, businesses, and nonprofits to provide after-hours access to high-speed Internet services.
- 6B. Work with local governments, USDA Rural Utilities, and telephone and Internet providers to develop an infrastructure to create and expand affordable broadband availability and help eliminate service and cost barriers. In many cases rural areas are paying higher prices for slower speeds than those in more urban areas – if they have access at all.

**Council on Postsecondary Education
June 20, 2013**

**13 KAR 1:020 Private College Licensing –
Regulation Amendment**

ACTION: The staff recommends that the Council approve the proposed amendment of 13 KAR 1:020. The action would authorize staff to file the proposed administrative regulation and the General Counsel, pursuant to KRS 13A.290(4) and KRS 13A.320(1)(b), to make such changes on behalf of the Council as necessary to comply with KRS Chapter 13A and obtain adoption of the amended regulation by the Administrative Regulation Review Subcommittee and the Interim Joint Committee on Education.

13 KAR 1:020 is Kentucky’s administrative regulation that establishes the standards and procedures for the licensing of independent colleges in Kentucky.

Purpose of Licensure

The Council on Postsecondary Education is charged with protecting institutions and citizens of the Commonwealth against those colleges which resort to fraudulent practices, unfair competition, or substandard educational programs per KRS 164.947.

Licensure Authority

KRS 164.947(3) vests the authority to license with the president of the Council. This licensure authority covers:

- All private, for-profit colleges offering a bachelor degree or above.
- All private, non-profit colleges.
- Out-of-state private and public colleges which operate in Kentucky.

If an institution is for-profit and only offering below a bachelor degree, then the institution falls under the authority of the Commission on Proprietary Education (formerly known as the Kentucky State Board for Proprietary Education) per KRS 165A.340.

Licensure Activities

There are six main licensure activities:

1. Licensure of new colleges.
2. Changes to licenses, including new programs and off-campus sites.
3. Maintenance of and renewals of licenses.

4. Annual data reporting.
5. Consumer complaints against licensed colleges.
6. Addressing institutions operating illegally or fraudulently in Kentucky without a license.

Proposed Amendment Language

The proposed amendment will change this existing administrative regulation as follows:

First, faculty qualification requirements will now be specifically identified in the regulation and applied consistently amongst all licensed institutions. Currently, an institution's respective accreditation faculty standards are applied, which vary depending on the accreditor.

Second, the unearned tuition coverage requirement for in-state colleges is modified to a graduated schedule based on a percentage of overall annual net tuition.

Third, annual fees will now be instituted for licensed institutions with less than 100 Kentucky students.

Fourth, out of state institutions with less than one percent of their faculty delivering online instruction from Kentucky to Kentucky students will no longer be required to obtain a license to operate in Kentucky.

Fifth, institutions that do not respond to notifications of deficiency in both initial and supplementary applications within 60 days will now be required to submit a new application and fee in accordance with the fee schedule.

Sixth, the annual licensure application will now be abbreviated in even numbered years.

And finally, technical revisions are being made.

Necessity of Proposed Revision to Regulation

The faculty requirement revisions will help ensure that Kentucky students are receiving quality instruction at any licensed institution they attend consistent with faculty requirements at Kentucky's public postsecondary institutions.

The unearned tuition coverage is revised to a level more commensurate with the refund liability and risk of non-payment to students.

Annual fees are being instituted for institutions with less than 100 Kentucky students to help stabilize the recurring fees collected by the Council and to recognize resources dedicated to licensure functions each year.

The licensure requirement for institutions with less than one percent of their faculty delivering online instruction from Kentucky is removed due to the *de minimis* nature of this contact to Kentucky.

The requirement that institutions respond in 60 days to a notice of deficiency is instituted to facilitate a more efficient application process.

An abbreviated annual licensure process in even numbered years is instituted to alleviate the reporting burden on institutions.

Finally, technical revisions are being made for clarity and conformance with regulation drafting requirements.

Conclusion

The process of revising an administrative regulation, established in KRS Chapter 13A, will be initiated with Council approval of this revised regulation. The proposed revised administrative regulation will go through a public hearing and comment period and review by the Administrative Regulation Review Subcommittee and the Interim Joint Committee on Education.

1 **COUNCIL ON POSTSECONDARY EDUCATION**

2 **(Amendment)**

3 **13 KAR 1:020. Private college licensing.**

4

5 RELATES TO: KRS 164.945, 164.946, 164.947, 164.992, 165A.320

6 STATUTORY AUTHORITY: KRS 164.947(1), (2), 164.020(37)

7 NECESSITY, FUNCTION, AND CONFORMITY: KRS 164.947(1) requires the
8 Council on Postsecondary Education to promulgate an administrative regulation to es-
9 tablish the procedures for the licensing of colleges as defined in KRS 164.945. KRS
10 164.947 provides that religious instruction or training shall not be restricted. This admin-
11 istrative regulation establishes the private college licensing requirements and the re-
12 quirements for religious in-state colleges to apply for an exemption to those licensing
13 requirements.

14

15 Section 1. Definitions. (1) "Accredited" means the approval of an accrediting
16 agency.

17 (2) "Accrediting agency" means a national or regional agency which evaluates
18 colleges and is recognized by the United States Department of Education, the Council
19 on Higher Education Accreditation, or the Council on Postsecondary Education.

20 (3) "Agent" means any person employed by a college to act as a solicitor, broker,
21 or independent contractor to procure students for the college by solicitation in any form

1 made at any place other than the main campus of the college.

2 (4) "College" is defined by KRS 164.945(1).

3 (5) "Degree" is defined by KRS 164.945(2).

4 (6) "Diploma" is defined by KRS 164.946(3).

5 (7) "In-state college" means a college that is chartered by, organized within, and
6 has its principal location in Kentucky.

7 (8) "Net tuition and fees" means the total of tuition and mandatory fee revenue
8 less institutional scholarships and fellowships.

9 (9) "Operating or soliciting" means having a physical presence within Kentucky
10 and includes:

11 (a) An instructional or administrative site within Kentucky whether owned, leased,
12 rented, or provided without charge;

13 (b) Instruction, whether theory or clinical, originating from or delivered within Ken-
14 tucky utilizing teachers, trainers, counselors, advisors, sponsors, or mentors;

15 (c) An agent, recruiter, in-state liaison personnel, institution, or business located
16 in Kentucky that advises, promotes, or solicits for enrollment, credit, or award of an ed-
17 ucational or occupational credential;

18 (d) An articulation agreement with a Kentucky licensed college or state-supported
19 institution; or

20 (e) Advertising, promotional material, or public solicitation in any form that targets
21 Kentucky residents through distribution or advertising in the state.

22 (10)[(9)] "Out-of-state college" means a college that is chartered, organized, or
23 has its principal location outside of Kentucky.

1 ~~(11)~~~~(40)~~ "President" means the president of the Council on Postsecondary Edu-
2 cation.

3 ~~(12)~~~~(44)~~ "Unearned tuition" means the excess of cumulative collections of tuition
4 and other instructional charges over the cumulative amount of earned tuition and other
5 institutional charges prior to the first date of refund in accordance with the college's re-
6 fund policy.

7 (13) "Unrestricted cash" means any cash or cash equivalents held by a college
8 which are available to cover payments to students for any unearned tuition.

9
10 Section 2. General Requirements. (1)(a) Except as provided in paragraph (b) of
11 this subsection or subsection (7) of this section, an in-state or out-of-state college that is
12 operating or soliciting in Kentucky shall be licensed.

13 (b) If a college is operating or soliciting in Kentucky solely for on-ground instruc-
14 tion at a location outside of Kentucky in which students leave Kentucky to attend, licen-
15 sure shall not be required.

16 (2)(a) An out-of-state college shall be licensed separately for each instructional
17 site in Kentucky.

18 (b) Except as provided in paragraph (c) of this subsection, an out-of-state college
19 that is operating or soliciting using on-line instruction to Kentucky residents shall be
20 considered to have an online campus which shall be licensed separately as an instruc-
21 tional site.

22 (c) Licensure shall not be required for an out-of-state college if the college:

23 1. Is only operating and soliciting under Section 1(9)(b) of this administrative reg-

1 ulation solely due to a faculty member residing in Kentucky and providing online instruc-
2 tion to Kentucky students; and

3 2. Has less than one (1) percent of its faculty members residing in Kentucky.

4 (3) A college awarding a certificate, diploma, associate degree, baccalaureate
5 degree, master's degree, doctoral degree, or other degree, whether the degree is
6 earned or honorary, shall be licensed. If a college's program is also required to be li-
7 censed or approved by another state agency as well as the Council on Postsecondary
8 Education, the president shall attempt to coordinate the licensing function with that
9 agency.

10 (4) A college shall offer only those programs, courses, and degrees, including
11 honorary degrees, specifically authorized in the license.

12 (5) If a college ceases offering a licensed program, course, or degree, the college
13 shall notify the president in writing and request that the program, course, or degree be
14 removed from the college's license.

15 (6) Providing false or misleading information shall be grounds for denial of a li-
16 cense, or suspension or revocation of an existing license.

17 (7) A religious in-state college may operate or solicit in Kentucky if the college
18 submits to the council an Application for Religious In-State College Letter of Exemption
19 per KRS 164.947(2). The institution shall submit an application each year by the anni-
20 versary of its initial submission date. As part of the application, the institution shall verify
21 compliance with the requirements established in this subsection.

22 (a) The institution shall be nonprofit, owned, maintained, and controlled by a
23 church or religious organization which is exempt from property taxation under the laws

1 of Kentucky.

2 (b) The name of the institution shall include a religious modifier or the name of a
3 religious patriarch, saint, person, or symbol of the church.

4 (c) The institution shall offer only educational programs that prepare students for
5 religious vocations as ministers or laypersons in the categories of ministry, counseling,
6 theology, religious education, administration, religious music, religious fine arts, media
7 communications, or social work.

8 (d) The titles of degrees issued by the institution shall be distinguished from
9 secular degree titles by including a religious modifier that:

10 1. Immediately precedes, or is included within, any degree title, including an As-
11 sociate of Arts, Associate of Science, Bachelor of Arts, Bachelor of Science, Master of
12 Arts, Master of Science, Advanced Practice Doctorate, Doctor of Philosophy, or Doctor
13 of Education degree; and

14 2. Is placed on the title line of the degree, on the transcript, and whenever the
15 title of the degree appears in official school documents or publications.

16 (e) The duration of all degree programs offered by the institution shall be con-
17 sistent with Section 8(8)(b) of this administrative regulation.

18 (f) The institution shall comply with the truth in advertising requirements estab-
19 lished in Section 8(11) of this administrative regulation.

20 (g)1. The institution shall disclose to each prospective student:

21 a. A statement of the purpose of the institution, its educational programs, and
22 curricula;

23 b. A description of its physical facilities;

- 1 c. Its status regarding licensure;
- 2 d. Its fee schedule and policies regarding retaining student fees if a student with-
- 3 draws;
- 4 e. Its refund policy on tuition and other instructional charges; and
- 5 f. A statement regarding the transferability of credits to and from other institu-
- 6 tions.

7 2. The institution shall make the disclosures required by subparagraph 1. of this

8 paragraph in writing at least one (1) week prior to enrollment or collection of any tuition

9 from the prospective student. The required disclosures may be made in the institution's

10 current catalog.

11 (h) The institution shall not seek to be eligible for state or federal financial aid.

12

13 Section 3. Licensure Application Procedures. (1) An application for a license shall

14 be submitted on the form entitled:

15 (a) Application for Licensure as an In-State, Non-Public Institution to Operate in

16 the Commonwealth of Kentucky Pursuant to 13 KAR 1:020, if the applicant is an in-state

17 college; or

18 (b) Application for Licensure as an Out-of-State Institution to Operate in the

19 Commonwealth of Kentucky Pursuant to 13 KAR 1:020, if the applicant is an out-of-

20 state college.

21 (2) An application shall be accompanied by a copy of the following:

22 (a) College charter;

23 (b) College catalog;

- 1 (c) College constitution and bylaws;
- 2 (d) Student enrollment application;
- 3 (e) Student contract or agreement;
- 4 (f)1. Documentation of accreditation, licensure, or approval by appropriate agen-
- 5 cies; and
- 6 2. Disclosure of any prior loss or denial of:
- 7 a. Accreditation with the dates and reason for the loss or denial; or
- 8 b. Licensure or approval by an agency in this state or another state with the
- 9 dates and reason for the loss or denial; and
- 10 (g) Disclosure of any former names of the college with the dates each former
- 11 name was used.
- 12

13 Section 4. Site Visits. (1) Within ninety (90) working days of the receipt of a full

14 and complete application for a license, a supplementary application, or Application for

15 Annual Maintenance of License or for Renewal of License Pursuant to 13 KAR 1:020,

16 the president may conduct, or may have conducted, a site visit. Personnel conducting

17 the site visit shall possess the expertise appropriate to the type of college to be visited.

18 The purpose of a site visit shall be to make an assessment of a college using the stand-

19 ards for licensure as set forth in Section 8 of this administrative regulation.

20 (2) The president may conduct, or may have conducted, an announced or unan-

21 nounced site visit of a licensed college during reasonable business hours to inspect the

22 files, facilities, and equipment as well as conduct interviews to determine the college's

23 compliance with this administrative regulation and KRS 164.945, 164.946, and 164.947.

1 (3) Failure to provide full access to the college's files, facilities, and equipment or
2 prevention of interviews shall be grounds for denial of a license, or suspension or revo-
3 cation of an existing license.

4 (4) Cost of site visits.

5 (a) Costs connected with a site visit and subsequent visits as may be necessary,
6 such as travel, meals, lodging, and consultant honoraria, shall be paid by the college.

7 (b) The estimated cost of the site visit shall be paid by the college prior to the site
8 visit.

9 (c) The final settlement regarding actual expenses incurred shall be paid by the
10 college no later than thirty (30) days after receipt of the invoice.

11 (d) Failure to pay these costs shall be grounds for denial of a license, or suspen-
12 sion or revocation of an existing license.

13
14 Section 5. Action on Licensure Application. (1) Within ninety (90) working days of
15 the completion of the site visit, or within sixty (60) working days of the submission of a
16 complete licensure application if a site visit is not conducted, the president shall do one
17 (1) of the following:

18 (a) Issue a license for a period of no less than one (1) year, nor more than two
19 (2) years;

20 (b) Deny the application for a license;

21 (c) Notify the applicant college of deficiencies which shall be corrected before a
22 license is issued; or

23 (d) Issue a conditional license in accordance with subsection (3)~~[(2)]~~ of this sec-

1 tion if the college has:

2 1. Not met all of the standards for licensure at the time the application is filed;

3 and

4 2. Provided a written business plan to the president demonstrating it will meet the
5 standards for licensure within a period not to exceed two (2) years.

6 (2) If an institution fails to respond in writing to an official notification of deficiency
7 within sixty (60) working days, it shall be required to submit a new application and fee.

8 (3) A conditional license shall not exceed a period of two (2) years and shall in-
9 clude the conditions the college shall meet in order for the college to progress toward
10 and eventually meet the standards for licensure, including when the college shall report
11 progress to the president and when the college shall be required to have satisfied all the
12 conditions.

13 (a) The college's failure to satisfy the conditions within the specified timeframe
14 shall:

15 1. Result in automatic revocation of the conditional license; or

16 2. Result in an extension of the conditional license based on a determination by
17 the president that the college is making progress in satisfying the conditions in response
18 to the college's written request for an extension with supporting justification.

19 (b) If the college satisfies all the conditions with the timeframe specified, the pres-
20 ident shall issue a license in accordance with subsection (1)(a) of this section.

21

22 Section 6. Supplementary Application Procedures. (1)(a) A Supplementary Appli-
23 cation for Change of Name of Institution Pursuant to 13 KAR 1:020 shall be submitted to

1 the council at least ninety (90) days prior to the effective date of a change in the name
2 of a college.

3 (b) A Supplementary Application for Change of Location of Principal Location of a
4 College or Location of a Licensed Instructional Site in Kentucky Pursuant to 13 KAR
5 1:020 shall be submitted to the council at least (90) days prior to the effective date of a
6 change in the principal location of a college or the location of a licensed instructional
7 site in Kentucky.

8 (c) A Supplementary Application for Change of Ownership or Governance Pur-
9 suant to 13 KAR 1:020 shall be submitted to the council at least ninety (90) days prior to
10 the effective date of a change in ownership or governance of a college.

11 (d) An out-of-state college shall submit a Supplementary Application to Operate
12 as an Out-of-State Institution in the Commonwealth of Kentucky Pursuant to 13 KAR
13 1:020 at least ninety (90) days prior to implementation of a change to offer an additional
14 certificate, diploma, or degree program, major, or other concentration or specialty at an
15 instructional site [~~the main campus~~].

16 (e) A Supplementary Application to Operate as an In-State Nonpublic Institution
17 in the Commonwealth of Kentucky Pursuant to 13 KAR 1:020 shall be submitted by an
18 in-state college at least ninety (90) days prior to the effective date of:

19 1. A change to offer an additional certificate, diploma, or degree program, major,
20 or other concentration or specialty at the main campus; or

21 2. The establishment of an instructional site away from the main campus of an in-
22 state college for the purpose of offering courses for college credit which comprise at
23 least twenty-five (25) percent of the course requirements for a degree program.

1 (f) A college shall submit a Supplementary Application for Administrative Site,
2 Recruitment Office, or Advising Center Pursuant to 13 KAR 1:020 at least ninety (90)
3 days prior to the establishment of an administrative site, recruitment office, or advising
4 center in Kentucky, or the change of location of a licensed administrative site, recruit-
5 ment office, or advising center in Kentucky, if the site, office, or center is not part of a
6 licensed instructional site or proposed instructional site for which the college is seeking
7 licensure.

8 (g) A college shall submit a Supplementary Application for Notification of Change
9 in Accreditation or Licensure Status Pursuant to 13 KAR 1:020 within thirty (30) days
10 following action by an accrediting agency or another state licensing agency which re-
11 sults in:

- 12 1. A college being placed in a probationary status;
- 13 2. A college losing accreditation or licensure; or
- 14 3. A college being denied accreditation or licensure.

15 (2) A site visit may be conducted as part of the supplementary application pro-
16 cess in accordance with Section 4 of this administrative regulation.

17 (3) Failure to submit a complete and accurate supplementary application, if re-
18 quired, shall be sufficient cause for denial of a license, or suspension or revocation of
19 an existing license. The president shall notify the college by registered mail, return re-
20 ceipt, of the denial, suspension, or revocation of the college's license.

21
22 Section 7. Action on Supplementary Applications. (1) Within sixty (60) [thirty (30)]
23 working days of the submission of a complete supplementary application if a site visit is

1 not conducted, or within ninety (90) working days of the completion of a site visit, the
2 president shall do one (1) of the following:

3 (a)[(4)] Approve the supplementary application and amend the current license
4 without changing the renewal date;

5 (b)[(2)] Deny the supplementary application without amendment to the college's
6 license;

7 (c)[(3)] Suspend or revoke the college's license; [øø]

8 (d)[(4)] Notify the applicant college of deficiencies which shall be corrected before
9 the supplementary application is approved and the license is amended; or [-]

10 (e) Issue a conditional license in accordance with subsection (3) of this section if
11 the college has:

12 1. Not met all of the standards for licensure at the time the application is filed;
13 and

14 2. Provided a written business plan to the president demonstrating it will meet the
15 standards for licensure within a period not to exceed two (2) years.

16 (2) If an institution fails to respond in writing to an official notification of deficiency
17 within sixty (60) working days, it shall be required to submit a new application.

18 (3) A conditional license shall not exceed a period of two (2) years and shall in-
19 clude the conditions the college shall meet in order for the college to progress toward
20 and eventually meet the standards for licensure, including when the college shall report
21 progress to the president and when the college shall be required to have satisfied all the
22 conditions.

23 (a) The college's failure to satisfy the conditions within the specified timeframe

1 shall:

2 1. Result in automatic revocation of the conditional license; or

3 2. Result in an extension of the conditional license based on a determination by
4 the president that the college is making progress in satisfying the conditions in response
5 to the college's written request for an extension with supporting justification.

6 (b) If the college satisfies all the conditions with the timeframe specified, the pres-
7 ident shall amend the current license in accordance with subsection (1)(a) of this sec-
8 tion.

9 Section 8. Standards for Licensure. A college shall meet the requirements and
10 standards established in this section in order to be licensed.

11 (1) Financial requirements. The college shall adhere to generally accepted ac-
12 counting practices and present evidence of financial stability, including the following:

13 (a) Financial statements including:

14 1. A statement of financial position of unrestricted net assets and liabilities, in-
15 cluding foundation and trust agreements;

16 2. An audit report prepared by an independent certified public accountant for
17 each corporation of the college; and

18 3. If available, audit reports for the past three (3) years;

19 (b) The name of a bank or other financial institution used by the college as a ref-
20 erence;

21 (c) A statement from the Kentucky Higher Education Assistance Authority related
22 to programs administered by that agency and from the U.S. Department of Education
23 related to programs administered by that department that the college is in good stand-

1 ing; and

2 (d) An annual operating budget for the college.

3 (2) Agents. A college shall be responsible for the actions of its agents when act-
4 ing on behalf of the college.

5 (3) Guarantee of refund of unearned tuition. A college shall guarantee the refund
6 of any unearned tuition held by the college as established in this subsection.

7 (a) Except as provided in paragraph (d) of this subsection, an in-state college
8 shall:

9 1. Secure and maintain a surety bond equal to or in excess of the largest amount
10 of unearned tuition held by the college at any time during the most recently completed
11 fiscal year, executed by a surety company qualified and authorized to do business in
12 Kentucky, and made payable to the Council on Postsecondary Education;

13 2. Maintain an unrestricted cash reserve [~~endowment~~] equal to or in excess of
14 the largest amount of unearned tuition held by the college at any time during the most
15 recently completed fiscal year; or

16 3. Provide a letter of credit equal to or in excess of the largest amount of un-
17 earned tuition held by the college at any time during the most recently completed fiscal
18 year.

19 (b) An out-of-state college shall secure and maintain a surety bond;

20 1. That is:

21 a. Equal to or in excess of the largest amount of unearned tuition held by the col-
22 lege at any time during the most recently completed fiscal year; and

23 b. At least \$10,000;

1 2.~~[.]~~ Executed by a surety company qualified and authorized to do business in
2 Kentucky;~~[.]~~ and

3 3. Made payable to the Council on Postsecondary Education.

4 (c) A college applying for a license for the first time shall estimate the amount of
5 unearned tuition based on projected enrollment and tuition and other instructional
6 charges.

7 (d) An in-state college licensed continuously by the council for:

8 1. Five (5) to ten (10) years shall maintain coverage by surety bond, letter of
9 credit, or unrestricted cash reserve for ten (10) percent of its annual total net tuition and
10 fees collected by the college in its most recently completed fiscal year; or

11 2. Ten (10) years or more shall maintain coverage by surety bond, letter of cred-
12 it, or unrestricted cash reserve for five (5) percent of its annual total net tuition and fees
13 collected by the college in its most recently completed fiscal year.

14 (e) A college shall provide a letter ~~[statement]~~ from an independent certified pub-
15 lic accountant confirming that the college is in compliance with this subsection.

16 (4) Notice required.

17 (a) If a surety bond is terminated, a college shall notify the president and the li-
18 cense shall automatically expire with the bond unless a replacement bond is provided
19 without a lapse in bonding.

20 (b) An in-state college using an unrestricted cash reserve ~~[endowment]~~ or letter
21 of credit to satisfy the provisions of subsection (3) of this section shall notify the presi-
22 dent if the unrestricted cash reserve ~~[endowment]~~ or letter of credit falls below the re-
23 quired amount, and the college shall obtain a surety bond for the required amount.

1 (5) Personnel requirements.

2 (a) The college shall furnish information regarding the administrative officers, the
3 directors, the owners, and the faculty, as required by the appropriate application form.

4 (b) The chief administrator shall hold at least an earned baccalaureate degree
5 from an accredited or licensed college and shall have sufficient experience to qualify for
6 the position.

7 (c) Faculty members~~[shall possess academic, scholarly, and teaching qualifica-~~
8 ~~tions similar to those required for faculty in accredited colleges that offer degrees at~~
9 ~~comparable levels].~~

10 1. Each degree possessed by a faculty member shall be from an institution ac-
11 credited by an accrediting agency recognized by the U.S. Department of Education or
12 the Council for Higher Education Accreditation.

13 2. To teach a certificate or diploma course, a faculty member shall have:

14 a. A bachelor's degree; or

15 b. A high school diploma or GED along with one (1) or more of the following:

16 (i) Completed a training or degree program in the applicable occupational area;

17 (ii) Demonstrated outstanding professional experience;

18 (iii) Demonstrated outstanding professional contributions to the discipline being
19 taught; or

20 (iv) Professional licensure or certification in the field.

21 3. To teach an associate degree course not designed for transfer to a baccalau-
22 reate degree, a faculty member shall hold:

23 a. A bachelor's degree in the discipline being taught; or

1 b. An associate's degree in the discipline being taught along with one (1) or more
2 of the following:

3 (i) Demonstrated outstanding professional experience;

4 (ii) Demonstrated outstanding professional contributions to the discipline being
5 taught; or

6 (iii) Professional licensure or certification in the field.

7 4. To teach a general education course, a faculty member shall hold:

8 a. A master's degree in the discipline being taught; or

9 b. A master's degree with a minimum of eighteen (18) graduate semester hours
10 in the discipline being taught.

11 5. To teach a baccalaureate course or an associate course designed for transfer
12 to a baccalaureate degree, a faculty member shall hold:

13 a. A master's degree in the discipline being taught;

14 b. A master's degree with a minimum of eighteen (18) graduate semester hours
15 in the discipline being taught; or

16 c. A baccalaureate degree in the discipline being taught along with one (1) or
17 more of the following:

18 (i) Demonstrated outstanding professional experience;

19 (ii) Demonstrated outstanding professional contributions to the discipline being
20 taught; or

21 (iii) Professional licensure or certification in the field.

22 6. To teach a graduate course, a faculty member shall hold:

23 a. An earned doctorate or terminal degree in the discipline being taught or in a

1 related discipline; or

2 b. A master's degree in the discipline being taught along with one (1) or more of

3 the following:

4 (i) Demonstrated outstanding professional experience;

5 (ii) Demonstrated outstanding professional contributions to the discipline being

6 taught; or

7 (iii) Professional licensure or certification in the field.

8 (d) There shall be a sufficient number of full-time faculty to ensure continuity and
9 stability of the educational program.

10 (e) Teaching loads of faculty members shall be consistent with recognized edu-
11 cational practices, and shall be appropriate to the field, the variety of courses assigned,
12 class size, and other related factors.

13 (6) Facilities and equipment.

14 (a) An instructional program shall be conducted in a facility in accordance with
15 the requirements specified on the appropriate application form.

16 (b) Enrollment shall not exceed the design characteristics of the facilities.

17 (c) A college shall have facilities and equipment that are:

18 1. Maintained and operated in compliance with the safety and health require-
19 ments set forth in local, city, and county ordinances, and federal and state law; and

20 2. Adequate and appropriate for instruction in classrooms and laboratories.

21 (7) Library resources. The library shall be appropriate to support the programs
22 offered by the college in accordance with this subsection.

23 (a) A college, through ownership or formal agreements, shall provide and support

1 student and faculty access to adequate library collections, and to other learning and in-
2 formation resources where courses and programs are offered. Library resources shall
3 be appropriate to the degree level offered by the college, and shall be sufficient to sup-
4 port all educational, research, and public service programs.

5 (b) A college that does not provide its own library facilities, but instead relies on
6 another institution, shall demonstrate that it has permission to utilize the resources of
7 the other institution, by providing a copy of the written agreement to the president at the
8 time of license application, and prior to the offering of any courses.

9 (c) A college that is dependent on another college or library for library resources
10 shall make the extent of the dependence and the details of the agreements clear both to
11 the president and to students and faculty.

12 (d) Library expenditures, expressed as a percentage of the total educational and
13 general budget, shall be consistent with the percentage of library expenditures com-
14 monly observed in accredited colleges of similar types.

15 (e) Library staff shall be qualified as required for accredited colleges of similar
16 types.

17 (f) Sufficient seating and work space for a reasonable proportion of the faculty
18 and students to be accommodated at one (1) time shall be provided as observed in ac-
19 credited colleges of similar types.

20 (g) The physical environment of the library shall be conducive to reflective intel-
21 lectual pursuits common to institutions of higher learning.

22 (8) Curriculum. Earned degrees awarded by a college shall be bona fide aca-
23 demic degrees and the courses offered in degree programs shall be of collegiate quality

1 as determined by the president using the criteria established in this section.

2 (a)1. Except as provided in subparagraph 2. of this paragraph, a course offered
3 in a degree program shall be consistent with a course that is generally transferable for
4 credit among accredited colleges where the program is at a corresponding degree level,
5 or for credit toward the baccalaureate degree if a program is at the associate degree
6 level.

7 2. A course may be offered that is not transferable based on the uniqueness of a
8 program.

9 (b) A college shall require a minimum of:

10 1. Sixty (60) student credit hours for an associate degree;

11 2. 120 student credit hours for a baccalaureate degree; or

12 3. Thirty (30) student credit hours for a post-baccalaureate, graduate, or first pro-
13 fessional degree.

14 (c) A minimum of twenty-five (25) percent of the student credit hours required for
15 a degree shall be earned through instruction offered by:

16 1. The college awarding the degree; or

17 2. A college that is:

18 a. A party to a joint, cooperative, or consortia agreement; and

19 b. Either:

20 (i) Licensed by the Council on Postsecondary Education; or

21 (ii) A Kentucky state-supported postsecondary education institution.

22 (d) A majority of the student credit hours required for a graduate degree may be
23 met through a joint, cooperative, or consortia agreement in which the instruction is of-

1 fered by a college that is:

2 1. A party to the agreement; and

3 2. Either:

4 a. Licensed by the Council on Postsecondary Education; or

5 b. A Kentucky state-supported postsecondary education institution.

6 (e) A college shall have a systematic program of curriculum revision in order to
7 maintain the general standards of accredited colleges with similar programs.

8 (f) A college shall have a program of evaluation that includes a periodic assess-
9 ment of the changes in student achievement.

10 (9) General education.

11 (a) A minimum of fifteen (15) student credit hours for associate degree programs
12 and thirty (30) student credit hours for baccalaureate degree programs shall be earned
13 in general education, including science, mathematics, social and behavioral sciences,
14 and humanities. A college which offers an interdisciplinary general education program, a
15 block-type program, or other unique general education program shall be considered to
16 be in compliance with the general education requirement if the president determines
17 that the program content and distribution are appropriately related to the degree and in-
18 stitutional purposes.

19 (b) A new college, or any existing college which initiates a new associate degree
20 or baccalaureate degree program or major, or other concentration or specialty, after
21 March 5, 2010, shall comply fully from the outset with the general education require-
22 ments.

23 (10) Program supervision and instructional support. Regardless of location, type

1 of program, method of instruction, or other characteristics, an instructional program for
2 which degree credit is awarded shall include:

3 (a) Adequate supervision by the college; and

4 (b) Other instructional support necessary to maintain the program.

5 (11) Truth in advertising. A college shall meet the requirements established in
6 this subsection regarding advertising.

7 (a) Advertisements, announcements, or promotional material of any kind which
8 are distributed in Kentucky shall not contain any statements that are untrue, deceptive,
9 or misleading with respect to the college, its personnel, its services, or the content, ac-
10 creditation status, or transferability of its courses or degree programs.

11 (b) Advertisements, announcements, or other materials produced by or on behalf
12 of the college shall not indicate that the college is "supervised", "recommended", "en-
13 dorsed", or "accredited" by the Commonwealth of Kentucky, by the Council on Postsec-
14 ondary Education, or by any other state agency. A statement using the name of the
15 Council on Postsecondary Education, if any, shall be in exactly the following form,
16 based on which statement is applicable to the college:

17 1. "(Name of College) is licensed by the Kentucky Council on Postsecondary Ed-
18 ucation."; or

19 2. "(Name of College) has a religious exemption from the Kentucky Council on
20 Postsecondary Education to operate or solicit in Kentucky."

21 (12) Recruitment and enrollment procedures. A college shall furnish the following
22 to each student prior to enrollment:

23 (a) The college's policies on grades, attendance, and conduct;

- 1 (b) A description of the instructional program;
- 2 (c) A detailed schedule of all charges, rentals, and deposits;
- 3 (d) The schedule of refunds of all charges, rentals, and deposits; and
- 4 (e) The student enrollment application, contract, or agreement.

5 (13) Student affairs.

6 (a) Students admitted to the college shall have completed a state-approved sec-
7 ondary school program or its equivalent.

8 (b) The college shall provide academic counseling by faculty or staff to each stu-
9 dent at the time of admission and throughout the program.

10 (c) The college shall make assistance and counseling available to each student
11 who completes a technical or vocational program for the purpose of assisting the stu-
12 dent with an appropriate job placement or with transfer.

13 (d) The college shall maintain sufficient records for each student to provide an
14 understanding of his or her background, to record progress through the instructional
15 program, and for reference purposes.

16 (e) Administrative officers of the college shall be knowledgeable of the federal
17 and state laws and administrative regulations concerning the disclosure of student in-
18 formation and shall comply with those laws and administrative regulations.

19 (f) A college shall make provision for the maintenance of student records if the
20 college ceases operations in accordance with KRS 164.020(23). The location of student
21 records shall be approved in advance by the president.

22 (14) College policies.

23 (a) The college shall maintain records in an orderly manner and make them

1 available for inspection by the president or his or her designated representative.

2 (b) A catalog shall be published and distributed at least every two (2) years and
3 shall include general information, administrative policies, and academic policies of the
4 college including:

5 1. General information:

6 a. Official name and address of the college, name of the chief administrative of-
7 ficers, members of the governing body, and names of principal owners;

8 b. The college's calendar for the period covered by the catalog including begin-
9 ning and ending dates of each term or semester, registration and examination dates,
10 legal holidays, and other important dates;

11 c. Names of faculty, including relevant education and experience; and

12 d. Full disclosure of the philosophy and purpose of the college;

13 2. Administrative policies:

14 a. Admissions policies and procedures, applicable to the various programs, in-
15 cluding policies regarding granting of credit for previous education;

16 b. Policies and procedures regarding student conduct and behavior and the pro-
17 cess for dealing with cases which culminate in probation or dismissal;

18 c. Schedules for all tuition and instructional charges, and refund schedules for
19 the tuition and instructional charges;

20 d. Statement of financial aid available to students; and

21 e. Procedures for obtaining transcripts in a timely fashion and at reasonable cost;

22 and

23 3. Academic policies:

- 1 a. Policy on class attendance;
- 2 b. Description of grading system;
- 3 c. Description of the degree, diploma, certificate, or other programs, including the
- 4 course requirements and the time normally required to complete each degree, diploma,
- 5 certificate, or other program; and
- 6 d. Full description of the nature and objectives of all degrees offered.

7 (c) Refund policy on tuition and other instructional charges. The refund policy
8 shall meet the minimum requirements established in this paragraph.

9 1. If tuition and other instructional charges are collected in advance of enrollment
10 and the student fails to enroll, the college shall retain not more than \$100, or not more
11 than ten (10) percent of the tuition and other instructional charges for a term or semes-
12 ter, whichever is less.

13 2. a. Except as provided in clause b. of this subparagraph, tuition and other in-
14 structional charges shall be charged by the enrollment period, and the student shall not
15 be obligated for tuition or other instructional charges relating to an enrollment period
16 that had not begun when the student withdrew.

17 b. The president may approve program tuition for a specific program at a college
18 if a student may only enroll at the beginning of the program sequence and shall remain
19 in phase. If program tuition is approved, the college shall refund tuition and other in-
20 structional charges in accordance with its published refund policy that considers both
21 the coursework completed prior to withdrawal and the coursework that remains.

22 3. If a student withdraws from the college, or if a student fails to attend classes
23 for a period of thirty (30) days during which classes are in session, the college shall offi-

1 cially withdraw the student from the college and shall refund an amount reasonably re-
2 lated to the period for which the student is not enrolled and shall refund 100 percent of
3 all other tuition and other fees collected by the college for subsequent enrollment or reg-
4 istration periods unless the student is enrolled in a program for which program tuition is
5 charged as specified in subparagraph 2. of this paragraph.

6 a. After completion of fifty (50) percent of the enrollment period, the college shall
7 not be required to make refunds of tuition or other fees for that period.

8 b. In all other cases, including illness or accident, the college shall make a refund
9 settlement.

10 c. Refunds shall be made within thirty (30) days after notification of withdrawal
11 has been received by the college.

12 4. If a college is accredited by an accrediting agency which has a specific refund
13 policy which is more favorable to the student, that policy shall be followed.

14 5. An out-of-state college shall refund in accordance with this section unless its
15 policy is more favorable to the student, in which case the latter shall be followed.

16

17 Section 9. Failure to Apply for a License. (1) If a college which is subject to this
18 administrative regulation fails to apply for a license, the president shall notify the college
19 by registered mail of the requirement to obtain a license.

20 (2) If a license application is not then received within sixty (60) days of notifica-
21 tion by the president, the president shall require the chief administrative officer to ap-
22 pear for a hearing as provided in Section 14 of this administrative regulation.

23 (3) If the chief administrative officer does not appear for the hearing, the presi-

1 dent shall refer the case to the appropriate county attorney for enforcement.

2

3 Section 10. Annual Maintenance of a College's License and Renewal of a Col-
4 lege's License. (1) A college shall submit an Application for Annual Maintenance of Li-
5 cense or for Renewal of License Pursuant to 13 KAR 1:020 to the president by April 1 of
6 each year.

7 (a) In an odd numbered year, the application shall contain the following infor-
8 mation:

9 1.[(a)] Financial Information:

10 a.[4-] A statement from the Kentucky Higher Education Assistance Authority re-
11 lated to programs administered by that agency and from the United States Department
12 of Education related to programs administered by that department that the college is in
13 good standing;

14 b.[2-] A letter ~~[statement]~~ prepared by an independent certified public accountant
15 confirming that the college is in compliance with Section 8(3) of this administrative regu-
16 lation; and

17 c.[3-] Financial statement including assets and liabilities and an audit report pre-
18 pared by an independent certified public accountant within the last year;

19 2.[(b)] Institutional information:

20 a.[4-] Name and address of college;

21 b.[2-] Chief executive officer's name, title, address, phone number, fax number,
22 and email address;

23 c.[3-] Institutional liaison's name, title, address, phone number, fax number, and

1 email address;

2 d.[4-] A current list of the college's agents;

3 e.[5-] Copies of articles of incorporation, charter, constitution, and by-laws if there
4 have been any changes to the documents within the last two (2) years [year]; and

5 f.[6-] A copy of each articulation agreement the college has with a Kentucky li-
6 censed college or state-supported institution entered into or changed within the last two
7 (2) years [year];

8 3.[(e)] Accreditation status:

9 a.[4-] If the college is accredited by an accrediting agency, verification of the col-
10 lege's accreditation status; or

11 b.[2-] If the college is not accredited by an accrediting agency, a statement indi-
12 cating if, when, and from whom the college will seek accreditation;

13 4.[(d)] Tuition for the current enrollment period per credit hour, specifying semes-
14 ter hour, quarter hour, or other basis, and per full-time student;

15 5.[(e)] A copy of the college's current catalog;

16 6.[(f)] For an in-state college, a list of all licensed instructional sites away from the
17 main campus of the in-state college for the purpose of offering courses for college credit
18 which comprise at least twenty-five (25) percent of the course requirements for a degree
19 program, including the name and title of the primary contact of the off-campus site, ad-
20 dress, phone number, and program or programs by CIP code offered at the site, or
21 course or courses if not offering an entire degree program at the site;

22 7.[(g)] Program information:

23 a.[4-] Changes, if any, in program requirements for each program within the last

1 two (2) years [year] including admission requirements, courses required, and the num-
2 ber of credit hours required for the program or major;

3 b.[2.] Results of the most recent program evaluation;

4 c.[3.] Methods used to assess student achievement;

5 d.[4.] Results of the most recent assessment of student achievement; and

6 e.[5.] A list of programs withdrawn within the last two (2) years [year] in which
7 there are no longer students enrolled including program title, degree level, CIP code,
8 and address where the program is no longer being offered;

9 8.[(h)] Faculty information: Vitae for each program faculty member employed
10 within the last two (2) years [year];

11 9.[(i)] Facilities information: Verification of compliance with all applicable local,
12 state, and federal safety and fire codes; and

13 10.[(j)] Library information regarding the library collection and budget, and lease,
14 contract, or letter of agreement authorizing use of another library collection, if any.

15 (b) In an even numbered year, the application shall only contain the information
16 required by paragraphs (a)1.b., (a)2.a., b., and c., of this subsection. An institution shall
17 provide any other information listed in paragraph (a) of this subsection upon request of
18 the council.

19 (2) The president may conduct, or may have conducted, a site visit as part of the
20 annual maintenance of a license or renewal of a license process in accordance with
21 Section 4 of this administrative regulation.

22 (3) Within ninety (90) working days of the submission of a complete and accurate
23 Application for Annual Maintenance of License or for Renewal of License Pursuant to 13

1 KAR 1:020 if a site visit is not conducted, or within ninety (90) working days of the com-
2 pletion of a site visit, the president shall:

3 (a) Notify the college of any deficiencies which shall be corrected before the col-
4 lege's license is maintained or renewed;

5 (b) Deny maintenance or renewal of the college's license;

6 (c) Maintain the college's license without changing the college's license renewal
7 date; [ø]

8 (d) Renew the college's license to June 30 of the next year; or [÷]

9 (e) Issue a conditional license in accordance with subsection (4) of this section if
10 the college has:

11 1. Not met all of the standards for licensure at the time the application is filed;

12 and

13 2. Provided a written business plan to the president demonstrating it will meet the
14 standards for licensure within a period not to exceed one (1) year.

15 (4) A conditional license shall not exceed a period of one (1) year and shall in-
16 clude the conditions the college shall meet in order for the college to progress toward
17 and eventually meet the standards for licensure, including when the college shall report
18 progress to the president and when the college shall be required to have satisfied all the
19 conditions.

20 (a) The college's failure to satisfy the conditions within the specified timeframe
21 shall:

22 1. Result in automatic revocation of the conditional license; or

23 2. Result in an extension of the conditional license based on a determination by

1 the president that the college is making progress in satisfying the conditions in response
2 to the college's written request for an extension with supporting justification.

3 (b) If the college satisfies all the conditions with the timeframe specified, the
4 president shall renew the license in accordance with subsection (3)(d) of this section.

5 (5) [(4)] A college's failure to submit a complete and accurate Application for An-
6 nual Maintenance of License or for Renewal of License Pursuant to 13 KAR 1:020 shall
7 be grounds for denial of a license, or suspension or revocation of an existing license,
8 and the president shall notify the college by registered mail, return receipt, of the denial,
9 suspension, or revocation of the college's license.

10

11 Section 11. Required Data Submission. (1) A licensed college shall submit stu-
12 dent attendance and performance data in an electronic format. The required data fields,
13 the format and method of submission, and the dates for submission shall be in accord-
14 ance with the Licensure Compliance Reporting Manual.

15 (2) The president may conduct, or may have conducted, a site visit as part of the
16 data submission process in accordance with Section 4 of this administrative regulation.

17 (3) A college's failure to submit complete, timely, and accurate data shall be suf-
18 ficient grounds for denial of a license, or suspension or revocation of an existing license,
19 and the president shall notify the college by registered mail, return receipt, of the denial,
20 suspension, or revocation of the college's license.

21 Section 12. License Expiration. (1) A license shall automatically expire if the col-
22 lege ceases operating or soliciting.

23 (2) A college that ceases operating or soliciting shall comply with Section 8(13)(f)

1 of this administrative regulation and KRS 164.020(23).

2

3 Section 13. Consumer Complaint Procedure. A person with a complaint or grievance involving misrepresentation against a college licensed under this administrative regulation shall make a reasonable effort to resolve the complaint or grievance directly with the college. If a mutually satisfactory solution cannot be reached, the procedures established in this section shall be followed.

8 (1) A person shall submit a written complaint to the president which contains evidence relevant to the complaint and documentation that a reasonable effort was made to resolve the complaint directly with the college.

11 (2) The president shall require an institution to file a written response setting forth the relevant facts concerning the consumer complaint, including a statement on the current status of the complaint, and any resolution of the complaint.

14 (3) The president shall review the facts as presented and may intervene to bring the matter to a satisfactory conclusion through facilitation, but the facilitation shall not include legal action on behalf of any party.

17

18 Section 14. Hearings and Appeals. (1) The president shall, for cause, require the chief administrative officer, or other officers, of a college to appear for a hearing within thirty (30) working days of notice~~[consistent with the provisions of KRS 13B.005-13B.170,]~~ in order to determine the facts if the president has determined that there is sufficient cause for a suspension, a[or] revocation of a license, or placement of a college's license in a probationary status or if a college which is subject to this administra-

1 tive regulation fails to apply for a license.

2 (2) The officer, or other officers, of the college may be accompanied at the hear-
3 ing by counsel of their own choosing and at their expense.

4 (3) Within thirty (30) working days after a hearing is held or if the college fails to
5 appear for the hearing, the president shall reach a determination and shall issue find-
6 ings, in writing, to the council and to the chief executive officer of the college.

7 (4) If it is determined that the public interest requires that sanctions be imposed,
8 the president shall:

9 (a) Impose one (1) of the following sanctions:

10 1. Place the college's license in a probationary status for a designated period not
11 to exceed one (1) year while deficiencies are being corrected;

12 2. Suspend the college's license for a period not to exceed one (1) year; or

13 3. Revoke the college's license; or

14 (b) Refer the case to other officials for appropriate legal action.

15 (5) A college which is sanctioned, whether the sanction is probation, suspension
16 of license, or revocation of license, shall comply with the terms of the sanction.

17 (6) A college may appeal the actions of the president regarding the denial of is-
18 suance of a license or license renewal or the imposition of sanctions according to the
19 procedures established in this subsection.

20 (a) A college shall notify the president of the intent to appeal an action within
21 fourteen (14) days of the receipt of the letter notifying the college of the action taken.

22 (b) The president shall request that the Office of Administrative Hearings appoint
23 a hearing officer who shall conduct an administrative hearing consistent with the provi-

1 sions of KRS 13B.005-13B.170.

2 (c) The appeal shall be presented in writing no later than sixty (60) days following
3 the receipt of notification of intent to appeal. The appeal shall be considered on the writ-
4 ten record alone.

5 (d) The appeals officer shall review findings of fact, consider testimony, draw
6 conclusions, and formulate a recommendation consistent with the facts and this admin-
7 istrative regulation.

8 (e) Upon completion, the report of the appeals officer shall be forwarded to the
9 college and to the president of the Council on Postsecondary Education.

10 (f) Within thirty (30) working days of receiving the report of the appeals officer,
11 the president shall take one (1) of the following actions:

- 12 1. Issue a license;
- 13 2. Renew the license;
- 14 3. Impose one (1) of the sanctions authorized in this section; or
- 15 4. Refer the case to other officials for appropriate action.

16

17 Section 15. License Fees. (1) The president shall assess a fee in accordance
18 with the Kentucky Licensure Fee Schedule.

19 (2) Failure to pay a fee shall be sufficient grounds for denial of a license, or sus-
20 pension or revocation of an existing license.

21

22 Section 16. Incorporation by Reference. (1) The following material is incorporated
23 by reference:

- 1 (a) "Application for Licensure as an In-State, Non-Public Institution to Operate in
2 the Commonwealth of Kentucky Pursuant to 13 KAR 1:020", June 2013 [~~November~~
3 ~~2009~~];
- 4 (b) "Application for Licensure as an Out-of-State Institution to Operate in the
5 Commonwealth of Kentucky Pursuant to 13 KAR 1:020", June 2013 [~~November 2009~~];
- 6 (c) "Supplementary Application for Change of Name of Institution Pursuant to 13
7 KAR 1:020", June 2013 [~~November 2009~~];
- 8 (d) "Supplementary Application for Change of Location of Principal Location of a
9 College or Location of a Licensed Instructional Site in Kentucky Pursuant to 13 KAR
10 1:020", June 2013 [~~November 2009~~];
- 11 (e) "Supplementary Application for Change of Ownership or Governance Pursu-
12 ant to 13 KAR 1:020", June 2013 [~~November 2009~~];
- 13 (f) "Supplementary Application to Operate as an Out-of-State Institution in the
14 Commonwealth of Kentucky Pursuant to 13 KAR 1:020", June 2013 [~~November 2009~~];
- 15 (g) "Supplementary Application to Operate as an In-State Nonpublic Institution in
16 the Commonwealth of Kentucky Pursuant to 13 KAR 1:020", June 2013 [~~November~~
17 ~~2009~~];
- 18 (h) "Supplementary Application for Administrative Site, Recruitment Office, or
19 Advising Center Pursuant to 13 KAR 1:020", June 2013 [~~November 2009~~];
- 20 (i) "Supplementary Application for Notification of Change in Accreditation or Li-
21 censure Status Pursuant to 13 KAR 1:020", June 2013 [~~November 2009~~];
- 22 (j) "Application for Annual Maintenance of License or for Renewal of License
23 Pursuant to 13 KAR 1:020", June 2013 [~~November 2009~~];

1 (k) "Licensure Compliance Reporting Manual", September 8, 2009;
2 (l) "Kentucky Licensure Fee Schedule", June 2013 [~~January 2010~~]; and
3 (m) "Application for Religious In-State College Letter of Exemption per KRS
4 164.947(2)", September 2012.

5 (2) This material may be inspected, copied, or obtained, subject to applicable
6 copyright law, at the Kentucky Council on Postsecondary Education, 1024 Capital Cen-
7 ter Drive, Suite 320, Frankfort, Kentucky 40601, Monday through Friday, 8 a.m. to 4:30
8 p.m.

Date

Pam Miller, Chair
Council on Postsecondary Education

APPROVED AS TO FORM:

Date

Travis Powell, General Counsel
Council on Postsecondary Education

PUBLIC HEARING AND PUBLIC COMMENT PERIOD: A public hearing on this administrative regulation shall be held on August 21, 2013, at 10:00 a.m. at the Council on Postsecondary Education, 1024 Capital Center Drive, Suite 320, Frankfort, Kentucky, 40601 in Conference Room A. Individuals interested in being heard at this hearing shall notify this agency in writing five workdays prior to the hearing of their intent to attend. If no notification to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted until 4:30 pm EST, September 3, 2013.

Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

CONTACT PERSON:

Sarah Levy
Director of Postsecondary Licensing
Council on Postsecondary Education
1024 Capital Center Drive
Suite 320
Frankfort, Kentucky 40601
Phone: 502.573.1555 ext. 350
Fax: 502.573.1535
Email: sarah.levy@ky.gov

REGULATORY IMPACT ANALYSIS
AND TIERING STATEMENT

Administrative Regulation 13 KAR 1:020. *Private College Licensing*

Contact person: Sarah Levy
Director of Postsecondary Licensing
Council on Postsecondary Education
1024 Capital Center Drive, Suite 320
Frankfort, KY 40601
502.573.1555 ext. 350
sarah.levy@ky.gov
FAX: 502.573.1535

- (1) Provide a brief summary of:
 - (a) What this administrative regulation does: This administrative regulation sets the standards and rules related to the licensing of private nonprofit postsecondary education institutions, and proprietary postsecondary education institutions that are not licensed by the Commission on Proprietary Education.
 - (b) The necessity of this administrative regulation: KRS 164.945 through 164.947 requires the Council on Postsecondary Education to license these institutions as a protection for Kentucky citizens and to protect bona fide institutions from those who engage in fraudulent practices, unfair competition, or substandard educational programs.
 - (c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 164.947 states that the Council on Postsecondary Education, by regulation, shall adopt standards and procedures for the licensing of colleges.
 - (d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: The administrative regulation sets out the standards institutions must meet in order to be licensed to operate in Kentucky. It also defines the process for new license applications, for amendments to licenses, and for license renewals.
- (2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

(a) How the amendment will change this existing administrative regulation:

First, faculty qualification requirements will now be specifically identified in the regulation and applied consistently amongst all licensed institutions. Currently, an institution's respective accreditation faculty standards are applied, which vary depending on the accreditor. Second, the unearned tuition coverage requirement for in-state colleges is modified to a graduated schedule based on a percentage of overall annual net tuition and fees. Third, annual fees will now be instituted for licensed institutions with less than 100 Kentucky students. Fourth, licensure will no longer be required for out-of-state institutions "operating or soliciting" in Kentucky only as a result of less than one percent of their faculty delivering online instruction from Kentucky. Fifth, institutions that do not respond to notifications of deficiency in both initial and supplementary applications within 60 days will now be required to submit a new application and fee in accordance with the fee schedule. Sixth, the annual licensure application will now be abbreviated in even numbered years. And finally, technical revisions are being made.

(b) The necessity of the amendment to this administrative regulation: The faculty requirement revisions will help ensure that Kentucky students are receiving quality instruction at any licensed institution they attend consistent with what is adhered to by Kentucky's public postsecondary institutions. The unearned tuition coverage for in-state colleges is revised to a level more commensurate with the refund liability and risk of non-payment to students. Annual fees are being instituted for institutions with less than 100 Kentucky students to help stabilize the recurring fees collected by the Council and to recognize resources dedicated to licensure functions each year. The licensure requirement for institutions with less than one percent of their faculty delivering online instruction from Kentucky is removed due to the *de minimis* nature of this contact to Kentucky. The requirement that institutions respond in 60 days to a notice of deficiency is instituted to facilitate a more efficient application process. An abbreviated annual licensure process in even numbered years will help to

alleviate the reporting burden on institutions. Finally, technical revisions are being made for clarity, consistency, and conformance with regulation drafting requirements.

(c) How the amendment conforms to the content of the authorizing statutes: The amendment conforms with the requirements of KRS 164.947 by helping to ensure that licensed institutions provide a quality educational experience for Kentucky students.

(d) How the amendment will assist in the effective administration of the statutes: The amendment helps to better ensure quality education for Kentucky students and provides a licensure process better aligned with the statutory goals.

- (3) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: The Council currently licenses ninety (90) institutions with multiple licensed instructional sites, and eleven (11) new applications are currently under review. The primary impact is on institutions currently licensed or who are in the process of being licensed by the Council, and on those new institutions who will seek licenses from the Council.
- (4) Provide an assessment of how the above group or groups will be impacted by either the implementation of this administrative regulation, if new, or by the change if it is an amendment, including:
- (a) List the actions that each of the regulated entities identified in question (3) will have to take to comply with this administrative regulation or amendment: In-state colleges licensed 5 years or more will need to provide unearned tuition coverage in an amount based on the new calculation. All licensed institutions will now file an abbreviated annual licensure application in even numbered years. Licensed institutions with less than 100 Kentucky students will now be required to pay an annual fee.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (3): For in-state institutions falling under the new unearned tuition coverage requirement, the coverage amount is likely to be less thereby requiring them to either maintain a smaller pool of funds or to purchase a surety bond or letter of credit for a smaller amount, which would cost less. Institutions licensed for more than five (5) years with 500 Kentucky students or less will now pay a \$500 annual fee. This is \$250 more for the institutions with 100-500 Kentucky students. Those institutions with less than 100 Kentucky students are not currently required to pay an annual fee. Institutions licensed less than five (5) years with 100 Kentucky students or less will pay \$1000 annually. Currently there is no fee for such institutions. The abbreviated annual application process in even years should result in cost savings of an undetermined amount for institutions as fewer resources will be required to complete the process in those years.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (3): See 4(b) above. Cost of compliance with the unearned tuition requirement will likely decrease for some institutions. The annual licensure process burden will decrease in even numbered years.

(5) Provide an estimate of how much it will cost to implement this administrative regulation:

(a) Initially: We estimate that there will be no additional cost in implementing the proposed changes to the regulation.

(b) On a continuing basis: We estimate that there will be no additional costs on a continuing basis to implement the proposed changes to the regulation.

(6) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: License fees and General Fund appropriations.

- (7) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change if it is an amendment: No. Implementation of this amendment does not require an increase in fees or funding, however fees are being increased slightly for institutions with small enrollments to enable the Council to obtain more recurring fees to cover the cost of administration as the licensure of new institutions begins to wane.
- (8) State whether or not this administrative regulation establishes any fees or directly or indirectly increases any fees: Yes. The proposed amendment to the regulation directly increases fees slightly for institutions with very small enrollments of Kentucky students.
- (9) TIERING: Is tiering applied? Tiering is applied. The amendment provides that in-state colleges licensed between 5 and 10 years and 10 years or more will have an unearned tuition coverage requirement that differs from that of out-of-state institutions and in-state institutions licensed less than 5 years. The risk for students being unable to recover unearned tuition payments in the event of a closure is less for in-state institutions, particularly those that have maintained licensure status with the Council through at least one accreditation cycle (10 years).

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Regulation No. 13 KAR 10:020. Private College Licensing.

Contact Person: Sarah Levy

1. What units, parts or divisions of state or local government (including cities, counties, fire departments, or school districts) will be impacted by this administrative regulation? CPE is responsible for implementation, but this regulation only applies to private colleges and universities and public institutions in other states.

2. Identify each state or federal statute or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 164.947 and 164.020(37)

3. Estimate the effect of this administrative regulation on the expenditures and revenues of a state or local government agency (including cities, counties, fire departments, or school districts) for the first full year the administrative regulation is to be in effect.

(a) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for the first year? We estimate revenue generation of \$325,000 per year for CPE. This increased fee is estimated to generate an additional \$50,000 per year.

(b) How much revenue will this administrative regulation generate for the state or local government (including cities, counties, fire departments, or school districts) for subsequent years? See 3(a).

(c) How much will it cost to administer this program for the first year? Approximately \$345,500.

(d) How much will it cost to administer this program for subsequent years? Approximately \$345,500.

Note: If specific dollar estimates cannot be determined, provide a brief narrative to explain the fiscal impact of the administrative regulation.

Revenues (+/-):

Expenditures (+/-):

Other Explanation: N/A

Summary of Changes to Material Incorporated by Reference

1. The “Application for Licensure as an In-State, Non-Public Institution to Operate in the Commonwealth of Kentucky pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this application and identification of certain Integrated Postsecondary Education Data System (IPEDS) reporting codes, if necessary. A new spreadsheet is also inserted for the reporting of faculty information.
2. The “Application for Licensure as an Out-of-State Institution to Operate in the Commonwealth of Kentucky pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this application and identification of certain IPEDS reporting codes, if necessary. A new spreadsheet is also inserted for the reporting of faculty information.
3. The “Supplementary Application for Change of Name of Institution pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this supplementary application.
4. The “Supplementary Application for Change of Location of Principal Location of a College or Location of a Licensed Instructional Site in Kentucky pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this supplementary application.
5. The “Supplementary Application for Change of Ownership or Governance pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this supplementary application.

6. The “Supplementary Application to Operate as an Out-of-State Institution in the Commonwealth of Kentucky Pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this supplementary application and identification of certain IPEDS reporting codes, if necessary. A new spreadsheet is also inserted for the reporting of faculty information.

7. The “Supplementary Application to Operate as an In-State Institution in the Commonwealth of Kentucky Pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this supplementary application and identification of certain IPEDS reporting codes, if necessary. A new spreadsheet is also inserted for the reporting of faculty information.

8. The “Supplementary Application for Administrative Site, Recruitment Office, or Advising Center pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this supplementary application.

9. The “Supplementary Application for Notification of Change in Accreditation or Licensure Status pursuant to 13 KAR 1:020”, June 2013, is revised to require electronic submission of this supplementary application.

12. The “Kentucky Licensure Fee Schedule”, June 2013, is revised to increase the annual fee for colleges licensed more than five (5) years with 100-500 students from \$250 to \$500 and from \$0 to \$500 for colleges with less than 100 students. For colleges licensed less than five (5) years with 100 students or less, the annual fee is increased from \$0 to \$1000.

**Council on Postsecondary Education
June 20, 2013**

**New Academic Programs
Murray State University, Northern Kentucky University,
and University of Kentucky**

ACTION: The staff recommends that the Council approve the Bachelor of Science in Community Health at Murray State University; Bachelor of Science in Business/Bachelor of Arts in Business in Logistics and Supply Chain Management at Murray State University; Master of Science in Health Science at Northern Kentucky University; and Master of Arts in Teaching English as a Second Language at the University of Kentucky.

KRS 164.020 (15) empowers the Council on Postsecondary Education to define and approve the offering of all postsecondary education technical, associate, baccalaureate, graduate, and professional degree, certificate, or diploma programs in the public postsecondary education institutions. Council staff has reviewed and recommends for approval the Bachelor of Science in Community Health at Murray State University; Bachelor of Science in Business in Logistics and Supply Chain Management at Murray State University; Master of Science in Health Science at Northern Kentucky University; and Master of Arts in Teaching English as a Second Language at the University of Kentucky.

Murray State University

B.S. in Community Health (CIP Code: 51.2208)

The B.S. in Community Health program will educate future health educators in data acquisition, health instruction, outcomes assessment, communication, program development, and ethics. This program is based upon the professional competencies identified by the National Commission on Health Education Credentialing. These competencies will allow graduates to deliver health instruction in the community, serve in an administrative capacity at a community-based health program, advocate for health education, and serve as a resource of health-related data and literature. The program will be distinguished by its distance learning options through ITV and its three tracks—gerontology, healthcare administration, and non-profit leadership. The proposed program is 49 credit hours, with each track consisting of 27 hours and an additional seven hours of free electives.

B.S.B./B.A.B.in Logistics and Supply Chain Management (CIP Code: 52.0203)

The B.S.B./B.A.B. in Logistics and Supply Chain Management will educate students in core business disciplines; utilization of problem-solving and decision-making skills in business, ethics, and information technology; and the multicultural dimensions of the modern business environment. Students will also develop competencies in transportation and logistics, organizational behavior, supply chain management, and data analysis to prepare them for employment with the many transportation and logistics firms within the region and the state. This program will provide students with enhanced quantitative and analytical skills related to logistics and supply chain management. The program has been designed to be easily transferable with West Kentucky Community and Technical College's A.A.S. in Logistics and Operations Management. The program consists of a 21-hour core, nine hours of guided electives, and seven hours of free electives.

Northern Kentucky University

M.S. in Health Science (CIP Code: 51.0000)

The M.S. in Health Science will educate students in statistics, policy, economics, and informatics with the goal of educating health care professionals seeking to advance their careers. These skills will help healthcare professionals analyze and communicate information to make decisions for the operation and improvement of healthcare systems, develop leadership qualities to assume administrative positions in their organizations, and understand the theory and methods of health science research. This program will be online to accommodate the time constraints and professional goals of the target student population. The proposed program is 35 credit hours and includes an internship and a capstone course.

University of Kentucky

M.A. in Teaching English as a Second Language (CIP Code: 13.1401)

The M.A. in Teaching English as a Second Language curriculum will focus on language and linguistics, second language acquisition, and pedagogy. Graduates of this program will be able to inform their teaching practices through the analysis of social learning and cognitive research, design English as a Second Language curriculum, and use standards-based assessment instruments to show growth and inform instructional practices. The program will utilize expert faculty in second language curriculum and instruction, literacy, cultural studies, and second language acquisition from the linguistics program, Modern and Classical Languages department, and the College of Education. The proposed program is 36 credit hours and includes a teaching internship and a portfolio.

**Council on Postsecondary Education
June 20, 2013**

2013-14 CPE Work Plan

ACTION: The staff recommends that the Council approve the Plan of Work, July 2013-July 2014.

The attached CPE President's Plan of Work provides a clear set of policy priorities and tasks that will guide the work of the Council president and staff over the coming year.

Implementation will be monitored carefully by the Council president and chair, and periodic reports will be made to the full Council throughout the year to ensure adequate progress is being made in each of the areas of focus. The Executive Committee will evaluate implementation of the plan as part of the president's annual performance review in June 2014.

CPE President's Plan of Work Priority Activities – July 2013 through July 2014

1. Implement the 2011-15 Strategic Agenda for Postsecondary and Adult Education in partnership with campus leaders and adult education providers.
2. Develop a unified funding request and distribution process to be presented to the governor and legislature that supports the broad goals of the 1997 Postsecondary Education Improvement Act and the Strategic Agenda.
3. With campus and state leaders, review the Council's tuition setting policy, and set tuition parameters for the 2014-15 academic year that balance the needs of campuses with the interests of students, families, and policymakers.
4. Manage reduced General Fund appropriations for the operations of the Council through a focused prioritization of agency responsibilities, reallocation of resources, and continued development of external funding.

Specific Strategic Agenda Priorities:

College Readiness:

- a. Continue work with KDE and EPSB to coordinate SB1 (2009) implementation, including execution of remediation reduction plan, promotion of common core standards, and improvements in teacher preparation and professional development.
- b. Advocate for continued state funding during the 2014 legislative session to support SB1 implementation strategies.
- c. Use new common core standards and instructional strategies to improve teacher effectiveness and preparation for students earning a high school equivalency diploma (GED) and transitioning to college or career.
- d. Continue implementation of the new federal Gear Up grant.

Student Success:

- a. Host the 2014 CPE Student Success Conference, which will provide campus faculty and staff with practical, achievable strategies to close achievement gaps and increase student persistence and graduation.
- b. Promote evidence-based practices to close achievement gaps for underprepared, low-income, and underrepresented minorities through the work of the new Academic Quality and Persistence and Graduation committees, partnerships between EDI and individual campuses, and expanded data and information analysis.
- c. Launch *15 to Finish*, a communications strategy to encourage students to take at least 15 hours a semester or 30 hours a year to decrease time to graduation.
- d. Implement the revised General Education Transfer Policy and continue to implement initiatives to increase transfer including KnowHow2Transfer, Transfer Madness, and increased degree pathways between two and four year campuses.

- e. Work with campuses, KHEAA, state policymakers, and others to reduce financial barriers to college.
- f. Strengthen the collaborative relationship between the CEO and the campuses, and support campus efforts to improve diversity consistent with campus diversity plans and the statewide diversity policy.

Research, Economic, and Community Development:

- a. Work with the campus and community leaders, and other stakeholders to prioritize and implement the recommendations of the CPE’s Rural Access Work Group, which focus on improving educational attainment in Kentucky’s rural regions.
- b. Work with the presidents, the business community, and other leaders to advance the state’s economic development agenda and address workforce challenges in the state’s target industry sectors, including healthcare and manufacturing.
- c. Continue to work with the Kentucky Center for Education and Workforce Statistics (KCEWS) and the campuses to assess the linkage between workforce supply and demand.
- d. Work with campuses to strengthen career development and entrepreneurship education.
- e. Evaluate the state’s Lung Cancer Research Program, which is administered by the Council and funded through the cigarette excise tax.
- f. Develop strategies to increase degrees in STEM-H related fields, including expanding support for pipeline programs like Project Lead the Way and Advance Kentucky.

Efficiency and Innovation:

- a. Work with campuses to finalize and launch the Kentucky Adult Higher Education Alliance, and work with state leaders to secure startup funding.
- b. Facilitate collaborations among campuses that result in greater efficiencies, improved learning outcomes, and lowered costs by coordinating institutions participation in the Mindspring open education resources adoption project.
- c. Help ensure that KyRON continues to provide adequate broadband capacity for online programs and services, research projects, resource sharing, and cloud applications. Acquire and light the fiber backbone connecting Frankfort, Louisville, Cincinnati, and Lexington. Begin to expand the KyRON infrastructure to Community Anchor Institutions.
- d. Leverage the resources of the KYVC and the KYVL to help share assets across institutions by initiating new procurements to replace the current State Master Agreements for the Voyager Library Management System and the Blackboard Learning Management System.
- e. Continue to improve CPE operational efficiencies with new information technology systems for Adult Education (Kentucky Adult Education Reporting System – KAERS) and Academic Affairs (Program Review and Data Warehouse).
- f. Collaborate with KDE and EPSB in an initiative to create a common eTranscript system for all high schools and postsecondary institutions.

**Council on Postsecondary Education
June 20, 2013**

**Budget Development Work Group Update
2014-16 Operating and Capital Recommendation**

The Council's Budget Development Work Group met on May 6, 2013. The purpose of the meeting was to receive updates from Council staff and continue discussions about the Council's 2014-16 budget recommendation. After receiving updates about recent meetings with campus chief budget officers (CBOs) and presidents, staff presented materials and led discussions about the operating and capital requests.

Operating Request

During meetings with Council staff, campus officials have indicated a preference for retaining College Readiness and Research/Economic Development requests along the same lines as requests for those funds in 2012-14. CPE staff has been working collaboratively with campus officials to identify and develop funding options for consideration by the work group and the full Council.

Capital Request

In addition to the operating request, Council staff provided an update on discussions to date regarding the Council's 2014-16 capital recommendation. At this point in the process, there appears to be consensus among campus officials that the same approach that was used in 2012-14 should be used again in 2014-16. That approach:

- Encouraged increased investment in asset preservation, resulting in a more appropriate balance of recommended funding among new, renovation, and deferred maintenance projects.
- Provided a method for distributing capital funds among institutions based on campus specific VFA study recommendations and other factors developed in collaboration with the institutions.
- Contained a long term spending approach (i.e., three-biennia) that represented a realistic mix of funding sources.
- Allowed institutions flexibility to use allocated debt service capacity for highest priority projects.
- Was scalable, so that any level of funding recommended by the Governor and appropriated by the General Assembly could be readily distributed among institutions.

The model proposed in 2012-14 was embraced by the executive branch, the Capital Planning Advisory Board, and the institutions as being a good approach for identifying postsecondary education capital construction needs and distributing capital resources.

Staff preparation by Bill Payne and Sherron Jackson

Council on Postsecondary Education
June 20, 2013

GEAR UP Kentucky II Comprehensive Report

The Council received its second state grant in 2005 from the U.S. Department of Education for GEAR UP (Gaining Early Awareness and Readiness for Undergraduate Programs), which is an initiative to support college readiness outreach and to strengthen postsecondary partnerships. The project was funded for \$21 million with \$21 million in matching non-federal funds for six years. The project concluded in 2011 though it continued to provide limited services through August 31, 2012, during a no-cost extension year.

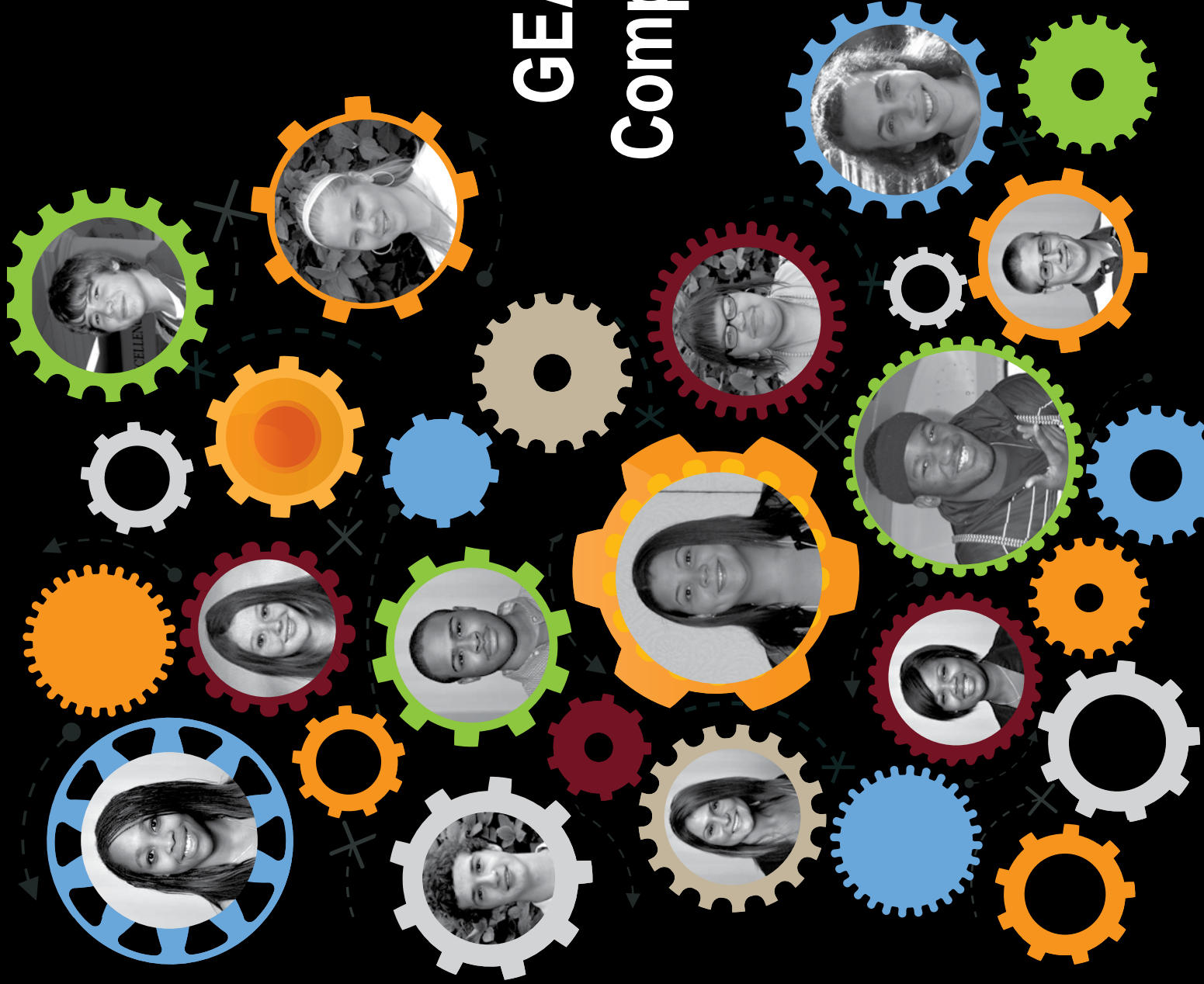
Over the grant cycle, the project served more than 20,000 students in 80 middle and high schools across the Commonwealth. The GEAR UP Kentucky II Comprehensive Report describes the structure, implementation strategies, results, and outcomes in GEAR UP Kentucky II (GUK-II). It further reports findings about the extent to which the objectives proposed in the grant application and desired outcomes were fulfilled.

Key findings of the report include:

- The college-going rate of participating schools before and after participation in the GUK-II program (Class of 2004 v. Class of 2011) showed an increase of 22 percentage points.
- Before participating in the program, the college-going rate in GUK-II high schools was more than 10 percent below the statewide college-going rate. In 2011, this gap was completely closed.
- GUK-II exceeded its targeted high school graduation rate by 5 percent.
- GUK-II far exceeded its goal in increasing students' awareness of how to pay for college, with 85 percent of students reporting that they understood college costs and specific types of financial aid.
- A comparison of a 2000 snapshot and a 2010 snapshot of the college-going culture in schools demonstrates the overall impact of GUK in the Commonwealth:
 - The percent of students who plan to attain a postsecondary degree increased 18 percentage points.
 - The percent of students advised about college entrance requirements increased 35 percentage points.

- o The percent of students who “think” they can afford college increased from 59 percent to 67 percent.
- o The percent of students who took pre-algebra in grade eight (a proven indicator of college success) nearly doubled.

The Executive Summary for the GEAR UP Kentucky II Comprehensive Report is attached; the full report is available on the GUK website at <http://gearupky.org/gearupworks>.



GEAR UP Kentucky II

Comprehensive Report

EXECUTIVE SUMMARY





“As a high school freshman, college was never something I really thought heavily about. I knew I would go, I just knew nothing about it. GEAR UP changed all that. You know the saying, ‘it takes a village to raise a child’ – I am a product of just that. Throughout high school, GEAR UP was an important backbone to everything I did. GEAR UP has prepared me for college tremendously. Now as a college sophomore, I can never imagine what my college experience would have been without GEAR UP.”

Handi Thomas

*University of Louisville student
Gear UP Graduate from Holmes High School, Covington, KY*



about this report

This report describes the structure, implementation strategies, results and outcomes in GEAR UP Kentucky II (GUK-II), the state grant administered by the Kentucky Council on Postsecondary Education (CPE). It further reports findings about the extent to which the objectives proposed in the grant application and desired outcomes were fulfilled.

The GEAR UP Kentucky II program collected a multitude of data throughout the grant period, primarily used for accountability and compliance purposes. As this report will show, these data point to findings that demonstrate the positive impact of the program. The report also provides information so that the reader may infer the extent to which CPE's collaboration with its partners, especially regional university partners, may have resulted in greater resources for participating schools and an increased likelihood that the most promising practices would be sustained.

Structure of the Report

PART 1 of the report discusses the background of the GEAR UP program in the United States and describes the national framework in which it exists including goals, structure, implementation, funding and audience.

PART 2 of this report presents an overview of the GEAR UP program in the Commonwealth of Kentucky. The section completes the background description of GEAR UP by offering a glimpse of collaboration amongst projects in Kentucky that is unique amongst GEAR UP programs in the nation.

PART 3 describes the GEAR UP Kentucky state grant project, titled GEAR UP Kentucky II (GUK-II).

Section 1 describes the project's implementation structure including the target audience, participating schools, and the administrative structure that includes regional host sites and project partners.

Section 2 outlines project strategy including priority AREAS, objectives, and essential activities.

Section 3 outlines the outcomes for six project objectives. An examination of each objective includes a summary of relevant data, a discussion of contributing factors, strategies GUK-II



“Since the creation of the Higher Education Act in 1965, federal policymakers have supported multiple programs aimed at raising the college aspirations and improving the academic preparation of disadvantaged students. The most promising of these programs is GEAR UP, which provides services using a cohort model aimed at middle and high school students.”

The New America Foundation, “Rebalancing Resources and Incentives in Federal Student Aid,” January 2013

employed to achieve the objective, and featured activities relevant to the objective.

Section 4 presents an overview of participation by the various audiences of the project including participation summaries of student, parent, school personnel, and statewide activities.

Section 5 offers a fiscal analysis of the GUK-II project including awards, expenditures and matching contributions of GUK-II partners.

PART 4 concludes the report with a discussion how national trends in college access, the latest happenings in the national GEAR UP program and the lessons learned in this project have shaped the project design for Kentucky’s current grant, GEAR UP Kentucky 3.0.

What is GEAR UP?

GEAR UP, an acronym for Gaining Early Awareness and Readiness for Undergraduate Programs, emerged in 1998 as a new discretionary grant program in the Higher Education Act of 1965 as amended, Title IV, Part A Subpart 2 Chapter 2. The purpose of GEAR UP is to “significantly increase the number of low-income students who are prepared to enter and succeed in postsecondary education.” (U.S. Dept. of Education, GEAR UP Program Regulations. 2004)

GEAR UP grants were awarded to partnerships of one or more schools/districts, degree-granting postsecondary institutions and at least two community organizations such as businesses, professional associations, community-based organizations, philanthropic organizations, or state

agencies to provide services at high-poverty middle and high schools.

GEAR UP projects were to provide early intervention services to promote early college awareness, and preparation through comprehensive mentoring, counseling, outreach and support services for participating students and their parents. Additionally state projects would provide scholarships to eligible students so that they may attend postsecondary institutions. Furthermore GEAR UP projects were permitted to support school improvement efforts to ensure students had access to rigorous instruction.

The U.S. Department of Education offered two types of GEAR UP grants: 1) state grants and 2) partnerships grants. Each was a competitive six-year matching grant that included early college awareness and preparation activities.

Three national performance indicators for the GEAR UP program focused on “significantly increasing the number of low-income students who are prepared to enter and succeed in postsecondary education.” Recognizing that schools and parents play an important role in supporting student success, all GEAR UP projects are evaluated on progress toward each of the following three indicators:

- A. Increase academic performance and preparation for postsecondary education for GEAR UP students.
- B. Increase the rate of high school graduation and participation in postsecondary education for GEAR UP students.
- C. Increase GEAR UP students’ and their families’ knowledge of postsecondary education options, preparation and financing.

GEAR UP State Grant

Provides early intervention component—college awareness, mentoring, counseling, outreach academic counseling	Provides early intervention component—college awareness, mentoring, counseling, outreach academic counseling
Must provide scholarship component—50 percent or more of grant funds devoted to scholarships	Not required to provide scholarships
Coordinates services to students/schools between overlapping projects (GEAR UP and other) in same geographic areas and LEAs	Not governed by state GEAR UP project
May serve cohort of students or priority students—Priority students: F/RPL, Title I, homeless populations from PreK to 12th grade	May not serve priority students
Maximum award - \$5,000,000 per year	Award limited by number served at a rate of \$800 per student

GEAR UP Partnership Grant

Provides early intervention component—college awareness, mentoring, counseling, outreach academic counseling	Provides early intervention component—college awareness, mentoring, counseling, outreach academic counseling
Must provide scholarship component—50 percent or more of grant funds devoted to scholarships	Not required to provide scholarships
Coordinates services to students/schools between overlapping projects (GEAR UP and other) in same geographic areas and LEAs	Not governed by state GEAR UP project
May serve cohort of students or priority students—Priority students: F/RPL, Title I, homeless populations from PreK to 12th grade	May not serve priority students
Maximum award - \$5,000,000 per year	Award limited by number served at a rate of \$800 per student

What is GEAR UP Kentucky?

The Kentucky Council on Postsecondary Education received its first GEAR UP state grant in 2000, the first of three awards it would receive. The first grant was awarded for \$10.8 million in federal funds that were combined with \$10.8 million from non-federal sources and extended for five years. The second grant, the subject of this report, was awarded in 2005 for \$21 million with \$21 million in matching non-federal funds for six years. The project concluded in 2011 though it continued to provide limited services through August 31, 2012 during a no-cost extension year using unspent monies that remained at the end of the grant period.

Program Objectives

Six specific statewide objectives steered the GEAR UP Kentucky II (GUK-II) project toward achieving the three national GEAR UP goals. Working directly with students, parents/families, teachers and schools, these objectives focused on measurable improvement in student enrollment and performance in rigorous coursework; high school graduation and college-going rates; and knowledge of postsecondary funding options.

1. The percent of GUK-II 10th grade students who score at or above the national benchmark on the PLAN assessment will be at least 5 percent greater than the percent who score at or above the national benchmark on the 8th grade EXPLORE assessment.
2. The percent of GUK-II 11th grade students who score at or above the national benchmark on the ACT assessment will be at least 5 percent greater than the percent who score at or above the national benchmark on the 10th grade PLAN assessment.
3. The number of GUK-II students who follow the course-taking pattern outlined in the Kentucky Pre-College Curriculum will be within 10 percent of the state average in 2012.
4. By 2011, the graduation rate of targeted students will be within 10 percent of the state average.
5. By 2011, the college-going rate of targeted students will be within 10 percent of the in-state college-going rate of Kentucky students.
6. By 2011, at least 50 percent of all targeted students will demonstrate adequate literacy and knowledge about the costs of attending college in the Commonwealth and about the various forms of financial aid that are available to pay for different types of colleges.



GEAR UP Graduate from Knott County Central High School,
Hindman, KY

Ryan Mosley

"It was really great being in GEAR UP. They really taught us a lot about college, about college preparation, financial aid. It really helped out, especially in an area where a lot of kids don't care or know about that stuff.

The best part of GEAR UP for me was probably just having that community around me, that makes you feel like you have a connection with...people who are like you, people who want to go to college."





\$21

million federal funds

\$21

million matching non-federal funds

6

years

20,766

students

49

middle schools

31

high schools

24

counties

7

regional host sites

35

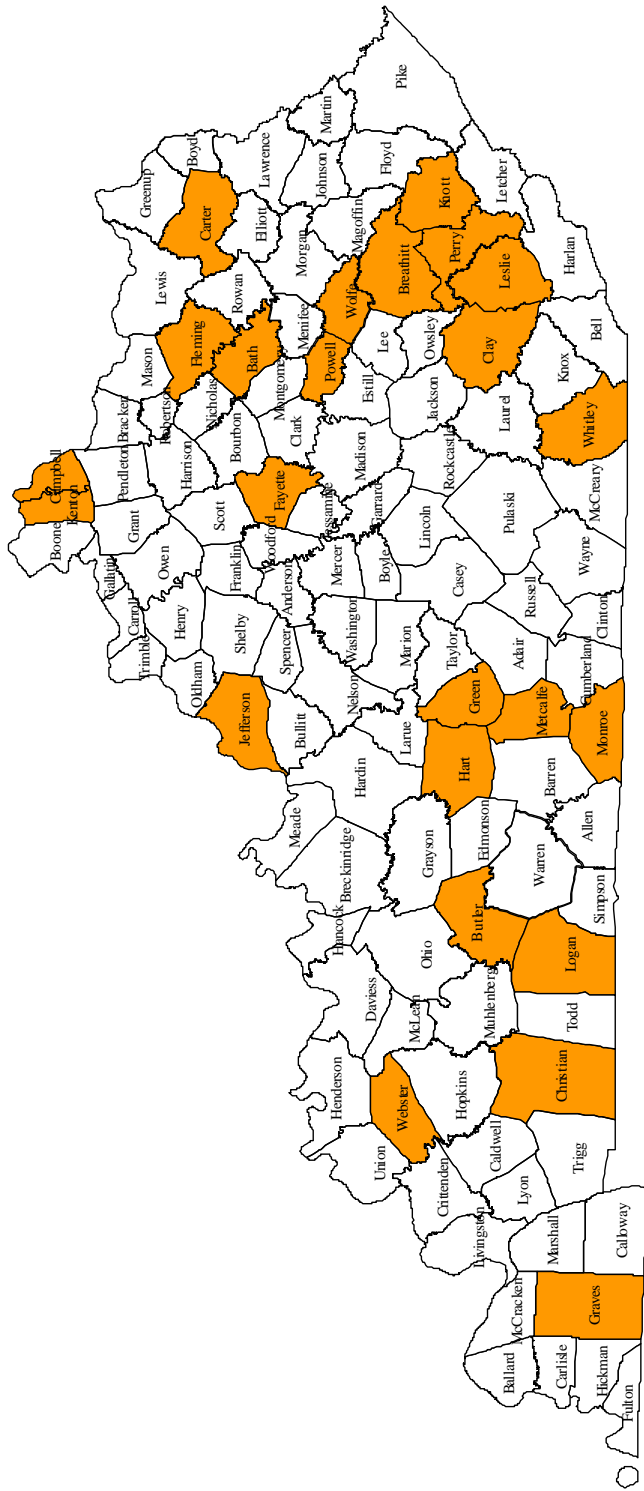
grant partners

the GUK audience

Participating Schools

The process for final selection of participating schools included reviewing a substantial list of eligible schools, rating and ranking the schools, and conducting informational meetings to gauge the interest and commitment to program requirements and expectations. The list of eligible schools were prioritized using a weighted scale that was based on five criteria: free/reduced-priced lunch participation, CATS school performance accountability index, CATS student performance (percent of students at proficient & distinguished level), county with low socio-economic status, and county with low postsecondary education attainment. Selected

schools were then invited to participate for the duration of project. The corresponding high schools were encouraged to work with GUK-II middle schools from the beginning of the project. Over the six years of the GUK-II project, 20,766 unduplicated students in 80 middle and high schools in Kentucky benefitted from GUK-II programs and services.



GUK-II Student Profile

A key feature of the GEAR UP program is its cohort service model. For state grants, the addition of significant school improvement activities and services ensures that the cohorts following can benefit just as much as those directly served by the GUK-II program, thereby creating a pipeline of more prepared students. GUK-II opted for a cohort model providing services to all students beginning in the seventh grade. It served a total of three cohorts, adding a new cohort in each of the first three years of the program. At the completion of the grant cycle in 2011, the first cohort of GUK-II completed high school. By the writing of this final report, a second cohort finished (2012), and the final cohort will graduate high school in May 2013. The following statewide GUK-II Student Profile reflects all entering 7th grade GUK-II students.

family background

82% of mothers and 88% of fathers have no college degree.

51% of mothers and 66% of fathers never entered college.

18% of mothers and 28% of fathers have no high school diploma/GED.

student profile

48% of the students were female and 52% were male.

80% of the students were white, 14% black, 3% Latino, and 1% Asian.

69% of students came from low-income households (FIRPL eligible).

academic preparation

80% below benchmark in mathematics

52% below benchmark in English

71% below benchmark in reading

93% below benchmark in science.



"Freshman year when we had the GEAR UP Academy at Eastern Kentucky University is when I got the real college experience. We got to stay in a dorm for three weeks and I got to realize how college life is going to be and I'm totally prepared for the fall now. In college, I want to graduate with a 3.5 GPA and get involved in the school and organizations, to make sure that I stay involved in the community like I have been in high school."

University of Kentucky student
GEAR UP Graduate from Holmes High School, Covington, KY

Maddy Wiedeman





"Attending the GEAR UP Awareness Academy in the summer of 2009 really made me look into a number of possibilities and ultimately helped me to make my final decision. The academy made me realize that technical school wasn't a big enough challenge for me and that going to a four year college and getting a higher degree opened many more doors."

Samantha Bex
GEAR UP Graduate
Metcalfe County
High School
Edmonton, KY

Program Administration & Implementation

Oversight and administration for the project was centrally-based at the offices of the Council on Postsecondary Education in Frankfort, Kentucky with a small staff including an Executive Director, Associate Director and three Project Specialists. GUK-II was a complex partnership of schools, postsecondary institutions, education agencies, community organizations and business partners that, together with CPE, provided a wide range of resources for the program including:

Core Partners: Core partners shared a stake in the program's goals to increase college access and student academic success. Each delivered services from their primary business function that were customized to meet the specific needs of GUK-II audiences.

Primary Partners (Host Sites): Primary Partners coordinated grant activities and provided fiscal oversight for the schools in their geographic areas of responsibility (GAR). GUK-II personnel at host sites ensured that all students in each cohort received the six essential activities identified by the program while they remained enrolled in GUK-II designated middle and high schools.

General and Cooperating College Partners: General Partners entered into agreements with GUK-II to provide services for schools and students. Cooperating College Partners conducted summer and after school academies for targeted students.

Program Strategy

In designing the program structure, the GUK-II project employed several strategies to identify, communicate and create consensus around a common goal of creating a college-going culture in participating schools. This effort began with the school selection process and was reinforced by convening the annual Institute for a College-Going Culture. To move away from providing random activities and services, GUK-II moved toward standardizing

practices, which led to the creation of a set of Standards for a College-Going Culture for schools to use in assessing the current status of their building and implementing activities and policies that establish a college-going culture.

The Standards are based on a set of principles described in unpublished white papers that define the GEAR UP Kentucky five Priority AREAS: Awareness, Rigor, Engagement, Access, and Support. These principles incorporate beliefs and practices that recognize that disadvantaged and low-income students must be intentionally nurtured to ensure their success. The standards were also aligned with Kentucky's Standards and Indicators for School Improvement (SISI), reflecting the commonalities between GEAR UP and federal and state requirements. Within each of the five strategic Priority AREAS, the Standard embeds a high level of practice:

- **Awareness** - focuses on providing counseling and information for students about the value of college, pre-college preparation, career exploration, college admissions requirements, costs, and financial aid. GUK-II activities designed to increase awareness included college campus tours, career exploration opportunities, and general information workshops.
- **Rigor** - focuses on ensuring that all students have access to rigorous coursework, improving teaching practices, and enriching instruction. GUK-II activities designed to increase rigor included improving programs in specific content areas, action planning aligned to curriculum, and focused professional development.
- **Engagement** - focuses on involving parents in setting high expectations for students and providing information about college planning. GUK-II activities designed to increase parent engagement included developing dialogue about course selection and graduation planning, workshops focused on sharing the importance of higher education, and print resources to provide parents with strategies to assist their

children in preparing for postsecondary study.

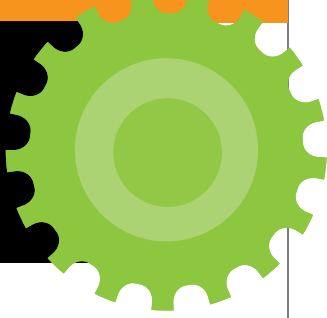
- **Access** – focuses on providing information about financial aid resources and ensuring that no student is denied college for financial reasons. GUK-II activities designed to increase access included financial aid workshops, providing application and admission information, and locating Internet resources for students.
- **Support** – focuses on preventing students from failing by providing academic and developmental support. GUK-II activities designed to increase support included mentoring, tutoring, service learning, and social/cultural enrichment experiences.

Activities for School Personnel & School Improvement

With guidance from GUK-II partner, the Collaborative for Teaching and Learning (CTL), participating schools made efforts to adopt the GEAR UP Kentucky Standards for a College-Going Culture and targeted an area of improvement to address with supplemental resources provided through mini-grants. The process began with the publication of a rubric to help schools objectively gauge their own progress on college-going efforts in their schools (*The GUK School Performance Guide for a College-Going Culture* is a rubric organized by stages of development toward a college-going culture as defined by the Five Priority AREAS). Working with CTL, all schools engaged in a benchmarking process to rate progress and document gaps in the college-going culture of the school before and after GUK-II resources were introduced. With the data collected and evidence to support decisions, GUK-II school improvement efforts focused primarily in two key areas.

1. **School Benchmarking: The GUK School Performance Guide for a College-Going Culture.** Using the performance guide as the assessment tool, classroom walkthroughs were conducted at each GUK-II school in 2006 and 2010 to document (a) the extent to which GUK-II schools addressed the ACT College Readiness Standards, (b) the presence of rigor, relevance, and differentiation of instruction; and (c) the presence of a college-going culture.
2. **Professional Development.** Reflecting the findings of the walkthroughs, GUK-II offered professional development opportunities for teachers and school administrators that included: how to use EPAS data to improve student achievement; creating and sustaining a college-going culture; team building for more effective school planning; technical training/ support in developing the annual Comprehensive School Improvement Plan (CSIP); using student transition benchmarks to gauge student readiness for high school; using classroom assessment and instructional intervention effectively; implementing research-based instructional strategies; and improving the teaching of mathematics through mentoring/coaching.

GUK-II partners



Core Partners

Kentucky Higher Education Assistance Authority
Kentucky Department of Education
Kentucky Virtual Campus
Kentucky Virtual Library
Kentucky Broadcasters Association
KnowHow2GOky --American Council on Education and Lumina Foundation

Primary Partners

Host institutions:

- Elizabethtown Community & Technical College/Western Kentucky University
- Fayette County Public School District
- Hazard Community & Technical College
- Morehead State University
- Murray State University
- Northern Kentucky Council of Partners
- University of Louisville

General Partners

ACT
Area Health Education Centers
CBS Television
CBW Associates
Collaborative for Teaching and Learning
Eastern Kentucky University
Kentucky Child Now
Kentucky College Access Network
Lexington Herald-Leader
Louisville Courier-Journal
Louisville Science Center
Premier Publications
YMCA Black Achievers

Cooperating College

Transylvania University
Thomas More College
Spencerian College
University of Louisville
Eastern Kentucky University
Kentucky State University
Kentucky Community & Technical College System



Alliance Members:

- Berea College GEAR UP
- GEAR UP Kentucky
- Green River Regional Educational Cooperative GEAR UP
- Morehead State Regional GEAR UP
- South Central GEAR UP
- Western KY Educational Cooperative GEAR UP

Alliance Impact (2010):

College Advising

96,251 students

Early Interventions

27,115 students

Accelerated Learning Academic Enrichment

22,573

Parent Activities

22,573

Professional Development

1,458 school staff

GUK Activities for Students and Parents

GUK-II established a standard of practice that required host sites to provide each student, regardless of location in the state, the following six essential and specific activities:

1. **GEAR UP Orientation.** All first-time, seventh grade GUK-II students were provided an assembly or meeting to give them an overview of the program's services and opportunities.
2. **College Readiness Assessment.** GUK-II utilized ACT's Educational Planning and Assessment System (EPAS) as a primary tool for determining a student's readiness for college and aligning interventions to meet students' needs. The suite of assessment include the EXPLORE (7th and 8th grades), the PLAN (9th and 10th grades) and the ACT (11th grade). This assessment served as a key indicator of student progress in measuring project outcomes.
3. **College Awareness Events.** GUK-II students participated in college and career fairs and similar hands-on activities to interest them in many kinds of career and college opportunities.
4. **College Campus Visit.** Every GUK-II student was provided the opportunity to visit a college campus at least once by the end of middle school. High school students also visited college campuses, which helped them visualize themselves as college students.
5. **Academic Planning and Advising.** GUK-II staff used information from the EXPLORE, PLAN and ACT assessments to help students and their parents understand their strengths and weaknesses and how to prepare for college-level work. To track course-taking and college planning, staff supervised students' use of the Individual Learning Plan, Kentucky's free electronic academic planner.

6. **College Planning—Financial Aid.** GUK-II staff worked with the Kentucky Higher Education Assistance Authority (KHEAA) along with Kentucky colleges, universities and other organizations to provide information about college costs and personal financial literacy.

Each host site could then supplement with additional services depending on local resources and needs; however all services and activities were to be embedded in the five Priority AREAS.

Drive the Dream Scholarship Program

The Kentucky Higher Education Assistance Authority, a core grant partner, provided 1,500 competitive scholarships for GUK-II students (500 per cohort). To be eligible to apply, students must have been active GUK-II cohort participants since the 7th grade, participated in all EPAS assessments, made progress towards meeting college readiness benchmarks in at least two subject areas, maintained at least a 2.5 grade point average, and demonstrated community and school involvement. Scholarships were one-time-only awards to be used within the first year of high school graduation (\$500 a semester). Selected recipients received the scholarships as they enrolled in a Kentucky two- or four-year college (public or private).

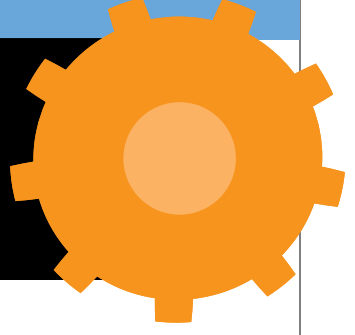
Statewide Activities

Between 2005 and 2011, six GEAR UP projects were awarded in the Commonwealth totalling more than \$83.7 million dollars and serving more than 75,000 students and their parents. In 2005, the directors of the GEAR UP programs in Kentucky recognized their association and formalized a working relationship under the Kentucky GEAR UP Alliance (Alliance).

Over the next six years, the Alliance operated as a learning network, meeting regularly to focus on leveraging resources to maximize college awareness and academic enrichment opportunities for students and increase professional growth for GEAR UP and school personnel. Alliance members collaborated

on a number of important statewide activities including:

1. **Institute for a College-Going Culture.** The overall intent of this annual symposium-style conference was to foster an environment of success and high achievement in all schools in the project. The Institute's primary goal was to increase student achievement and change school culture. Over the course of the project, the Institute was a key forum for discussions on how to reduce the barriers to successful high school transition, graduation and college readiness. Beginning in 2009, more than 200 students and a comparable number of parents, along with school personnel and GEAR UP staff, engaged in workshops and joint sessions tailored specifically to address each audience, with attendance averaging more than 700 participants.
2. **KnowHow2GOKy campaign.** Kentucky joined the nationally-based outreach and engagement KnowHow2Go campaign developed by the American Council on Education to increase college access and success. GUK-II was a primary sponsor of the campaign and, in cooperation with Alliance members, targeted "at risk" middle and high school students in this effort. The campaign focused on simple, audience-specific messaging that summarized the steps to college and provided links to resources around the state. It included print materials, direct mail, media outreach, radio, television, promotional items, viral e-mail campaigns, special events, and the KnowHow2GOKY.org website.
3. **Great Kids Summit.** The Alliance, in partnership with the community-based organization Kentucky Child Now, sponsored six regional one-day summits for Kentucky high school students (2009). Each summit featured three workshops: Ready for Work, Ready for Life and Ready for College.





Project Outcomes & Results

When assessing the overall progress made by GUK-II towards achieving the national goals of the GEAR UP program, the result is clear. As the data show, Kentucky's GEAR UP students are substantially more knowledgeable about financial aid options than when they began the program and are making some academic progress. GUK-II saw significant improvements in academic preparation between middle and high school in the areas of science, English and reading. Furthermore, both the high school graduation rate and the college-going rate of GUK-II schools increased over the life of the grant, meeting and exceeding the stated objectives.




Despite having received a waiver for providing scholarships, GUK-II provided nearly fifteen hundred \$1,000 scholarships to students in all three cohorts. The results show that 80 percent of these recipients enrolled in a Kentucky postsecondary institution immediately after graduating high school, a rate that is higher than both the GUK-II and overall Kentucky in-state college-going rate.

Some of the project's specific objectives, however, and the measurements of progress toward achieving them were problematic in design, making project outcomes less clear in some areas.

GEAR UP Kentucky II PERFORMANCE REPORT CARD

OBJECTIVE		TARGET	OUTCOME	PROGRESS TO TARGET
Objective 1: 10th grade student achievement	The percent of GUK-II 10th grade students who score at or above the national benchmark on the PLAN assessment will be at least 5% greater than the percent who score at or above the national benchmark on the 8th grade EXPLORE assessment.	English - 50.5% Mathematics - 20.6% Reading - 30.3% Science - 7.3%	English - 58.0% Mathematics - 19.0% Reading - 37.8% Science 11.5%	 MAKING PROGRESS
Objective 2: 11th grade student achievement	The percent of GUK-II 11th grade students who score at or above the national benchmark on the ACT assessment will be at least 5% greater than the percent that score at or above the national benchmark on the 10th grade PLAN assessment.	English - 61.6% Mathematics - 20.3% Reading - 39.0% Science - 12.6%	English - 43.3% Mathematics - 15.9% Reading - 28.8% Science 10.7% (Data does not include ACT scores for cohort 3 since the project prior to them entering 11th grade).	 DECLINE FROM BASELINE
Objective 3: College-prep courses	The number of GEAR UP students who follow the course-taking pattern outlined in the Kentucky Pre-College Curriculum (Pre-CC) (see Appendix E: Kentucky Pre-College Curriculum) will be within 10% of the state average in 2012.	N/A	N/A	N/A
		KY Pre-CC was discontinued by KDE as a college readiness measure by the time the first GUK-II cohort graduated high school, therefore this data is N/A.	KY Pre-CC was discontinued by KDE as a college readiness measure by the time the first GUK-II cohort graduated high school, therefore this data is N/A.	KY Pre-CC was discontinued by KDE as a college readiness measure by the time the first GUK-II cohort graduated high school, therefore this data is N/A.

GEAR UP Kentucky-II PERFORMANCE REPORT CARD

OBJECTIVE	TARGET	OUTCOME	PROGRESS TO TARGET
Objective 4: High school graduation rate	70%	75%	 MET AND EXCEEDED
Objective 5: College-going rate	60%	67%	 MET AND EXCEEDED
Objective 6: Awareness of cost of college and financial aid	50%	85%	 MET AND EXCEEDED

"In the future, I hope to make my parents proud of me. So whatever path life takes me on, I hope and pray college is part of it. Going to college means a lot to me and I believe if I don't end up going, I'll always have something missing. Thank you GEAR UP for helping me make college possible."

hali brandenburg
 GEAR UP Student
 Green County High School
 Hopkinsville, KY





"I plan to go to college right after high school because I know that it's a lot harder to attend college later in life and want to be the first person in my family to do this. I want to set an example for my brother and my future kids so that they might follow in my footsteps and have successful lives."

justin inman
GEAR UP Student
Hopkinsville High School
Hopkinsville, KY

Snapshots of the College-Going Culture

In the snapshot below, this report examines the overall impact of GUK on the college-going culture in schools. The areas identified in this chart include some that organizations such as the Education Trust and Achieve generally regard as indicators of how well a state is creating a pipeline of students ready for college. The chart also includes areas in which the GEAR UP program places emphasis—student knowledge of costs, perception of affordability, etc. Beyond the information in this chart, survey data and anecdotal information also suggest that there is an increased sense of "yes you can do college" not only on the part of students but also school staff who before GUK may not have dreamed it possible for every student in their classroom.

Of course, there is little doubt that two college awareness media campaigns, KnowHow2GOKy and GoHigher Kentucky,

2000 Snapshot

POSTSECONDARY PLANS
64%
of students plan to attain a postsecondary degree

AFFORDABILITY
59%
of students "think" they can afford college

KNOWLEDGE ABOUT COLLEGE
25%
of students advised about college entrance requirements

READINESS FOR HIGH SCHOOL
13%
of 8th graders take Pre-Algebra

DEMOGRAPHICS
18,123 Students—5 cohorts
No. Schools: 50 F/RPL: 66%
Cost/student/yr: \$112 (GU)
\$134 (match)

(both partners with GUK-II) also impacted GUK-II school communities. Similarly, the statewide adoption of the new assessments by the legislature (EXPLORE, PLAN and ACT) and the 2009 adoption of Senate Bill 1 will continue to improve the college-going culture and facilitate greater access to a college and career-ready high school diploma for all students.

Still, GUK's influence on both the media campaigns and the new state assessments are notable—GUK projects formed the community support mechanism for the campaigns ensuring that within Kentucky GEAR UP Alliance communities, ongoing outreach activities supported parents and students in pursuit of college-going information. In the state assessments, GUK preceded the state in their use of EXPLORE, PLAN, and ACT and provided valuable information regarding how to use them effectively including training school personnel and supporting students and parents in understanding the test results.

2010 Snapshot

POSTSECONDARY PLANS
82%
of students plan to attain a postsecondary degree

AFFORDABILITY
67%
of students "think" they can afford college

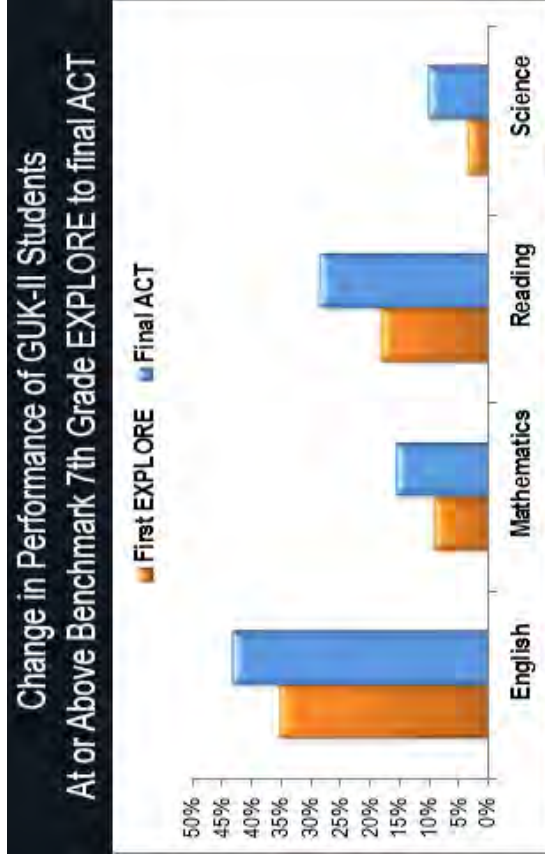
KNOWLEDGE ABOUT COLLEGE
60%
of students advised about college entrance requirements and college prep courses

READINESS FOR HIGH SCHOOL
24%
of 8th graders take Pre-Algebra

DEMOGRAPHICS
13,967 Student—3 cohorts
No. Schools: 79 F/RPL: 66%
Cost/student/yr: \$200 (GU)
\$189 (match)

More GEAR UP Kentucky middle school students' prepared for high school.

The chart below shows the percent of GUK-II students that performed at or above benchmark on the first assessment (EXPLORE) given upon initial GUK enrollment in the seventh grade compared with the percent at or above benchmark on their ACT assessment at the eleventh grade.

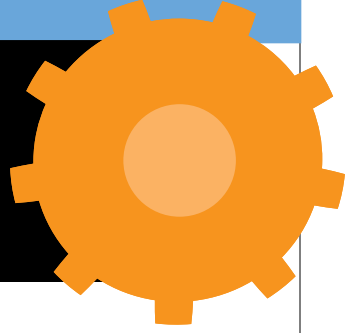


Jaylen batty
GEAR UP Student at *Tates Creek High School, Lexington, KY*
Plans to attend *Transylvania University*



"GEAR UP helped me by taking me to visit multiple colleges which opened up my eyes to what was out there.

The best thing about GEAR UP is that it made the idea of college seem attainable and not this big arcane thing that someone is just thrown into after high school."





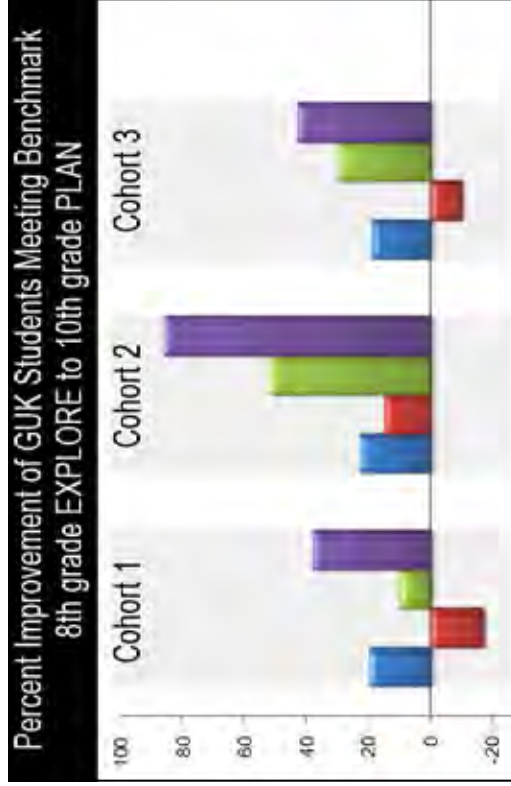
“When it came time to graduate [from Eastern Kentucky University], I only had three things flowing through my mind--how proud I had made my grandmother, how strange it felt to have my achievements announced in front of a crowd of thousands of people, and how grateful I was that I had programs like GEAR UP to help prepare this first generation college graduate for his destiny ahead. Every single one of my triumphs occurred because I had this life changing experience, and that’s why I’ll always remain a GEAR UP kid at heart.”

josh sparks

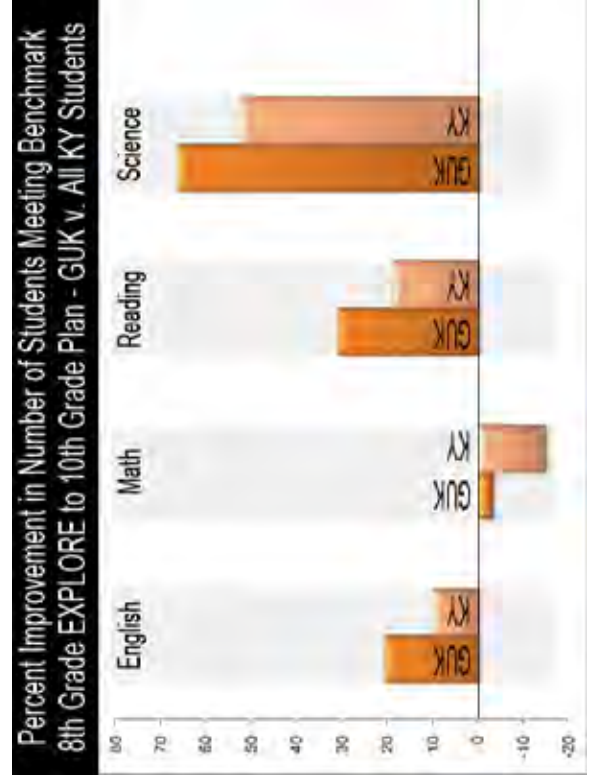


GEAR UP Graduate of Elliot County High School, Sandy Hook, KY
Graduate of Eastern Kentucky University and George Washington University

All three cohorts of GUK II improved their performance from the 8th grade EXPLORE to the 10th grade PLAN in English, reading, and science, and all of these performance improvements were substantially greater than 5%. While cohort 2 made notable improvement in mathematics, cohorts 1 and 3 saw declines in their performance in mathematics from the 8th grade EXPLORE to the 10th grade PLAN.



However, The increase in the number of GEAR UP students meeting college readiness benchmarks between 8th and 10th grade also exceeded the increase of all Kentucky students in 3 out of 4 subject areas.



**Council on Postsecondary Education
June 20, 2013**

Committee on Equal Opportunities Report

The Committee on Equal Opportunities met Monday, May 20, 2013, at Northern Kentucky University in Highland Heights, Kentucky. Following is the status of initiatives, recurring activities, and discussions related to the Committee on Equal Opportunities.

2013 Diversity Plan Assessment Reports

The Kentucky Public Postsecondary Education Diversity Policy and Framework for Institution Diversity Plan Development requires that all public institutions submit an annual assessment report that highlights the progress of their campus-based diversity plans. The first assessments from the universities were presented to the Council's Committee on Equal Opportunities at the March CEO meeting and to the Council at the April meeting at Lindsey Wilson College.

The Kentucky Community and Technical College System assessment was presented to the CEO at the May 20 meeting. The KCTCS assessment reported on advances made, as well as areas that require attention, using 2010 data in comparison to 2011. The diversity plan assessment reports addressed four areas:

- Student body diversity
- Student success/achievement gaps
- Workforce diversity
- Campus climate

Reports were submitted by each of the KCTCS institutions and forwarded to the Council office from the KCTCS central office. The results of the KCTCS assessment follow.

Undergraduate Enrollment: Increases in Hispanic undergraduate enrollment were reported at 13 KCTCS institutions; Native Hawaiian/Pacific Islanders at seven institutions; and African Americans at six institutions.

Retention: The statewide diversity policy requires institutions to address student success/closing the achievement gap by highlighting retention rates and describing best practices. Eight institutions reported an increase in Hispanic retention, while five saw an increase in both African American and American Indian/Alaskan Native first to second-year retention.

Degrees/Credentials Awarded: The number of associate degrees awarded by KCTCS fluctuated: 13 institutions reported an increase in awards to Hispanic students, nine reported an increase for Native Hawaiian/ Pacific Islanders; seven for African Americans; and six for American Indians/Alaskan Native.

STEM-H: Mixed results were reported for diverse enrollment in the STEM-H fields and degrees awarded. Ten institutions noted an increase in degrees awarded or an increase in enrollment for Hispanic, African American, and American Indian/Alaskan Native students.

Transfer: Many of the KCTCS institutions reported an increase in student transfer from 2010 to 2011. Overall, seven KCTCS institutions indicated that steady progress is being made in this area.

Workforce Diversity: Institutions assessed the diversity of their workforce in three categories: Executive/Administrative/Managerial, Faculty, and Professional Staff. The information below presents progress and identifies areas that require attention, utilizing 2010 data in comparison to 2011.

Executive, Administrative, and Managerial: The executive/administrative/managerial category consists of positions where policy decisions are made that impact students of diverse backgrounds. Four institutions noted increases in African American representation, while no change was reported for Hispanic representation.

Faculty: A diverse faculty benefits majority and minority students by serving as role models and mentors for all students. The assessment reports were mixed in this category. Overall, seven institutions reported an increase in diverse faculty members while three reported a decrease. No change was reported for three to four ethnic groups at seven institutions.

Professional Staff: The category of professional staff displayed an increase for three ethnic groups: African Americans, American Indians/Alaskan Natives, and Hispanics. Minor increases were reported for two ethnic groups.

Conclusion of KCTCS Diversity Plan Assessment: KCTCS has made steady progress overall but appears to have some challenges in the areas of student enrollment, retention, and degrees and credentials for several ethnic groups.

KCTCS also has some challenges in areas of workforce diversity, with many of the institutions reporting no change for each of the categories: executive/administrative/managerial, faculty, and professional staff. The CEO will continue to work with the KCTCS to diversify campuses, with the goal that Kentucky will become a leader that others will follow.

CEO Concern About Minority Representation on Boards of Regents or Trustees

At the May 20 meeting, members of the committee discussed the current lack of African American representation on boards of regents and trustees and agreed that the committee chair should forward a letter to the office of the Governor (Executive Director for Boards and Commissions) expressing support for the appointment of African Americans and other diverse groups to the boards. The committee strongly encouraged Chair Dennis Jackson to ask the CPE chair to communicate the CPE's support for appointments of African Americans and other diverse groups when vacancies become available.

Governor's Minority Student College Preparation Program

The annual GMSCPP Statewide Conference will be hosted by Morehead State University, June 11-12, 2013. Approximately 200 students from across Kentucky will participate. Eastern Kentucky University will host the 26TH Annual Academically Proficient High School Junior and Senior Diversity Conference, June 27-28, 2013. Approximately 150 students and parents have registered to participate. Both conferences are expected to attract a diverse group of students from across the Commonwealth.

SREB Doctoral Scholars Program

The Kentucky program is implemented collaboratively by the Council, the University of Kentucky, and the University of Louisville to help students complete the doctorate more quickly and to encourage them to go into the professoriate. As of March 2013, Kentucky has served and provided funding for 114 doctoral scholars since the program's inception. A total of 70 scholars are program graduates and 32 are currently matriculating. Eight have received tenure. Thirty-four percent are in the STEM fields. Ninety-two percent of Kentucky's graduates are employed in higher education (a total of 17 graduates are employed in Kentucky), 68 percent are employed in SREB states, and Kentucky graduates are employed in 21 states. Kentucky's graduates serve as mentors and role models in various positions, including:

- College Faculty (68%)
- College Administrator (3%)
- Post-Doctoral (7%)
- Other Education (7%)
- Non-Education (15%)

The 2013 Teaching and Mentoring Institute will be held October 31-November 3, 2013, in Arlington, Virginia. More than a thousand individuals are projected to participate.



United States First Lady Michelle Obama responded to the warm welcome she received at EKU's final commencement ceremony of the day on May 11. The First Lady addressed graduates from the Colleges of Business & Technology and Education, as well as a sprinkling of graduates from the University's three other academic colleges. Mrs. Obama, who also received an honorary Doctor of Humane Letters degree from the University and was greeted on several occasions by thunderous applause, selected EKU among three commencement speech visits this year as part of her Joining Forces initiative to assist military families.

EKU Focuses on Serving Area with Center for Appalachian Regional Engagement and Stewardship

EKU CARES about its service region and Appalachia.

That's the impetus behind the establishment of the Center for Appalachian Regional Engagement and Stewardship (CARES), a unified, comprehensive approach to stewardship and engagement that focuses on the five areas identified by the Kentucky Council on Postsecondary Education: economic and workforce development, education, environment, collaborative government and health, wellness and safety.

CARES encompasses the work of the Center for Appalachian Studies, the Environmental Sustainability and Stewardship Program, Service Learning, PRISM: A Journal of Regional Engagement, the Kentucky Institute for Public Governance and Civic Engagement, the Office of Regional Stewardship and the Regional Extension Agent Program. The academic minors in Appalachian Studies and in Environmental Sustainability and Stewardship will also join the new center. CARES is housed in EKU's Office of University Programs and will be headed by Ian Mooers, who also serves as director of the Center for Economic Development, Entrepreneurship and Technology, based at Eastern.

Dr. Sara Zeigler, dean of University Programs, said: "CARES represents EKU's continued commitment to our service region, to Appalachia, and to educating people about the rich cultural heritage of the region. Because more money will be available to go directly into the communities, rather than operating multiple centers, CARES will enable EKU to use resources more efficiently and will provide a single point of contact for our regional engagement and stewardship efforts."

A series of community forums will be scheduled this fall "to give us a chance to better understand community needs," Mooers said.

The work of EKU's five regional extension agents will continue largely unchanged, Mooers said.

EKU, Corbin Schools Partner to Enhance Preparation of Middle Grade Teachers

A new partnership between EKU's College of Education and Corbin Independent Schools to enhance the preparation of middle grade teachers represents "an opportunity for all of us to get better together," said Dr. Kim Naugle.

Naugle, associate dean of EKU's College of Education, was leader of the team who authored the partnership proposal, which recently received \$500,000 in funding from the Kentucky Council on Postsecondary Education (CPE).

The Clinical Apprenticeship for Preparing Teachers (CAPT) initiative, a three-year project, will enable EKU and Corbin Independent Schools to "intensively develop, implement and refine a model for clinical teacher preparation that will become self-sustaining and serve as a model for the region and beyond," Naugle said.

Beginning this coming academic year, approximately 20-30 EKU junior and seniors preparing to become teachers will join College of Education faculty and teachers at Corbin Intermediate School (grades 5-6) and Corbin Middle School (grades 7-8) in a "true immersion program" at the two Corbin schools, selected for the project because of their outstanding performance, according to Naugle.

"EKU students will be learning instructional strategies for content teaching in inclusive classrooms, will observe these instructional strategies being taught by university instructors and/or middle school teachers, and then practice the techniques themselves in the classroom and come back to the class to de-brief," Naugle said. "They'll be able to observe skilled teachers and gain confidence in their own abilities to do the same."

Winchester Senior Second Tillman Military Scholar for Eastern in Two Years

For the second consecutive year, an EKU senior has been named a Tillman Military Scholar.

Christopher Stanfield, a political science major from Winchester and U.S. Army veteran, is one of 60 Tillman Military Scholars nationwide. Selected from among 1,800 applicants, he will use the \$6,000 scholarship to pursue a master's of public administration degree.

EKU is one of 42 higher education institutions nationwide selected as Tillman Military Scholar Universities. College and university partners are chosen on the basis of their innovative services for military veterans and proven culture of community for military families. Twice in the past three years, Eastern has ranked No. 1 among the nation's four-year colleges and universities in the Military Times Best for Vets survey.

Stanfield has achieved a 3.6 GPA at Eastern, where he is a member of the Pi Sigma Alpha Honor Society. While stationed at Fort Wainwright in Alaska 2005-09, he was deployed twice to Iraq. He now expects to graduate from EKU this December.

He said his long-term goal, after completing his master's degree, is "to be selected as a Presidential Management Fellow and work at the Department of Veterans Affairs for two years in order to acquire the skills to eventually work for a non-profit organization such as the Iraq and Afghanistan Veterans of America (IAVA), VFW or the Wounded Warrior Project."

His first duty as a Tillman Scholar will be to attend, all expenses paid, the four-day Pat Tillman Leadership Summit in Chicago in July.

"Being named a Tillman Military Scholar is an incredible honor," Stanfield said. "I am grateful for my selection because I know that it will allow me to move forward with my education, cultivate relationships with fellow Tillman Scholars and allow me to stand out to future employers. The prestige and honor associated with being a Tillman Scholar is of the utmost importance, and I look forward to being associated with the Tillman Foundation for the rest of my life."

Stanfield said he was "not sure of my ability to succeed in higher education" when he first came to the Richmond campus. "The time and investment that EKU has placed on making sure its student veterans succeed is honorable and simply the reason why I am an EKU Colonel. The institution is committed to veterans, but the faculty also takes this commitment seriously. I have never felt chastised or marginalized because of my service."



Professor Helps Offer Insight into Burglary Motives, Deterrents

The best way to find out what motivates a burglar is to simply ask.

That theory sparked a study by a team of researchers, including EKU professor Dr. Kristie Blevins, who conducted surveys with hundreds of convicted offenders in three states to glean insight into intruders' motivations and methods.

"Understanding Decisions to Burglarize from the Offender's Perspective," funded by the Alarm Industry Research and Educational Foundation with support from the Electronic Security Association, examined the decision-making processes and methods



of 422 incarcerated burglars, selected at random, in North Carolina, Ohio and Kentucky.

Blevins' original research began when she was a member of the faculty at the University of North Carolina at Charlotte.

After she joined the EKU faculty in 2011, an internal grant from the EKU School of Justice Studies allowed the associate professor of criminal justice and police studies to visit correctional facilities in Kentucky to collect data from inmates who were serving prison time for burglary.

Study findings included:

- 83 percent would consider the presence of an alarm when selecting a target; 60 percent said an on-site alarm would prompt them to choose another target.
- Top reasons for committing burglaries were related to the need to acquire drugs (51 percent) or money (37 percent), often used to support drug habits.
- About half reported engaging in residential burglary; 31 percent typically committed commercial burglaries.
- Most burglars reported entering open windows or doors or forcing windows or doors open to gain entry. One in eight indicated picking a lock or using a key.

In addition to Blevins, other study researchers were Dr. Joseph B. Kuhns at the University of North Carolina at Charlotte, and Dr. Seungmug "Zech" Lee, Western Illinois University.

The full report can be seen at www.airf.org/research/BurglarSurveyStudyFinalReport.pdf.

Lowé Named Dean of College of Education

Dr. Verna Lowé has been selected as the Dean of the EKU College of Education.

Lowé is currently the Dean of Graduate and Professional Studies as well as Assistant to the President for Institutional Effectiveness and Strategic Planning at Asbury University in Wilmore, Ky. She began as an instructor at Asbury in 1982 and advanced through the ranks to professor, eventually serving as a department chair and then Dean of the School of Education.

She served as chair of Asbury's Education Unit from 1992 to 2009, when the position became a Dean position. In 2012, she began serving in her current position.

The Search Committee cited Lowé's strong familiarity and visibility with state and national accreditation boards and trends occurring within those boards, her clear vision of state and national trends, her proven leadership skills, her experience in growing online programs, and her consulting experience.

Lowé earned her bachelor's degree in special education and elementary education in 1974 from Southern Wesleyan University (Central Wesleyan College) in South Carolina, her master's degree in school psychology in 1980 and her doctoral degree in special education personnel in 1992, both from the University of Kentucky.

Lowé succeeds Dr. Bill Phillips, dean since 2006, who will remain at EKU to teach in the College's Department of Educational Leadership and Policy Studies and direct the Center for Educational Research in Appalachia.



KCTCS GOOD NEWS REPORT

HIGHER EDUCATION BEGINS HERE

JUNE 2013



NEW HORIZONS – REDESIGN

KCTCS CONFERENCE INCORPORATES COLLABORATION AND NEW IDEAS

More than 650 faculty and staff from all 16 Kentucky Community and Technical Colleges recently had the opportunity to network with their colleagues and share innovative ideas, techniques, and technologies at the *2013 KCTCS New Horizons Conference – Redesign*. The conference was held May 20-22 at the Northern Kentucky Convention Center in Covington.

KCTCS President Michael B. McCall shared with employees national and state data indicating that retention is one of the greatest challenges facing community colleges today. He introduced his vision of “momentum” for the 2013-14 academic year designed to improve college completion rates. “We need to build the momentum for our students to ensure they successfully navigate their way through the entire student lifecycle from prospect to applicant to successful graduate to a successfully employed worker or transfer student to a four-year institution,” said Dr. McCall.

ABOVE: Dr. Michael B. McCall (seated front row in navy suit) honored faculty and staff from all 16 colleges and the System Office for their leadership and significant achievements at an awards ceremony in May.



KCTCS GOOD NEWS REPORT



ABOVE: (Clockwise from Left) New Century Scholar Ebony Nava (Bluegrass), KCTCS President Michael B. McCall, Summer Holland (West Kentucky), Dr. Gerald Napoles (Southcentral) and Michael Nyagol (Hazard). Holland, Napoles, and Nyagol were chosen for statewide honors by a committee of their peers. Each received \$1,000 to be used for the professional development experience of their choice.

In addition to the enrichment opportunities provided at the conference, awards were presented to KCTCS faculty and staff. Fifty faculty and staff were recognized for their innovative and significant achievements as well as service to students and their communities. Each of the 16 KCTCS colleges selected an outstanding administrative staff member, support staff member, and faculty member. Two individuals (one support staff and one administrative staff) from the KCTCS System Office were also honored.

Students also took center stage at New Horizons. Thirty-two of Kentucky's top college students were honored as members of the Kentucky Community and Technical College System's 2013 All-Academic Team. Students from each of the 16 KCTCS colleges were chosen for the All-Academic Team based on a rigorous selection process that focuses on academic performance and campus involvement.

The 2013 New Century Scholar was also named during the Student Awards Luncheon. The New Century Scholar is part of a national program sponsored by the national honor society Phi Theta Kappa, *USA Today*, and the American Association of Community Colleges. Ebony Nava from Bluegrass Community and Technical College (BCTC) was named the New Century Scholar for Kentucky.

In addition, Nava was the recipient of the KCTCS Martha C. Johnson Scholarship for \$2,500. The scholarship is named in honor of Martha Johnson, a native of Ashland and a graduate of Ashland Community and Technical College. Johnson is retired from Ashland, Inc. and is the founding chair of the KCTCS Board of Regents. Nava will graduate from BCTC in spring 2014 with an associate in science degree.





KSU Holds 124th Commencement Convocation

Kentucky State University awarded more than 400 candidates for graduation their associate, bachelor's and master's degrees during its 124th Commencement Convocation on May 11 at the Frankfort Convention Center.

Honorary degrees were awarded to Travis Grant, who brought national recognition to KSU, where he became one of the most prolific scorers in college basketball history, and posthumously to Dr. Anne S. Butler who served as director of the Center of Excellence for the Study of Kentucky African Americans.

Butler was also an associate professor in the Whitney Young School of Honors and Liberal Studies at KSU. She created numerous exhibits that attracted and informed diverse audiences from across the Commonwealth of Kentucky and the region. She was known as an effective and exceptional lecturer and presenter in the state and across the nation.

The other recipient, Grant, finished his degree and began a career in public service as an educator after he left professional basketball. Over the last 30 years, he has been a coach, an assistant principal and an athletic director for two schools in Georgia.

"I am equally proud of my success as an educator as my success as an athlete," Grant said. "This honor is the highlight of my professional career."

Martha J. Kanter, U.S. undersecretary of education, used Grant's scoring record and accomplishments in education as an example in her commencement address. She also praised the university's work in agriculture that continues to make a difference in the nation and the world.

KSU graduates do more than succeed, she said. "They set the standard for others to reach."

Former basketball star Travis "The Machine" Grant receives an honorary doctorate from his alma mater during commencement. KSU associate professor Dr. Anne S. Butler also was awarded an honorary degree posthumously.



U.S. Undersecretary of Education Martha J. Kanter was the speaker for KSU's 124th Commencement Convocation.



KSU Proclaimed Frankfort's University

Kentucky State University has been officially named "Frankfort's University" by the city's mayor. Frankfort Mayor William May issued the proclamation during a Kiwanis Club meeting on campus on May 16 and presented it to President Mary Evans Sias.

"Kentucky State University provides Frankfort with many benefits," said May, who is a KSU graduate. "KSU offers educational opportunities to the area residents and state employees wishing to attain a college education and to those who wish to enhance their careers. Frankfort also benefits from the many jobs provided by KSU, which also enhances our tax base."

May recognized KSU as the only fully accredited, four-year institution of higher learning in Frankfort and one of

the two land-grant institutions in the state, and which also has one of the top-five aquaculture programs in the nation. KSU's direct and indirect employment adds more than 1,266 jobs to the local economy. Consumer spending of KSU's faculty, staff, students, contractors, service providers and vendors accounts for more than \$41.7 million spent with local businesses, he noted in the proclamation.

KSU accounts for more than \$100 million that flows through the Franklin County community each year. The university also provides cultural activities as well as sporting and recreational events for the Frankfort/Franklin County community, May said further.

New KSU Initiative Means More Community Engagement

Kentucky State University leaders who support a new initiative that will strengthen partnerships between the university and the Frankfort community held a kickoff for the project on May 20 at the university's Welcome Center.

President Mary Evans Sias was joined by Antonio Boyle, assistant vice president for Enrollment Services; KSU regent Karen Bearden; Rep. Derrick Graham; Cornelia Calhoun, executive director of the Frankfort/Franklin County and Convention Commission; Michele New, chair of the Franklin Board of Education; and Lorenzo Esters, KSU's vice president for student success and enrollment management.

Sias said the goal of the Franklin County Education Initiative is to expand community partnership and ensure that there are no students in the community who cannot attend college because they lack funds.



State Rep. Derrick Graham, who is a KSU alumnus, expressed his support for the initiative.

Malcolm-Jamal Warner Speaks To KSU Graduates

Emmy-nominated actor and director Malcolm-Jamal Warner offered advice about keeping integrity and read his own poetry to Kentucky State University graduates at a special convocation and luncheon on May 2. Warner is best known for his starring role on the television series "The Cosby Show." He currently stars in the BET original series "Reed Between the Lines." Warner has also been involved in music, directing and producing.





Spring Commencement recognizes more than 1,100 degree candidates

MSU honored more than 1,100 degree candidates at its two spring commencement ceremonies on Saturday, May 11, at the Academic-Athletic Center.

Logan Higginbotham of Morehead was the morning student speaker. Also during the morning ceremony, Dr. C. David “Mugsy” Adair, a nationally recognized physician and researcher, was presented an honorary degree of Doctor of Science. Stephanie Gibson of Salyersville was the afternoon student speaker. ROTC students were administered the oath of office by MSU alumnus Brig. Gen. Steven Hogan.



Rites of Passage celebrated

MSU’s Office of Student Activities, Inclusion and Leadership Development sponsored its 26th Annual Spring Rites of Passage Graduation Recognition Ceremony Thursday, May 9. The purpose of the ceremony was to recognize and celebrate the scholastic achievement of minority and international students at MSU.



Doctorate Program graduates first 19

MSU spring 2013 commencement proved to be historic as 19 students graduated with a Doctorate of Educational Leadership degree. Even before this three-year program was approved by the Southern Association of Schools and Colleges, a number of educators in the region had expressed an interest in earning a doctorate. When the approval was given, several more students than could be accepted into the program applied.

Candidates for the Degree Doctorate of Education were: Matthew Shane Baker, South Portsmouth; Scottie Billiter, Jenkins; Timothy Wade Bobrowski, Booneville; Christine Block Boyd, Winona Lake, Ind.; Robbie L. Fletcher, Inez; Kelly Anne Foster, Mt. Sterling; Roberta Lynn Walton Fugett, Wellington; Ray E. Ginter, Morehead; Jeffrey Clifton Hawkins, Neon; Rachel Elizabeth Holbrook, Flat Gap; James L. Hurley, Pikeville; Lisa Cluxton Jones, Berea; Beth Banks Pennington, Whitesburg; Garrick Lee Ratliff, Morehead; Michael A. Rutherford, Waxhaw, N.C.; Amy Johnson Staton, Salt Lick; Lowell Shawn Thornsby, Ashland; Charles Douglas Ward, Williamson, W.Va.; and Henry Webb, Prestonsburg.

The three-year program requires successful completion of a minimum of 60 post-graduate hours, written and oral qualifying exams, and successful defense of a capstone project. Additionally, the practitioner-doctorate model means that students work full time while they are engaged in completing the various program requirements. Sometimes called practitioner-scholars, program participants are expected to engage in problem-solving activities designed to improve the district or organization in which they work.



Roberson selected OVC Female Athlete of the Year

Eagle volleyball player Ellie Roberson has been voted the Ohio Valley Conference Female Athlete of the Year. Following an All-American season in 2012, Roberson becomes only the second MSU female student-athlete to earn one of the league's most prestigious honors and the first in 30 years.

The Louisville native was named Honorable Mention All-America by the American Volleyball Coaches Association (AVCA) and also was tabbed First-Team AVCA All-Midwest Region. Roberson, who moved to the right side hitter position in 2012, was selected as the OVC Volleyball Player of the Year and First-Team All-OVC.

French wins Appalachian Leaders in Education Award

Regina French, an adult education instructor in Bath County, was presented the Appalachian Leaders in Education (AppLE) Award by Forward in the Fifth. She was recognized for her work with students to promote lifelong learning and critical workforce skills.

She has resided in Bath County, where she and her husband raise cattle, and has been an adult educator for 18 years. She applied for the executive director position of the Bath County Council for Literacy Inc. in May 1995. Bath County Adult Education recently joined the MSU Adult Education programs. It is located at 39 Cedar Creek Drive, Owingsville.

First 24-hour Donor Challenge met

MSU alumni and friends rose to the challenge Thursday, May 16. According to University Advancement, MSU received nearly 600 gifts and more than \$35,000 during the "Donor Challenge." An anonymous donor pledged \$20,000 if 500 gifts were received May 16.

The \$35,000 total will be added to the \$20,000. The gifts will have a tremendous impact for students, funding student scholarships, classroom and lab improvements, and student software and technology updates.



Space Science Center's Star Theater has its 50,000th visitor

Students from Amy Hunt's and Cora Brewington's first grade classes from Botts Elementary School in Menifee County became the 50,000th visitor to the MSU Space Science Center's Star Theater during their visit on Friday, May 24.

In recognition of reaching this milestone, Star Theater Director Eric Thomas presented the teachers with a pass for a free visit to the planetarium for the school's entire first grade during the upcoming 2013-14 school year. Since its opening in the summer of 2010,

MSU's Star Theater has averaged an annual attendance of approximately 12,500 visitors per year. The 110-seat digital theater serves as a classroom and planetarium for the region's educators, students and the general public. The Star Theater projects full 180° x 360° real-time blended video and graphics on a 40-foot dome screen using six digital projectors and state-of-the-art surround sound.



MURRAY
STATE UNIVERSITY

College and new science campus named for alumni

Murray State University's board of regents approved the naming of the Jesse D. Jones College of Science, Engineering and Technology and the Gene W. Ray Science Campus. These namings honor two of the university's most outstanding alumni and dedicated and generous supporters. Dr. Jesse D. Jones spent his career in research, development, sales and technical service with Ethyl and Albemarle Corporations. He graduated from Murray State University in 1963 and is the recipient of the MSU Alumni Association's Golden Horseshoe Award and an honorary doctorate from the university. Dr. Gene W. Ray is a scientist, entrepreneur and corporate leader. He is the recipient of a Kentucky OAK award (Outstanding Alumnus of Kentucky) in recognition of his professional work, achievements and support of his alma mater and is an MSU Distinguished Alumnus. A 1960 graduate of MSU, Ray is a trustee on the MSU Foundation board. Both Jones and Ray have donated generously to Murray State in a variety of areas.

Kraemer receives NFSI Spirit Award for safety education

Dr. David G. Kraemer, professor and chair of the department of occupational safety and health (OSH) at Murray State, received the national Spirit Award from the National Floor Safety Institute (NFSI). The NFSI Spirit Award is a yearly recognition that is presented to an individual or organization that epitomizes the spirit and mission of the NFSI to aid in the prevention of slips, trips and falls through education, research and standards development. Kraemer has demonstrated his commitment and leadership in making walkway safety an important part of the OSH curriculum at the university. NFSI and Murray State partnered for the first slip-and-fall accident prevention research and training laboratory, located on campus. The NFSI research laboratory at Murray State University is the first permanent facility of its type in the country and serves as a training ground for future environmental health and safety professions.

MSU receives President's Honor Roll Award fourth consecutive year

Murray State University was named to the 2013 President's Higher Education Community Service Honor Roll by the Corporation for National and Community Service for the fourth consecutive year. The Honor Roll recognizes higher education institutions that reflect the values of exemplary community service and achieve meaningful outcomes in their communities. Murray State's selection to the Honor Roll is recognition from the highest levels of the federal government of the university's commitment to service and civic engagement on campus and in the nation.

Carter elected to CASE-Ky board of directors



Jim Carter, vice president for institutional advancement at Murray State, has been elected to the CASE-Kentucky board of directors. Institutional advancement at Murray State encompasses

development, communications, alumni and university event venues. The area of institutional advancement consistently makes a strong showing in the annual CASE-Kentucky competition, and has won a total of 39 awards over the past three years.

Murray State wins fourth national case study title

Three of Murray State University's telecommunications systems management graduate students — Randall Winchester, Doug Cecil and Craig Wisner — squared off with Ball State University and Illinois State University in the National Case Study Competition finals. The trio's hard work paid off, bringing home a national win for Murray State. TSM faculty, staff and students presented six papers, held an interactive panel session and participated in the National Case Study Competition. Guided by TSM faculty member George Rice, who has led seven teams to the finals, Winchester, Cecil and Wisner were the fourth team to leave the competition as champions.

Jay Morgan named provost

Dr. Joseph (Jay) Morgan has been selected to serve as MSU's next provost by Dr. Randy J. Dunn, president. He was selected from a national pool of 84 candidates and was one of four finalists who interviewed for the position. Morgan holds the B.S. degree in agriculture science and business, and an M.S. in agriculture science from MSU. He earned his Ph.D. at Oklahoma State University in agricultural education.

Shakespeare Festival receives grant funding



The Murray Shakespeare Festival at Murray State University has been awarded a grant from South Arts, a nonprofit regional arts organization with a mission to advance excellence in the arts, connect the arts to key state and national policies, and nurture a vibrant quality of life. South Arts' is providing Murray State with a Regional Touring Grant to support the engagement of the American Shakespeare Center for public performance and education during the Murray Shakespeare Festival in 2014.

Stambaugh to receive national award as Outstanding Professor

MSU accounting professor Dr. Tommy Stambaugh was selected to receive the Outstanding Educator Award from the American Accounting Association Strategic and Emerging Technologies Section. The award recognizes Stambaugh for his excellent teaching contributions over many years. The award will be presented at the national meeting of the American Accounting Association in Anaheim, Calif., in August.

College of business reaccredited by AACSB

The Arthur J. Bauernfeind College of Business at Murray State maintained its business accreditation by AACSB International — The Association to Advance Collegiate Schools of Business. AACSB Accreditation is the hallmark of excellence in business education, and has been earned by less than five percent of the world's business programs. Today, there are only 672 business schools in 50 countries and territories that maintain AACSB accreditation.

U.S. senator delivers Commencement address

U.S. Sen. Mitch McConnell delivered the May 2013 Commencement address at Murray State University. McConnell is the longest serving U.S. senator in Kentucky's history and is currently the Republican Leader. He is a senior member of the Appropriations, Agriculture and Rules Committees. McConnell is married to Elaine L. Chao, former U.S. Secretary of Labor under President George W. Bush.

MSU libraries participate in national development program

Murray State University Libraries and 74 higher education institutions have been selected to take part in the first cohort of Assessment in Action: Academic Libraries and Student Success, a 14-month development program designed to use a learning project to understand how the library impacts student success and contributes to on-campus activities. This program will be conducted in 29 states across the U.S., with Murray State being the sole representative from Kentucky.

MSU student accepted into prestigious summer art residency

Jordie Oetken, an MSU senior majoring in fine art photography, was accepted into the Skowhegan School of Painting and Sculpture for a summer art residency in Maine. The program is designed to broaden artists' creativity and experimental side for their future careers. Due to its well-known reputation in the art industry, approximately 2,500 applications were submitted; only 65 students were accepted.

Students and faculty visiting Japan for cultural experience

Murray State was invited to participate in the "Takehashi Project – The Bridge for Tomorrow," sponsored by the Ministry of Foreign Affairs of Japan. The program invited multiple U.S. educational institutions including seven universities, one college and seven high schools, including Calloway County High School, to interact with Japanese citizens, learn about various Japanese historical and contemporary aspects and build a relationship between the U.S. and Japan in order to develop an understanding of Japanese culture at no cost.





GOLD RUSH

JUNE 2013 NORTHERN KENTUCKY UNIVERSITY



GO FIGURE



3

Number of consecutive years NKU has been named one of the region's healthiest employers.

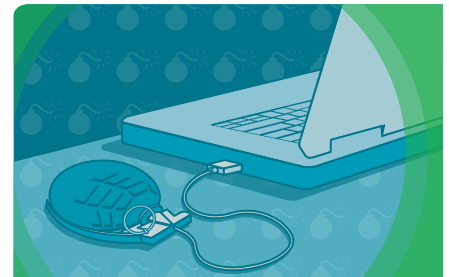
\$18,550

Amount distributed to local nonprofits through the NKU Mayerson Student Philanthropy Project last spring.

4

Number of NKU students who earned the prestigious Benjamin A. Gilman International Scholarship this summer.

NKU TEAM WINS CYBERWARS COMPETITION



The Northern Kentucky University student cyber security team won first place at the Center for Systems Security and Information Assurance (CSSIA) Cyberwars invitational competition in May. The competition was an eight-hour online battle that combined offense and defense.

The nine teams invited to this Cyberwars competition included some of the best teams in the Midwest, including the Rose-Hulman Institute of Technology team, which placed third nationally in the 2013 National Collegiate Cyber Defense Competition. The University of Louisville, which has done well in regional competitions, also participated.

This victory comes on the heels of NKU's third-place finish in the Midwest Regional Collegiate Cyber Defense

Competition in March. NKU placed higher than any other public institution among 50 teams competing in the Midwest.

The NKU team used Griffin Hall's state-of-the-art virtualization lab to connect to the competition network. Team alumni Ty Braunwart and Kevin Childers helped set up the network and virtual machines for the team's intensive practice sessions before the competition. Cybersecurity is one of the focal areas of NKU's College of Informatics. Computer Science faculty member Dr. Yi Hu has coached the team for the past five years. "We have our students prepare an offensive cyber warfare strategy as a way to educate them in ethical hacking skills, which are needed to actively defend critical IT infrastructure," said Dr. Hu.

COLLEGE CORNER CHASE COLLEGE OF LAW

The Chase College of Law announced recently it has received a \$1 million gift from W. Bruce Lunsford to establish and support the W. Bruce Lunsford Academy for Law, Business + Technology. Lunsford, a 1974 Chase graduate, is chairman and CEO of Lunsford Capital, LLC, a private investment company headquartered in Louisville. The Lunsford Academy will be an honors immersion program operated by the NKU Chase Law + Informatics Institute. The focus of the program will be to develop "renaissance lawyers" for the Information Age. The academy will provide students with the technological, financial and professional skill sets essential to the modern practice of law. Through the program's technology-driven, skills-based curriculum, students will

acquire the fundamental skills that will make them more productive for their clients, more attractive to employers and better prepared to practice law upon graduation.

In addition to taking the program's required and elective law and informatics courses, Chase students participating in the Lunsford Academy will have the opportunity to participate in technology-focused semester-in-practice placements and study abroad programs. Chase College of Law also partners with the NKU College of Informatics to offer a Juris Doctor/Master of Business Informatics and Juris Doctor/Master of Health Informatics and with the NKU Haile/US Bank College of Business to offer a Juris Doctor/Master of Business Administration.

NKU GEARS UP FOR STRATEGIC PLANNING



A longstanding commitment to public engagement has once again landed NKU on the President's Higher Education Community Service Honor Roll. Northern has been named to the Honor Roll six times since 2006.

Three projects were key to NKU's receipt of the award this year. One involved an ongoing partnership between NKU nursing students and area hospitals; another involved quick-response relief after the March 2012 tornados; and the third involved incorporating student philanthropy into a dual-credit class taught by an NKU professor at Dixie Heights High School.

"It's a tribute to a lot of people – students, faculty and community partners – when public engagement works well, as it has in all three of these projects," said Mark Neikirk, executive director of NKU's Scripps Howard Center for Civic Engagement. "There is a direct community benefit but also a direct academic benefit for our students."

The Honor Roll recognition also celebrates NKU's overall commitment to service, including more than 100 service-learning classes each academic year that partner with area nonprofits. Outside of class, NKU student organizations and students volunteer thousands of hours in the community.



THE SCOREBOARD

It hasn't taken NKU men's basketball coach Dave Bezold long to adjust to life in Division I. After a 9-9 record in Atlantic Sun play during their first year of DI competition, the Norse will boast one of the nation's toughest nonconference schedules in 2013-14, including trips to Rupp Arena to face Kentucky and to the Dean Smith Center to face North Carolina. Add in a pair of games against Sweet 16 foe Florida Gulf Coast, and the Norse will certainly have their work cut out for them next season.

BRIEFS

NKU UNDERGRADS WIN ENVIRONMENTAL AND WATER RESOURCES POSTER COMPETITION

NKU students **Stefania Guglielmi** and **Alexus Rice** won first place at the World Environmental & Water Resources Congress Student Poster Competition. The competition highlighted their research examining the occurrence of cyanobacteria (blue green algae) blooms in the region. These algae are potentially toxic and allergenic. Guglielmi, a junior environmental sciences major, and Rice, a sophomore biology major, competed mainly against graduate students from colleges and universities across the world.

READ MORE: http://www.nku.edu/news/_130603poster.html

BOOK SHOWCASING NKU ENGAGEMENT FUNDS PHILANTHROPY CLASS

When "Becoming an Engaged Campus: A Practical Guide for Institutionalizing Public Engagement" hit bookstores in 2011, no one expected it to become a best-seller. But the book written by former NKU President James Votruba and two of his NKU colleagues, has enjoyed another kind of success. It has generated money to support public engagement – the very thing they were trying to promote when they wrote it. Next year, profits will be designated to support an NKU Mayerson Student Philanthropy class.

READ MORE: https://www.nku.edu/news/_130528book.html

FACULTY FOCUS

The NKU Board of Regents voted unanimously in May to award Professor Michael R. Carrell the title of Regents Professor, a title he will retain throughout his continuing years of service to the university. Carrell is just the twelfth NKU professor to receive this coveted recognition, which is granted to full professors who, at the apex of their careers, have an exceptional record of achievement in scholarship or creative activity that has brought acclaim to the university and is consistent with the university's core values. Carrell came to NKU in 1998 as dean of the College of Business.

MPA STUDENTS BRING EXPERTISE, PASSION TO ADDRESS REGIONAL CHALLENGES

When **Jim Kaufman** set about selecting a capstone project for his NKU Master of Public Administration degree, he picked a topic close to home – creating a network to help local families experiencing childhood apraxia of speech, or CAS. This neurological motor speech disorder doesn't allow messages to go from the brain to those parts of the body needed to produce intelligible speech. While many have never heard of CAS, Kaufman and his family were all too familiar. His son, **Liam**, was diagnosed with CAS in 2012.

READ MORE: http://www.nku.edu/news/_130524kaufman.html

NORSE THINK TANK BRINGS HANDS-ON LEARNING TO GIFTED MIDDLE SCHOOLERS

The NKU Institute for Talent Development and Gifted Studies will host its first Norse Think Tank program this month. This new enrichment program is designed to meet the needs of academically, creatively and artistically gifted and talented middle school students entering sixth through eighth grades. It offers an integrated, multi-disciplinary focus on engineering, visual and performing arts, entrepreneurship, business creation, science, architecture and original interdisciplinary studies.

READ MORE: https://www.nku.edu/news/_130423thinktank.html

ALUMNI NEWS



Lorraine Zago Rosenthal was always a fan of television, film and literature, and that interest inspired her to create her own stories.

Fiction writing began as a hobby for Rosenthal (M.A. in English, 2010). Then, in 2011, her first novel, "Other Words for Love," was published by Random House (Delacorte Press) and quickly made its way to Amazon's "Best Books of the Month for Young Readers" that January.

Her follow-up work, "New Money," is the story of a young Southern woman of modest means who suddenly finds herself thrust into New York's high society when she discovers she is the illegitimate daughter of a recently-deceased billionaire. The novel, which is scheduled for release by St. Martin's Press (Thomas Dunne Books) later this year, has already been optioned by Sony Pictures Television. The sequel to "New Money" will be published in 2014.

In addition to writing novels, she also serves as a freelance writer and currently reviews DVD film and television releases for PopMatters, a popular culture webzine.



Markey Receives \$6.25 Million to Study Deadly Blood and Bone Marrow Disease

The University of Kentucky Markey Cancer Center has received more than \$6 million to study myelodysplastic syndrome (MDS), a deadly blood and bone marrow disease often caused by chemotherapy or radiation treatments.

A \$5 million grant from the Edward P. Evans Foundation will fund five research labs over five years, including three labs at UK, one at Cincinnati Children's Hospital, and one at the University of Arkansas. A \$1.25 million donor gift, given to UK through the Markey Cancer Foundation by an anonymous donor, will also fund the laboratories.

These laboratories are the only ones who will specifically look at how to determine biomarkers for MDS prior to any type of cancer treatment. The ability to predict who may be at a higher risk for MDS will allow physicians to tailor cancer treatments to reduce the chance of developing the diseases later on in life.

Applying Engineering Innovation to Autism Therapies

Sen-Ching Cheung is an associate professor in the UK College of Engineering's Department of Electrical and Computer Engineering and a faculty member within the UK Center for Visualization and Virtual Environments. Like most professors, he is deeply involved in engineering research, most of which is in the area of multimedia information analysis.



The distance between professional research and personal impact was shortened a few years ago when Cheung's son was diagnosed with autism spectrum disorder.

One of the therapies to help children with autism involved letting their son watch videos of other kids performing skills he needed to learn for himself. However, autistic children typically do not respond well to videos of other kids because there is no connection; they can't relate to them. However, according to recent research in autism, autistic children do relate to their own face and have little problems recognizing it in a mirror.

-continued



Autism Therapies, continued

Along with faculty in the colleges of Medicine, Engineering and Arts and Sciences, Cheung has submitted proposals to develop what is called a “virtual mirror.” In the virtual mirror, a child will be able to look at himself in a large computer display. As he is looking at himself, the program will take his image and virtually create the child carrying out the very actions he needs to learn.

Through seeing the instant visual feedback from the virtual mirror, Cheung hopes that this new technology can help autistic children like his son better concentrate on behavioral learning and generalizing abstract concepts to daily life.



UK Delegation Travels to China

In 1985, the UK center was among the first 10 federally funded Alzheimer’s Disease Centers in the U.S. and has been A delegation of UK officials, led by President Eli Capilouto, recently returned from a two-week trip to China, to further develop student/faculty exchange programs, research partnerships and international collaborations. Including representatives from UK’s Center for Applied Energy Research, UK’s Confucius Institute, International Affairs and the colleges of Education and Fine Arts, the delegation focused on three areas: equine, energy and education; and traveled to Beijing, Xi’an, Shanghai and Changchun.

Few places outside of the Bluegrass Region have as high of a concentration of expertise and preeminence in all areas of the equine industry. For many years, UK has been an important research partner in the equine economy, which is why delegation members met with the Chinese Equestrian Association, the Capital University of Physical Education and Sports and representatives from Keeneland to discuss international teaching and research partnerships in equine science and training programs.

Equally important to Kentucky’s economy – and to a larger extent, the national economy – is the impact of coal utilization in our energy policy. UK’s Center for Applied Energy Research is a leading innovator and part of the US–China Clean Energy Research Center. The delegation met with research universities and industry leaders to expand partnership opportunities in clean coal, carbon sequestration and coal-to-liquid research.

Throughout the trip were several meetings with leading Chinese universities and current international partners to develop new ways of enhancing and expanding faculty/student exchanges, 2+2 and 3+2 degree programs, international professional development and study abroad opportunities, as well as the growing partnership with UK’s Confucius Institute.

In an era of constrained domestic resources to advance scientific discovery, we must look for more opportunities for constructive global partnerships that hold incredible potential for groundbreaking discoveries in energy and health sciences,” said President Eli Capilouto. “At the same time, we cannot pull back from our commitment as a Land Grant institution – we must hold true to the tenants of our Kentucky Promise – which is why we are focused on partnerships that relate to areas of strength for UK – energy, equine and health sciences research.”

Critical to the expansion and enhancement of UK’s research enterprise and comprehensive academic opportunities for our students and faculty, UK is looking for opportunities to enhance our global profile as a catalyst for fulfilling our Kentucky Promise.





POMP AND CIRCUMSTANCE REVISITED

UofL welcomed more than 2,700 new graduates into the alumni fold at commencement ceremonies held at the KFC Yum! Center May 11. In addition to awarding diplomas, the university bestowed two honorary degrees on NBC political analyst and UofL law school alumnus Howard Fineman and Henry Heuser, president of the Henry Vogt Foundation and past chair of the university's Board of Overseers.

LEAVING THE NEST



James Knauss now has a master's degree in civil engineering from UofL, yet until the day before graduation, had never been to Louisville. The U.S. Air Force flight instructor earned his degree entirely online, taking classes at military bases around the country, in addition to locations halfway around the world, such as Afghanistan, Kyrgyzstan and Qatar.



Matt Gammons, an Iraq war veteran, was the first UofL graduate to receive a peace, justice and conflict transformation certificate with his justice administration bachelor's degree. The community-minded student has volunteered for Big Brothers Big Sisters, helped with Hurricane Sandy relief and wants to become a police officer.



Rosslyn Steinmetz, Leah Dahline and Kelsey Stanley are the first UofL graduates to earn bachelor's degrees in Latin American and Latino studies. The newly minted degree focuses on Latino contributions and culture.



Peyton Siva, point guard on the men's NCAA basketball championship team, got a loud cheer when he "walked" across the stage to receive his degree from President Ramsey. Next up for Siva, who graduated with a degree in sociology, is the NBA draft on June 27.

A Letter from the President

Dear Friends and Family of UofL,

The Final Four weekend was, obviously, a truly special one for me in a number of ways. But the best moment of that weekend for me had nothing to do with basketball – it was the moment I called Evan Shepherd to tell him he was one of just 62 students in the United States, and the only one in Kentucky, to win a prestigious Truman Scholarship. Evan is a student from Madisonville who was offered a scholarship to play football at a small college. Instead, he accepted a McConnell Scholarship to UofL, deciding that a top-notch education might take him further in life than four years of football. Now he's recognized as one of the nation's top scholars. And he's not alone. Earlier this month

we announced that UofL again leads the state in the number of Fulbright Scholars (seven plus one Summer Fulbright). We're also proud of our two Boren Scholars, a Goldwater, Mary Churchill Humphrey and Erasmus Mundus (a first for UofL). We're recruiting and educating outstanding students, then sending them around the globe to do good work and spread the word about the quality education they received at UofL. We're proud of them.

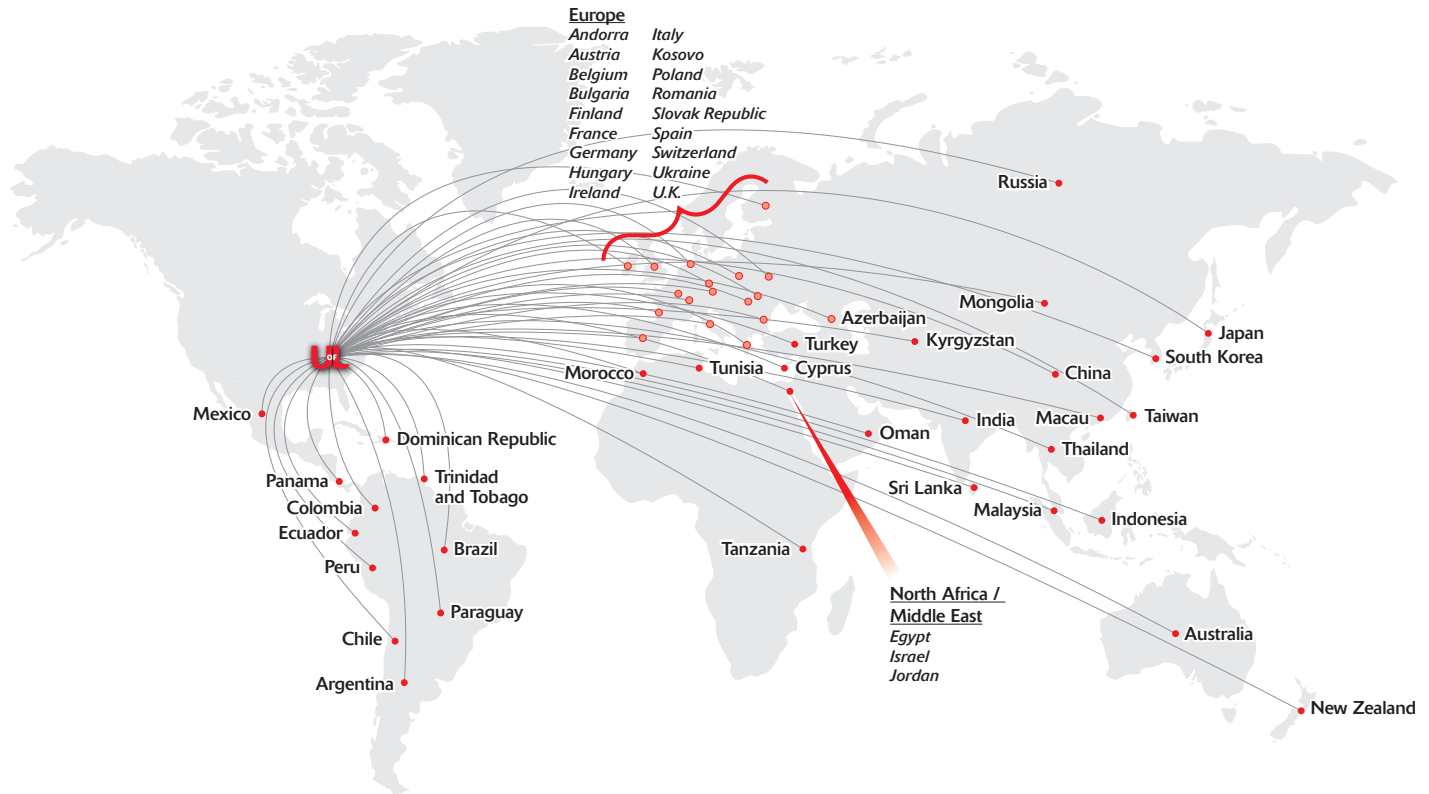
James Ramsey



UofL prestigious scholars see the world

International scholarship student destinations since 2003

UofL's mission to become one of the nation's premier metropolitan research universities received a major boost, with seven students earning prestigious Fulbright Scholarships and one student receiving the Summer Fulbright Institute Award. Four others won additional prominent awards for international study. Since 2003, UofL students have won 75 Fulbrights, more than all other Kentucky colleges combined. Of the seven Fulbright awardees, one will conduct independent research and six will teach English during their time abroad. In addition to the students, three members of the UofL faculty also received Fulbright Awards.



Scholarships represented: Fulbright, Rhodes, Boren, Mary Churchill Humphrey, Erasmus Mundus, Critical Language, Rotary Ambassadorial

UofL CHARTING OUR COURSE

The Campaign for Kentucky's Premier Metropolitan Research University

The ultimate goal: winning a degree

Henry Bacon was just one paper short of getting his degree from UofL when he left in 1972 to play professionally in the American Basketball Association. While his basketball career didn't last very long, his goal to finish his degree did. A 64-year-old Bacon realized that goal this month, when he received his education degree with a physical education minor.

Bacon is one of many former UofL athletes that have returned to earn their degree after an extended absence, and like many, did it as a part of the Houston-Bridgeman Fellows Cardinal Degree Completion Program.

Created in 2000 through the generosity of Wade Houston and Junior Bridgeman, the program was established to assist returning student athletes who have



exhausted their athletic scholarship eligibility with the costs of full- or part-time tuition and books associated with completing their degree. The program also provides employment opportunities through the University of Louisville Athletic Association.

More than 55 former student athletes have graduated using the program, including Bacon and longtime Washington Redskins offensive lineman Joe Jacoby and former basketball player DeJuan Wheat.

CAMPAIGN PROGRESS TO DATE

 **\$805,217,163**

 **UNIVERSITY OF LOUISVILLE**
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Western Kentucky University news for the Council on Postsecondary Education, June 2013

For more WKU news, visit www.wku.edu/news.

Gatton Academy again named top U.S. high school

The Carol Martin Gatton Academy of Mathematics and Science in Kentucky at WKU has been named America’s top high school by Newsweek magazine for the second straight year. The America’s Best High Schools 2013 list recognized 2,000 high schools from across the United States. The Gatton Academy was ranked fifth in 2011 and first in 2012.



Dr. Tim Gott, director of the Gatton Academy, noted that the ranking validates the students of Kentucky. “Our students represent nearly every city and county in the state and continue to demonstrate that they can contribute greatly on a national and international level,” Dr. Gott said. “This honor again reveals what can happen when outstanding students are free to pursue the highest levels of academic challenge in an environment of support and encouragement. We celebrate this achievement with the entire Commonwealth.”

“Repeating an amazing achievement is usually more difficult than the original feat. So, to be the best high school in America two years running is very impressive,” WKU President Gary Ransdell said. “I am proud of the Gatton students, who are all Kentuckians, of our WKU faculty, and the Gatton Academy staff for creating the intellectual means to make this happen.”

The Gatton Academy, which opened in 2007 and is funded by the Kentucky General Assembly, is the Commonwealth’s only state-supported residential high school with an emphasis in math and science. See <http://wkunews.wordpress.com/2013/05/06/gatton-top-ranking-2013/>

WKU Physical Therapy program achieves candidate for accreditation status

The first class of 30 students in WKU’s Physical Therapy program will begin this June now that the program has achieved Candidate for Accreditation status. Program Director Harvey Wallmann said the designation by the Commission on Accreditation in Physical Therapy Education (CAPTE) is the latest step toward receiving initial accreditation of WKU’s doctoral program.

A physical therapy education program must be accredited to allow graduates to sit for the licensure exam, which is required in all states.

Interest in the program is strong with more than 200 applications received by the January deadline. See <http://wkunews.wordpress.com/2013/04/26/pt-status-regents/>



WKU dedicates Augenstein Alumni Center

WKU officially opened a new home for Alumni on April 12. The state-of-the-art Augenstein Alumni Center was financed by more than \$5.6 million in gifts and pledges from more than 2,000 donors, including a \$1 million lead gift from namesake Dale Augenstein. See

<http://wkunews.wordpress.com/2013/04/12/alumni-center-dedication/>

WKU students continue to excel in earning national, international awards

WKU students and graduates continue to excel in the national and international scholarship arena.

*Four students in the Chinese Flagship Language Program at WKU have been awarded U.S. Department of State **Critical Language Scholarships**. Jessica Brumley of Lawrenceburg, Hannah Garland of Somerset, Cody Hutchins of Louisville, and Alexandra “Dare” Norman of Bowling Green will use the scholarships to study Chinese this summer in various locations.

*Three students were honored by the **Barry M. Goldwater Scholarship and Excellence in Education Program**, a premier award for undergraduates pursuing careers in science, mathematics or engineering. Hillary Asberry, a junior chemistry major from Lancaster, and David Brown, WKU sophomore and Gatton Academy student from Central City, were selected as recipients for this highly competitive award. Samuel Saarinen, also a member of the Gatton Academy from Shelbyville, received an Honorable Mention in the national competition.

*J.P. Stovall, a rising senior from Nashville, Tenn., has been awarded a **David L. Boren Scholarship** that will fund his participation in the Chinese Flagship Program’s Capstone Year in China.

*For the second year in a row, a WKU student has been selected to participate in a **Fulbright Summer Institute** sponsored by the US-UK Fulbright Commission. Meghan McGuirk, a sophomore from Glasgow, will spend five weeks at the universities of Dundee and Strathclyde in Scotland studying the cultural and political forces that have shaped modern Scotland.

*Kelsey Mattingly, a WKU senior art history and sociology major from Louisville, has been awarded a highly competitive **Fulbright Research/Study Grant** to pursue a one-year master’s degree in art history at the University of East Anglia in Norwich, United Kingdom.

*Mario Nguyen, a 2012 WKU graduate with a degree in public relations, has been awarded a fellowship from **Princeton-in-Asia**. Nguyen will work with the Hinrich Foundation in Manila, Philippines, coordinating the organization’s online presence and working with the Export Assistance Program that connects exporters with international buyers.

* Grace Babbs of Paducah, a first-year student at the Carol Martin Gatton Academy of Mathematics and Science in Kentucky at WKU, received a nationally competitive scholarship for Arabic language immersion in Jordan this summer. She will spend six weeks in Amman, Jordan, on the **National Security Language Initiative for Youth** (NSLI-Y) scholarship, sponsored by the U.S. Department of State.

Kentucky manufacturers will benefit from new partnership

Manufacturers across the Commonwealth will benefit from services offered by the Advantage Kentucky Alliance Manufacturing Extension Partnership (AKA MEP), an alliance of university, state, federal and professional partners.

“Everyone benefits from a stronger economy, and manufacturing is at the forefront of driving our economic success,” said Kentucky Gov. Steve Beshear. “The Advantage Kentucky Alliance Manufacturing Extension Partnership offers exactly the type of collaborative effort we need to move our economy forward all across the Commonwealth.”

The AKA MEP, which has its headquarters at WKU’s Center for Research and Development, will have project managers will be located across the state.

The center at WKU will emphasize client services in Innovation Engineering Management Systems and other business growth strategies to help manufacturers expand and prosper in a global marketplace. See <http://wkunews.wordpress.com/2013/04/05/aka-mep-announced/>

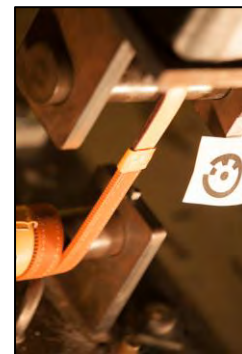
WKU engineering facility testing reins for horse industry

A testing session for horse safety reins is one example of the value of WKU’s Engineering-Manufacturing-Commercialization Center.

“The EMCC was created to provide services like this to the community and to industry,” Manager Ron Rizzo said. “Working on creation of the standard is a significant accomplishment for us to be a part of.”

The reins were attached to a hydraulic lift in the McConnell Integrated Applications Laboratory and tested for force needed to break them.

Matt Dettman, the James D. Scott Professor in Civil Engineering, developed the testing process been used by the American Society of Testing and Materials (ASTM) in development of an industry standard. “If the standard is accepted, in the future when you watch the Kentucky Derby, you’ll know all reins are ASTM-certified,” he said. See <http://wkunews.wordpress.com/2013/05/16/emcc-rein-testing/>



A rein is inserted into a hydraulic lift for testing.



News from the Association of Independent Kentucky Colleges & Universities June 2013

AIKCU members award a record 8,000+ degrees in 2012-13



Photo: Lindsey Wilson College President William T. Luckey Jr. congratulates Zackary Smith of Harrodsburg, Ky., who earned a bachelor of arts degree in criminal justice. Photo courtesy of LWC.

May was a busy month on AIKCU campuses as they celebrated the accomplishments of the class of 2013 with a full slate of spring commencements. When combined with mid-year ceremonies, CPE's 2012-13 Preliminary Degrees Report indicates that AIKCU members awarded 8,151 total degrees and credentials this academic year, a 2.8% increase over the previous year. See a full list of AIKCU commencement speakers: <http://www.aikcu.org/2013/04/30/spring-commencement-season-begins>

Union College and Knox County Schools offer high schoolers an early start to college

Union College and Knox County Public Schools have entered a partnership that seeks to promote college readiness among local high school students by providing an early start into college-level coursework. Students accepted into the Union Collegiate Institute Early Start Program will have the opportunity to earn up to 29 credit hours in four years, free of charge. Learn more: <http://www.aikcu.org/2013/05/01/union-college-early-college/>



News from the Association of Independent Kentucky Colleges & Universities June 2013

6 recent AIKCU graduates receive Fulbright Scholarships

Six 2013 AIKCU graduates, two from **Georgetown College** and four from **Centre College**, have been named 2013 Fulbright Scholars. The Georgetown College Fulbright Scholars are Victoria Lee “Tori” Shover, a Spanish and Elementary Education major from Louisville and Rachel Ann Castillo, an English and Secondary Education major from Paducah. Shover and Castillo will spend the next year teaching English in Spain and South Korea, respectively. Read more about them: <http://www.georgetowncollege.edu/news/2013/05/two-seniors-receive-fulbright-awards/>

Centre’s Fulbright Scholars include: Ibrahim Jadoon, a biochemistry and biology (BMB) major from Richmond, KY (originally from Pakistan) who will spend next year in Malaysia teaching English; Kaitlyn Lee, from Owensboro, who will be teaching English in Bulgaria; Caroline Schoeffler, a music and German major from Centerville, OH, who will combine a musicology research project with teaching English in Austria; and Patrick Cho, a government major from Walton, KY, who will be teaching English in South Korea. For more on Centre’s Fulbright winners, see: <http://www.centre.edu/news/2013/fulbrights2013.html>
http://www.centre.edu/news/2013/fulbright_cho.html

Thomas More College announces new president

Thomas More College has announced that David A. Armstrong will become the college’s 14th president when he takes office on July 1, 2013. Armstrong, currently vice president and general counsel at Notre Dame College in South Euclid, OH will succeed Sr. Margaret Stallmeyer, who is retiring after leading the Catholic college since 2004. See: <http://www.aikcu.org/2013/05/20/thomas-more-college-announces-new-president/>



Spalding University renames college to honor Kosair Charities’ decades of support for healthcare education at Spalding

On May 31, Spalding University announced its health sciences building will be named the Kosair Charities College of Health and Natural Sciences, in honor of Kosair Charities’ continued support of Spalding’s outreach and history of educating health practitioners. For nearly 20 years, Spalding has been a beneficiary of Kosair Charities’ investments in improving healthcare in the Louisville community. This year’s gift of \$3.3 million is Kosair Charities’ largest single donation to Spalding University. Read more: <http://www.aikcu.org/2013/06/07/spalding-university-names-college-to-honor-kosair-charities/>

The Council on Postsecondary Education is Kentucky's statewide postsecondary and adult education coordinating agency charged with leading the reform efforts envisioned by state policy leaders in the *Kentucky Postsecondary Education Improvement Act of 1997*. The Council does not discriminate on the basis of race, color, national origin, sex, religion, age, or disability in employment or the provision of services, and provides, upon request, reasonable accommodation, including auxiliary aids and services necessary to afford individuals with disabilities an equal opportunity to participate in all programs and activities.

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FOUR FOCUS AREAS

1. COLLEGE READINESS

2. STUDENT SUCCESS

3. RESEARCH, ECONOMIC, & COMMUNITY DEVELOPMENT

4. EFFICIENCY & INNOVATION

SIX HB 1 GOALS

- 1. A seamless, integrated system of postsecondary education strategically planned and adequately funded to enhance economic development and quality of life.**
- 2. A major comprehensive research institution ranked nationally in the top 20 public universities at the University of Kentucky.**
- 3. A premier, nationally recognized metropolitan research university at the University of Louisville.**
- 4. Regional universities, with at least one nationally recognized program of distinction or one nationally recognized applied research program, working cooperatively with other postsecondary institutions to assure statewide access to baccalaureate or master's degrees of a quality at or above the national average.**
- 5. A comprehensive community and technical college system with a mission that assures, in conjunction with other postsecondary institutions, access throughout the Commonwealth to a two-year course of general studies designed for transfer to a baccalaureate program, the training necessary to develop a workforce with the skills to meet the needs of new and existing industries, and remedial and continuing education to improve the employability of citizens.**
- 6. An efficient, responsive, and coordinated system of providers that delivers educational services to all adult citizens in quantities and of a quality that is comparable to the national average or above and significantly elevates the level of education of the adults of the Commonwealth.**