

# KY COUNCIL ON POSTSECONDARY EDUCATION BUSINESS MEETING



June 17, 2022 – 1:00 p.m. ET

Council Offices, 100 Airport Road, 2<sup>nd</sup> Floor, Frankfort, KY 40601

Livestream available: <https://www.youtube.com/c/KentuckyCouncilOnPostsecondaryEducationFrankfort>

*\*indicates action item*

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*Next business meeting: September 19, 2022  
Postsecondary Education Trusteeship Conference: September 19-20, 2022  
Tentatively held at the Hyatt Regency, Downtown Louisville*

**DRAFT MINUTES**  
Council on Postsecondary Education

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Type: Work Session  
Date: April 14, 2022  
Time: 2:00 p.m. ET  
Location: Morehead State University, Adron Doran University Center

**WELCOME REMARKS**

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The Council on Postsecondary Education met in a work session on Thursday, April 14, 2022, at 2:00 p.m., ET. The meeting occurred at the Adron Doran University Center at Morehead State University. Chair Lucas Mentzer presided.

**ATTENDENCE**

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Members attending in person: Colby Birkes, Kellie Ellis, Eric Farris, Lucas Mentzer, Elaine Walker, Kevin Weaver, and Commissioner Jason Glass.

Members attending by ZOOM teleconference: Lori Harper, Richard Nelson, and Madison Silvert.

Members not in attendance: Muhammad Babar, Ronald Beal, Karyn Hoover, Vidya Ravichandran, and Carol Wright.

CPE President Aaron Thompson served as Secretary of the board, per the CPE Bylaws. Ms. Heather Faesy, CPE's senior associate for Board Relations, served as recorder of the meeting minutes.

**STRATEGIC DISCUSSION WITH MOREHEAD STATE UNIVERSITY**

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President Jay Morgan provided the Council with an institutional update on Morehead State University. The update focused on the campus's current demographic, the impact that COVID-19 has had on the institution, and his vision for the campus through 2030. He discussed Morehead's key strategies for each of the strategic priorities of the new statewide agenda to ensure the state makes meaningful progress towards statewide goals. He also reviewed the baseline data and 2023-24 targets for the key performance indicators attached to the strategic agenda.

## **STRATEGIC DISCUSSION – FOCUS ON AFFORDABILITY**

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The 2022-30 Statewide Strategic Agenda, “Higher Education Matters,” is a blueprint for accelerating change and improvement in Kentucky’s public postsecondary system. Equity is a cross-cutting focus of the agenda, and it identifies five specific strategic priorities: Affordability, Transitions, Success, Talent, Value.

The Council engaged in a conversation with CPE staff members on the Affordability priority, in which the goal is to ensure postsecondary education is affordable for all Kentuckians. The objectives of this priority are:

- Objective 1: Reduce Financial Barriers to College Enrollment and Completion
- Objective 2: Improve the Public’s Understanding of How to Pay for College

Presenting staff included:

- Ms. Lee Nimocks, Vice President of Strategy and Chief of Staff
- Dr. Bill Payne, Vice President of Finance and Administration
- Dr. Amanda Ellis, Vice President of K-12 Policies and Programs
- Mr. Travis Muncie, Executive Director of Data, Research and Advanced Analytics.

Data reviewed included the key performance indicators (KPI) of FAFSA completion, time to degree, and unmet financial need. Additional data points reviewed included undergraduate debt at completion, state support for higher education per capita by US region, resident undergraduate tuition and mandatory fees (historical trend data and disaggregated by sector, etc.), and student financial aid by source.

Staff discussed state-level strategies and actions taking place in order to achieve the KPI data targets discussed. These included the work of the Commonwealth Education Continuum and Kentucky Advising Academy.

## **CLOSING OF THE WORK SESSION**

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The Council adjourned the work session at 4:10 p.m. and were scheduled to reconvene at 4:30 p.m. to attend the Morehead State University Concert on the Lawn. The annual event was held at the Matthews Outdoor Performance Area by the Adron Doran University Center. It showcased the talents of the MSU Percussion Ensemble and MSU Steel under the direction of Dr. Ben Cantrell, visiting associate professor of music.

Following the concert, the Council networked with university leadership at a reception. The Council dispersed at 6:00 p.m. ET.

**MINUTES REVIEWED AND APPROVED BY THE COUNCIL:** \_\_\_\_\_

DRAFT

**DRAFT MINUTES**  
Council on Postsecondary Education

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Type: Business Meeting  
Date: April 15, 2022  
Time: 9:00 a.m. ET  
Location: Morehead State University, Adron Doran University Center.  
Livestream feed available on CPE YouTube page.

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**WELCOMING & CALL TO ORDER**

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The Council on Postsecondary Education met Friday, April 15, 2022, at 9:00 a.m., ET. The meeting occurred at the Adron Doran University Center at Morehead State University, and it was also livestreamed on the CPE YouTube page. Chair Lucas Mentzer presided.

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**ATTENDANCE**

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Members attending in person: Colby Birkes, Kellie Ellis, Eric Farris, Lucas Mentzer, Elaine Walker, Kevin Weaver, and Commissioner Jason Glass.

Members attending by ZOOM teleconference: Muhammad Babar and Richard Nelson.

Members not in attendance: Ronald Beal, Lori Harper, Karyn Hoover, Vidya Ravichandran, Madison Silvert, and Carol Wright.

CPE President Aaron Thompson served as Secretary of the board, per the CPE Bylaws. Ms. Heather Faesy, CPE's senior associate for Board Relations, served as recorder of the meeting minutes.

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**REPORT FROM KDE COMMISSIONER JASON GLASS**

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Kentucky Department of Education (KDE) Commissioner Jason Glass discussed a number of bills proposed and/or passed during the 2022 Legislative Session that impacted the K-12 community. He also briefed the Council on the United We Learn initiative, which is KDE's vision for the future of public education in Kentucky and builds around three central themes: creating a more vibrant experience for every student, encouraging innovation in our schools – especially when it comes to assessment, and creating a bold new future for Kentucky's schools through collaboration with our communities.

## **APPROVAL OF THE MINUTES**

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The minutes of the January 28, 2022, meeting, were approved as distributed.

## **PROPOSED COUNCIL RESOLUTION**

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The Council approved a resolution for Council member Ronald Beal for his support and service to the Council on Postsecondary Education and the Commonwealth of Kentucky. He served on the board from July 2016 through April 2022.

## **REPORT FROM CPE PRESIDENT AARON THOMPSON**

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President Aaron Thompson provided an update on the 2022 Student Success Summit held on April 11-12, the GEAR UP Kentucky Institute for a College-Going Culture held on March 22, and the recent kick-off the new Kentucky Advising Academy, which supports postsecondary advisory efforts. He also provided a few highlights of the 2022-24 budget sent for signature by the Governor.

## **2022 LEGISLATIVE SESSION**

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Jennifer Fraker, CPE's Executive Director and Legislative Liaison, and Mr. Travis Powell, CPE's Vice President and General Counsel, provided an overview on several postsecondary education-related bills that were passed during the 2022 legislative session.

## **KENTUCKY STATE UNIVERSITY – DISCUSSION AND ACTION ITEMS**

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Mr. Powell and President Thompson provided an overview of HB 250 (2022 RS), an act relating to Kentucky State University (KSU), making an appropriation therefor, and declaring an emergency," and which was signed on April 8, 2022. The bill spelled out a number of responsibilities designated to both KSU and the Council.

As part of the responsibilities granted to the Council is the review and approval of any expenditure by KSU greater than \$5,000. In response to this, Mr. Powell presented the proposed Kentucky State University Expenditure Policy which would set forth the process for approving KSU expenditures in accordance with the enacted legislation. The policy dictates the process to be taken and the information required for each submission. Each request must be signed by both the Chief Financial Officer and President of the institution. It also states all contracts and personnel actions must be approved by the KSU Board of Regents or designated Committee before resulting expenditures will be approved.

MOTION: Mr. Weaver moved the Council approve the proposed Kentucky State University Expenditure Approval Policy. Mr. Birkes seconded the motion.

VOTE: The motion passed.

Mr. Powell then presented KSU's request for disbursement of \$17.5 million to address the FY 2022 shortfall through June 15, 2022. The request falls within Section 3 of HB 250. CPE Senior Fellow Greg Rush reviewed the supporting documentation and accounting information in the attached and verified their accuracy. Amounts that required projection or estimation have been made with the most recent and verifiable information available. Amounts requested are also supported by the KSU Financial Assessment completed by CPE in November 2021.

MOTION: Ms. Walker moved the Council approve KSU's request for disbursement of \$17.5 million. Mr. Farris seconded the motion.

VOTE: The motion passed.

## **ACADEMIC AND STRATEGIC INITIATIVES COMMITTEE – REPORT & ACTION ITEMS**

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Chair Mentzer presented the Academic and Strategic Initiatives Committee Report, which included an update on the new Kentucky Advising Academy and the implementation steps associated with the 2022-30 Statewide Strategic Agenda for Postsecondary Education.

The Committee also reviewed and approved three new academic program proposals:

- Master of Science in Cybersecurity from Western Kentucky University.
- Master of Science in Accountancy and Analytics from the University of Louisville.
- Education Specialist degree in Curriculum and Instructions from the University of Louisville.

MOTION: The Academic and Strategic Initiatives Committee recommendation of approval of the three new academic programs served as the motion. A second was not needed.

VOTE: The motion passed.

## **FINANCE COMMITTEE – REPORT & ACTION ITEMS**

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Chair Mentzer presented the Finance Committee report which included an overview of the 2022-24 Budget of the Commonwealth and detailed review of the KSU Special Appropriation as approved by HB 250.



The Committee also reviewed and approved the following actions:

- Tuition and Mandatory Fee Policy for Academic Year 2022-23, which did not reflect any changes from the previous year's policy.
- Tuition and mandatory fee proposals for Academic Year 2022-23 submitted by Northern Kentucky University and Kentucky Community and Technical College System.
- Interim capital project at Eastern Kentucky University to upgrade building management system controls with \$1,150,000 in federal funds.

MOTION: The Finance Committee recommendation of approval of all actions served as the motion. A second was not needed.

VOTE: The motion passed.

At its April 12 meeting, the Finance Committee requested that staff draft a resolution for the Governor and the General Assembly thanking them for their support to postsecondary education in the development of the 2022-24 Biennial Budget. Staff presented those resolutions, and Chair Mentzer read each for the record.

MOTION: Ms. Walker moved the Council approve the resolution honoring Governor Andy Beshear. Mr. Nelson seconded the motion.

VOTE: The motion passed.

MOTION: Dr. Ellis moved the Council approve the resolution honoring the Kentucky General Assembly. Mr. Farris seconded the motion.

VOTE: The motion passed.

## **EXECUTIVE COMMITTEE – REPORT & ACTION ITEMS**

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Chair Mentzer presented the Executive Committee report for its meetings in March and April 2022. The Committee received updates on the activities of the 2022 Legislative Sessions at both meetings and conducted the annual review of the CPE President at its April 14 meeting. The Committee also reviewed and approved the 2022-23 Council meeting dates and recommended final approval by the Council.

MOTION: The Executive Committee's recommendation to approve the 2022-23 Meeting dates served as the motion. A second was not needed.

VOTE: The motion passed.

Ms. Lee Nimocks, CPE's Vice President for Strategy and Chief of Staff, reviewed the key accomplishments and work conducted by Council staff under the direction of President Thompson from January 2021 through March 2022. Following the review, Chair Mentzer provided positive remarks and stated the Committee proposed and approved a modification to President Thompson's contract to include a housing allowance that equates to 20% of his salary, and to replace his current vehicle fuel card with an additional \$500 per month vehicle allowance.

MOTION: The Executive Committee's recommendation to approve the modification to President Thompson's contract served as the motion. A second was not needed.

VOTE: The motion passed.

### **HIGHER EDUCATION MATTERS CAMPAIGN LAUNCH**

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Ms. Sue Patrick, CPE's Chief Communications Officer, discussed the launch of the Higher Education Matters Campaign which was conducted in partnership with the public colleges and universities. The campaign goals are to improve public understanding that higher education is key to greater opportunity and economic growth and to promote college-going for traditional and adult students. The initiative supports the Value priority of the 2022-30 Statewide Strategic Agenda.

Terri Giltner, KCTCS's Chief Marketing Officer, and Rick Hesterberg, Morehead State's Vice President of University Advancement, presented on how they are supporting and promoting the campaign and implementing resources to supplement their marketing materials.

### **CAMPUS "GOOD NEWS" REPORTS**

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Reports from the institutions were provided in the agenda materials.

### **ADJOURNMENT**

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The Council adjourned at 12:05 p.m. ET.

**MINUTES REVIEWED AND APPROVED BY THE COUNCIL:** \_\_\_\_\_

**TITLE:** Proposed Council Resolutions

**RECOMMENDATION:** Resolutions for Carol Wright and Vidya Ravichandran will be presented for approval.

**PRESENTER:** Lucas Mentzer, CPE Chair

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**SUPPORTING INFORMATION**

Periodically, the Council recognizes certain individuals for their support of and service to postsecondary education in Kentucky. At the meeting, a resolution for Council members, Carol Wright and Vidya Ravichandran, will be proposed for approval by the Council. A copy of the resolutions can be found in your board materials.

A RESOLUTION HONORING AND COMMENDING

**VIDYA RAVICHANDRAN**

for her service to the Council on Postsecondary Education  
and the Commonwealth of Kentucky

WHEREAS, Vidya Ravichandran served on the Council on Postsecondary Education from July 2016 through May 2022; and

WHEREAS, Ms. Ravichandran was an active member of the Council, participating on the Executive and Academic and Strategic Initiatives Committees; and

WHEREAS, as the founder and president of a global technology company, she advocated for technology-based solutions in the education sector to increase educational access and quality, and to provide just-in-time information and support to students; and

WHEREAS, Ms. Ravichandran has used her talents and influence to encourage more women to pursue careers in STEM, and to advance the cause of increased diversity, equity and inclusion on Kentucky's campuses; and

WHEREAS, in addition to her professional accomplishments, Ms. Ravichandran supports various charities around the world through the GT Foundation, which provides economically challenged families access to quality health services, educational assistance, and employment opportunities; and

WHEREAS, Ms. Ravichandran has demonstrated her strong commitment to higher education by dedicating countless hours to the Council on Postsecondary Education, traveling to Frankfort and across the state to participate in meetings, tours, and other activities; and

WHEREAS, the members of the Council value and respect Ms. Ravichandran's intelligence, compassion, work ethic and desire to serve, and the application of these skills to public higher education;

NOW, THEREFORE, BE IT RESOLVED, that the Council on Postsecondary Education does hereby adopt this resolution, June 17, 2022, thanking Vidya Ravichandran for her dedication and service, and wishing her good fortune in her future endeavors.

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Lucas Mentzer, Chair

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Aaron Thompson, Ph.D., President

A RESOLUTION HONORING AND COMMENDING

**CAROL WRIGHT**

for her service to the Council on Postsecondary Education  
and the Commonwealth of Kentucky

WHEREAS, Carol Wright served on the Council on Postsecondary Education from July 2016 through May 2022; and

WHEREAS, Ms. Wright was an active member of the Council, serving on both the Finance and Nominating Committees; and

WHEREAS, as the president and CEO of Jackson Energy Cooperative, she applied her business acumen and leadership skills to higher education issues in Kentucky; and

WHEREAS, as an electrical engineering major working in engineering for nearly 30 years, Ms. Wright serves as a role model for young women interested in pursuing careers in STEM; and

WHEREAS, Ms. Wright has enriched the Council with insights about Kentucky's workforce needs, and how postsecondary institutions can improve the talent pipeline for business and industry; and

WHEREAS, her desire for college access and affordability helped encourage campuses to tighten their budgets and moderate tuition increases; and

WHEREAS, Ms. Wright has demonstrated her strong commitment to higher education by dedicating countless hours to the Council on Postsecondary Education, traveling to Frankfort and across the state to participate in meetings, tours, and other activities; and

WHEREAS, the members of the Council value and respect Ms. Wright's intelligence, compassion, work ethic and desire to serve, and the application of these skills to public higher education;

NOW, THEREFORE, BE IT RESOLVED, that the Council on Postsecondary Education does hereby adopt this resolution, June 17, 2022, thanking Carol Wright for her dedication and service, and wishing her good fortune in her future endeavors.

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Lucas Mentzer, Chair

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Aaron Thompson, Ph.D., President

- TITLE:** Report from CPE President, Aaron Thompson
- DESCRIPTION:** President Thompson will highlight specific initiatives during his report to the Council. This written report serves as his official report for June 17, 2022
- PRESENTER:** Aaron Thompson, CPE President
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Before I give my update on the Council’s current work, I want to begin by thanking the Board for your tireless advocacy and support of our higher education agenda. The historic funding increases we received from the General Assembly, the new initiatives that were funded (like the Healthcare Workforce Collaborative), and the financial support we received for KSU would not have been possible without you. Please know that everything we have achieved reflects your hard work and commitment to advancing our statewide goals.

**Healthcare Workforce Collaborative Advisory Group** – I’m pleased to report that CPE has formed a new HWC Advisory Group, which will devise short-term and long-term strategies to strengthen the workforce pipeline, facilitate conversations between campuses and the healthcare industry, and review the effectiveness of current academic programs. This group is the result of a \$10 million appropriation to CPE by the General Assembly to help solve the critical shortage of Kentucky frontline health care professionals. CPE hired Leslie Sizemore as a Senior Fellow in Workforce Development to assist with this effort. This activity is the culmination of months of advocacy efforts with the General Assembly for funding to expand higher education’s capacity to produce more nurses and other healthcare providers to meet Kentucky’s workforce needs.

**Performance Funding Update** – CPE received \$80M in new funding in each year of the upcoming biennium to the Postsecondary Education Performance Fund. This funding is in addition to the \$17.3 M that was appropriated to the Performance Fund base in 2021-22. Work is underway to solicit initial feedback from institutions to reassess the performance funding model in advance of reconvening the Postsecondary Education Work Group to submit recommendations for the model in 2023. Some of the

ideas currently under consideration include a funding premium for adult learner student outcomes, as well as increased incentives for low-income student outcomes.

**KSU Update** – On June 1, CPE submitted an update to the Interim Joint Committee on Education on the development of a management improvement plan for KSU, as directed by HB 250. This report is included in your board book materials for your information. You will be receiving a full update of our progress later in the meeting, but I wanted to draw your attention to some of the highlights.

- On May 9, the KSU board approved a contract with Protiviti Government Services to assist with the compilation of annual financial statements for both fiscal years 2021 and 2022.
- The board also approved a contract with Registry Advisory Services to provide candidates for the position of interim president, which they review in June.

I'd like to commend Travis Powell and Greg Rush for their oversight of this work, and to announce that Dr. Stephanie Mayberry on our staff will be moving from Academic Affairs to an on-site position at KSU.

**New CPE Podcasts** - CPE has launched two podcasts—"Higher Education Matters," featuring a wide range of topics both in higher education and its intersection with workforce development, and "Well Advised," focused on timely advising topics for K-12 educators. The "Higher Education Matters" podcast is hosted by Rick Smith, CPE's associate vice president of workforce and economic development, and Haley McCoy, executive director of economic development at the Kentucky Community and Technical College System. "Well Advised" is hosted by Destiny O'Rourke, director of the Kentucky Advising Academy, which provides a free, comprehensive series of professional learning and resources for school counselors, Family Resource/Youth Service Center coordinators, and all professional educators, as well as flexible opportunities to connect with higher education and postsecondary advising leaders. Effective Instructional Leadership Act (EILA) credit will be available for those who participate in the podcast's companion webinars. Visit our website, [cpe.ky.gov](http://cpe.ky.gov), for more information.

**GEAR UP KY Summer Academies** - In July, Gear Up Kentucky will host two summer academies for high school freshmen, one at ECU and one at Morehead. These three-week residential camps allow students to take courses, earn 3 hours of college credit and get a taste of what college has to offer. The 22 students at ECU will be taking courses in health science or visual arts, while the 22 students at Morehead will explore space science.

**2022 Academic Leadership Academy** – Through a partnership with Bluegrass Tomorrow, CPE has selected 68 faculty and staff representing 20 colleges and

universities across the commonwealth to participate in the 2022 Academic Leadership Academy. The purpose of the academy is to help participants develop the skills for effective institutional leadership in higher education and encourage faculty and staff to consider leadership career paths. The ALA fellows participate in two full-day learning sessions, one in the spring and the other in the fall. For the spring academy, hosted by Eastern Kentucky University, the fellows participated in workshops and panels with university presidents, provosts, deans and other key leaders in Kentucky's higher education system. Fellows also participate in three small-group, cross-institutional peer mentoring sessions throughout the year led by either ALA committee members or other faculty from the universities. The fall session will be held Oct. 21 at Centre College.

**New ALDI Cohort** – CPE selected the fourth cadre of its Academic Leadership Development Institute (ALDI) program, which prepares minority faculty and staff members for leadership roles in Kentucky higher education institutions. ALDI, a year-long training and leadership development program, is focused on building the skills of early career, underrepresented minority faculty and staff who are on track for professional advancement through a series of interactive sessions and small group seminars. CPE established ALDI in 2017, and then reinstated the program in 2019 after receiving a \$50,000 grant from the Lumina Foundation, which targeted efforts to close outcome gaps in postsecondary education. Participants are nominated based on their leadership ability, interest in advancement and dedication to higher education. Twenty four participants from public universities and KCTCS were selected; they are listed on our website.

**Report on Earnings and Employment of Certificate Holders**– In partnership with UK's Martin School of Public Policy and Administration, CPE issued a report that found certificate earners increase their earnings about 14% on average and have higher rates of earnings growth than before they earned a credential. The report focuses on Kentuckians who have earned one postsecondary certificate and who were employed prior to pursuing a certificate. To review the report, visit the publications section of our website.

**Speaking Events/Interviews** – I continue to meet with national and statewide organizations to talk about higher education issues and trends. Here are some highlights since the last CPE meeting:

- 4/22- Delivered the keynote for 2022 cohort of the Bluegrass Academic Leadership Academy
- 4/29 – Spoke at the installation of the new ECU President, David McFaddin



- 4/29 – Spoke at the Leadership Development Institute (LDI) hosted by St. Clair Health
- 4/30 – Accepted the 2022 African American Heritage Lifetime Achievement Award from Murray State University
- 5/7 – Delivered the commencement speech from Brescia University (Owensboro, KY)
- 5/14 – Delivered the keynote address for the Excellence in Teaching Awards (Campbellsville, KY)
- 5/19 – Gave the welcome at the 2022 Pedagogicon Conference (virtual)
- 5/23 – Made a Clay County workforce announcement with Senator Stivers in Clay County
- Planning is underway for a second “Higher Education Matters” speaking tour around Kentucky, this time focused on workforce, community and business leaders. We hope to kick off this series in the fall.



## Kentucky Council on Postsecondary Education

**Andy Beshear**  
Governor

100 Airport Road  
Frankfort, Kentucky 40601  
Phone: 502-573-1555  
<http://www.cpe.ky.gov>

**Aaron Thompson, Ph.D.**  
President

June 1, 2022

Legislative Research Commission  
Interim Joint Committee on Education  
700 Capitol Avenue  
Frankfort, KY 40601

Dear Members of the Interim Joint Committee on Education:

Thank you for your continued support of Kentucky State University (KSU) to ensure it has the resources, flexibility and structure it needs to be successful. House Bill 250 as passed during the 2022 Regular Legislative Session directs the Council on Postsecondary Education (CPE) to provide reports to the Interim Joint Committee on Education detailing the status of the development, implementation and results of a management improvement plan.

In order to comply with this requirement and support KSU, the Council submits the enclosed information. Should you have questions about the report or KSU in general, please contact CPE Vice President and General Counsel Travis Powell via email at [Travis.Powell@ky.gov](mailto:Travis.Powell@ky.gov).

As your partner in postsecondary education, I look forward to continuing to work closely with KSU leadership, faculty and staff to ensure the university thrives.

Sincerely,

A handwritten signature in blue ink, appearing to read "Aaron Thompson".

Aaron Thompson, Ph.D.  
President

cc: Ms. Jo Carole Ellis



# Management Improvement Plan – Development Phase Update

Kentucky State University

June 1, 2022

## Background

Effectively immediately upon the Governor's signature on April 8, 2022, HB 250 provided the Council on Postsecondary Education (CPE) with \$23 million in emergency funding to cover Kentucky State University's (KSU) current year shortfall. HB 250 also set forth a myriad of duties and responsibilities for KSU and CPE related to KSU's recovery. Among those was the requirement that CPE create and oversee a Management Improvement Plan (Plan) for KSU designed to assist with organizational and financial stability. The Plan shall include, but is not limited to, the following:

1. A comprehensive cataloging and review of university policies and procedures to ensure efficiency and compliance with state and federal law;
2. Guidelines for salary ranges and benefits for all faculty, staff and administrators;
3. Mandatory board member training and development, including but not limited to financial oversight and effective committee structure;
4. Academic program offerings, course offerings and faculty productivity guidelines;
5. Accounting and fiscal reporting systems, collections, budget, and internal controls over expenditures and financial reporting;
6. Student success and enrollment management strategies;
7. Student academic progress and results; and
8. Development of online curricula with the intent of offering bachelor's and master's degrees online.

On April 15, 2022, pursuant to SB 265 (2022), newly appointed KSU Board of Regents members were confirmed by the Senate. Also on April 15, 2022, CPE adopted a KSU Expenditure Approval Policy to effectuate the HB 250 requirement that CPE approve all KSU expenditures over \$5,000 until declaration of KSU's financial stability. CPE also approved a disbursement of \$17.5 million of the \$23 million authorized to allow KSU to meet its current year financial obligations.

On April 27, 2022, the KSU Board of Regents held its first meeting with the newly confirmed members. During that meeting several items of business were conducted, including the selection of a chair and vice chair. CPE Vice President and General Counsel Travis Powell provided an overview of HB 250.

On May 9, 2022, the board met again and action was taken to engage Protiviti Government Services, Inc. (a subsidiary of Robert Half International) for assistance with the compilation of annual financial statements for both fiscal years 2021 and 2022. The board also approved the hiring of The Registry Advisory Services to provide candidates for the position of interim president. The board indicated its plans to have candidates to review at its next meeting in early June. In addition, a new financial exigency policy was adopted per the requirements of HB 250.

At its regular meeting on June 17, 2022, CPE will consider and likely approve a final FY 2022 disbursement of the \$23 million allocated in HB 250.

Additional requirements contained in HB 250 include:

- CPE shall submit the Management and Improvement Plan to the Legislative Research Commission by November 1, 2022; and
- By June 1 and November 1, 2022, and by June 1 and November 1 of each year thereafter the Plan is in effect, CPE shall provide reports to the Interim Joint Committee on Education detailing the status of the development, implementation and results of the Plan.

## Management and Improvement Plan Development Update

The following is an update on the development of each Plan area:

### **1. A comprehensive cataloging and review of university policies and procedures to ensure efficiency and compliance with state and federal law**

KSU legal counsel completed a draft of a Policy on Policies, which will be submitted to the KSU Board of Regents for consideration of adoption at its July meeting. The Policy on Policies outlines procedures for developing, revising and implementing all internal University policies. A Policy on Policies is essential for any large organization with multiple policies governing multiple areas of operation to provide transparency, consistency and accountability. CPE staff reviewed the policy and supports its adoption by the board.

KSU legal counsel also is currently developing a policy template to be included in the Policy on Policies, as well as a cataloging system for policies. All university policies are being collected and reviewed, and all policies that will be in effect moving forward will be revised and approved per the Policy on Policies. For example, human resources policies will be reviewed and updated in July, and the student handbook will be reviewed and updated before the beginning of the fall 2022 semester. The faculty handbook and business manual also are currently being reviewed, and revised versions will be submitted for approval in the fall. Both KSU and CPE legal counsel will review policies for compliance with state and federal law, and if necessary, due to the specialized nature of the policy's subject matter, KSU will obtain specialized, independent legal expertise to assist in the review process.

### **2. Guidelines for salary ranges and benefits for all faculty, staff and administrators**

KSU provided CPE with an up-to-date Excel spreadsheet listing all employees with data including, but not limited to, hire date, job title, department, business unit, faculty rank and tenure status, salary, and benefits. This report can be run at any time and updated in short order. CPE staff currently are reviewing that data and determining what additional data elements are needed, if any. Once finalized, this report will allow CPE to ascertain the current salary ranges by title, tenure status (for faculty) and within departments. CPE also is in the process of obtaining information on KSU adjunct professor pay and faculty overload pay structure.

Soon, CPE will begin collecting information on salary ranges and benefits from the benchmark institutions used in CPE's KSU Financial Assessment Report issued in November 2011 and other Kentucky public universities so that comparisons can be made. KSU is a member of the College and University Professional Association for Human Resources which provides salary and benefit information from other member institutions (92% of all doctoral-granting institutions); this will be an indispensable resource in the benchmarking process. Finally, the creation of a balanced

budget for FY 2023 and determinations on course and program offerings will provide the most critical parameters under which salaries and benefits must be set.

Discussions about whether to engage an outside consultant to provide some level of review and analysis in this area are ongoing. Based on preliminary information obtained, a full review by an outside consulting firm, including recommendations, may be cost prohibitive. However, other options are available, such as supplementing the work of current staff with a part-time or contract employee with experience in campus human resources.

### **3. Mandatory board member training and development, including but not limited to financial oversight and effective committee structure**

KRS 164.020(25) currently requires that all governing board members receive six hours of training on a variety of topics related to trusteeship and the higher education landscape in Kentucky. These are delivered both online and in person; although, since Spring 2020, all training has been facilitated through online modules due to COVID-19. Updates to those online modules will be made in early June and then distributed to the new KSU Board of Regents members for completion. The training is broken down into areas covering CPE operations, CPE's statewide strategic agenda and general best practices for institutional governing boards.

While CPE will encourage KSU Board of Regents members to engage with those online modules immediately, CPE is in the process of working with KSU and the Association of Governing Boards to provide in-person training to the KSU board this summer or early fall. During that training, the board will discuss financial oversight best practices and effective committee structure, along with general principles of effective board services. CPE is considering the development of a standard mandatory training for all new KSU Board of Regents members outlining the findings of CPE's fiscal analysis and issues that led to the current financial crisis.

The KSU Board of Regents currently has seven committees: Academic Affairs, Brand Identity and University Relations, Executive and Audit, Finance and Administration, Governance, Institutional Advancement and Alumni Relations, and Student Engagement and Campus Life. Those committees have not met for some time; however, on May 9, the board approved appointments to the Audit, Finance and Administration, Academic Affairs, and Student Engagement and Campus Life committees. CPE currently is in the process of reviewing the structure and charge of those committees.

### **4. Academic program offerings, course offerings and faculty productivity guidelines**

Pursuant to KRS 164.020(16), CPE may eliminate academic programs at any institutions when accounting for certain statutorily defined factors. CPE has carried out an extensive statewide academic program review process over many years and in a variety of forms. In 2019, CPE conducted a comprehensive analysis of baccalaureate programs offered by public institutions in the state through a contract with Gray Associates. Gray Associates assisted Council staff in creating a methodology that provides campuses with consistent, detailed information to help guide decisions about program needs and improvement. The marginal financial contribution and more than 50 metrics related to student demand, employment and competition were calculated for each program. Campus representatives participated in facilitated workshops to review these data and

analyze each baccalaureate program. CPE also used this data analysis to operationalize unnecessary duplication and identify programs that met the criteria.

In alignment with the CPE academic program review process and its continuing relationship with Gray Associates, KSU is in the process of providing economic data to evaluate program efficiencies down to the course level. The economic data allow institutions to categorize program offerings into the following categories: Sustain, Fix/Grow and Suspend to Close. To better facilitate this process, a member of the Gray Associates team will be on-site at KSU to assist in the retrieval of data from May 31 to June 2. Once all data are retrieved and verified, Gray Associates will generate a report on program viability to be shared with KSU and CPE. The project should be complete by July 2022. The cost of the analysis is being covered by the remaining funds in the current contract.

Regarding faculty productivity guidelines and course offerings, CPE staff provided a presentation to the KSU provost on a process to gather data on the courses faculty teach, the number of credit hours generated in those classes and the total number of students. Once compiled, these data can be compared to benchmarks set for institutions based on size of the institutions, type of courses offered, course level, etc. CPE continues to work with KSU to obtain this information. Evaluating course offerings also was discussed during that presentation. KSU's current course catalog outlines numerous course offerings; of the courses listed, it is suspected that many are rarely offered, if ever. Having such a course catalog puts pressure on faculty and the institution to provide too many courses over the course of an academic year. In addition, it confuses students and advisors who are attempting to map out a timely path toward a degree. For example, as part of a minor in International Studies that requires 18 credit hours, 15 of those hours must be obtained from a selection of almost 50 courses, most if not all of which are comprised of three credit hours. CPE continues to work with KSU to gather more data in this area.

## **5. Accounting and fiscal reporting systems, collections, budget, and internal controls over expenditures and financial reporting**

In December 2021, KSU contracted with Ellucian Company L.P. to analyze the KSU Banner implementation and to make recommendations related to staff training, data cleansing, etc. This contract was in response to a recommendation in the Financial Assessment report issued by CPE in November 2021.

The scope of the engagement with Ellucian includes the following modules in Banner:

- Accounts Receivable;
- Finance;
- Financial Aid;
- Student Information;
- Student Curriculum Management System;
- Student Records System;
- Student Registration System; and
- Enrollment Management.

The results of this analysis will be incorporated into the Management Improvement Plan. While the focus of this project is on this portion of the Plan, it is expected that there will be recommendations for process improvements in other areas as well.

The analysis of the Finance system was completed on May 18, 2022, and the recommendations are currently under review by the university. The review of the Financial Aid system is expected to begin within the next two weeks, and the review of all areas ideally will be complete by fall 2022.

As of the date of this report, KSU has not been able to complete financial statements for fiscal year 2021 and is not prepared to issue draft statements for fiscal year 2022 on time. This is the result of significant turnover in accounting leadership at the university over the past eleven months. To address this, the KSU Board of Regents contracted with Protiviti Government Services for accounting staff and support from May through September 2022. This contract will allow the university to quickly complete financial statements for fiscal years 2021 and 2022 in time for its scheduled financial audits. CPE supports this decision and will monitor the contract and preparation of the statements for each fiscal year.

The support provided by the contractor during this four-month period will give the university time to recruit permanent accounting management personnel and put the university back on a financial reporting schedule.

While further financial reports are under development, and the newly appointed KSU Board of Regents Finance Committee will be engaged in this process when they begin to meet, the Council is required to provide a monthly update on the financial status of the university to the governor and the General Assembly, per HB 250. The Council's first update for the month of May will be provided no later than June 15, 2022. CPE staff worked with the KSU chief financial officer (CFO) and will receive the following information for that update:

- Revenue and Expenditure detail for each of the following funds (including comparisons to the budget and to the prior year):
  - Education and General Fund;
  - Land Grant Match;
  - Auxiliaries; and,
  - Asset Preservation.
- Payroll registers for each payroll in the month.
- Cash balances as of the end of the month.
- Revenue Anticipation Note balances as of the end of the month, or any other short term.
- Aging schedule of payables as of the end of the month.
- Student receivables as of the end of the month.
- Investment account balances (endowment) as of the end of the month.

HB 250 also requires CPE to approve all KSU expenditures of \$5,000 or more until the institution reaches financial stability, as determined by CPE. On April 15, 2022, CPE adopted a policy governing those approvals that requires certain information to be provided before approval (see Appendix A). Due to the requirements of that policy, certain internal controls have been adopted, including but not limited to review of procurement authority underlying the expenditure, budgeted amount remaining after expenditure, and approval of both the CFO and president before submission to CPE.



## 6. Student success and enrollment management strategies

KSU is actively engaged in several student success initiatives in partnership with the CPE and its Kentucky Student Success Collaborative (KYSSC). These include the following:

- **Kentucky Purpose First Initiative:** KSU is a member of a nine-campus cohort in the Purpose First initiative to ensure greater social mobility for minoritized communities. KSU is receiving technical assistance from Complete College America to implement a Purpose First model to accelerate career exploration, planning and pathways on campus.
- **Kentucky Network Demonstration Project:** KSU is a member of a nine-campus cohort in the Network Demonstration Project with a common goal of creating a holistic advising philosophy and developing a master advisor training. KSU is receiving technical assistance through Jobs for the Future (JFF) to implement the advising professional development on campus and participate in an Information Technology audit for maximization of technology to support advising.
- **Student Basic Needs Community of Practice:** KSU will be invited to participate in a year-long continuous improvement project to advance several strategies that increase access to institutional, community and public benefits for current and future students. KSU will receive technical assistance from Education Trust to complete an asset map/accessibility audit of student basic needs resources, as well as a report issuing recommendations.

Earlier this year through an engagement with CPE and KYSSC, KSU received technical assistance from national advising services providers through participation in the Kentucky Network Demonstration Project with JFF. One benefit of this initiative is deployment of the Institutional Transformation Assessment (ITA), an online perspective gathering survey designed to gather input from diverse campus stakeholders and lead to a dynamic sense-making conversation to explore new insights that spur action related to student success. The ITA was deployed with a KSU Transformation Team made up of diverse stakeholders across campus who then convened three in-person meetings in February and March to reflect and discuss. These sense-making conversations were facilitated by a national partner with expertise in student success and institutional change.

As a result of this process, the following four priorities were identified, and action steps were developed for each:

1. Improve leadership and culture around student success;
2. Provide guided pathways for career exploration and success for all students;
3. Develop, implement and sustain a streamlined student advising process; and
4. Improve a holistic information technology system connecting faculty, staff and students.

When new leadership is in place, CPE will continue to explore these priorities in more depth and refine the resulting action steps.

In partnership with KSU, CPE and KYSSC are working to support the following initiatives that relate to the priorities identified through the ITA. External funding is being sought to further enhance these initiatives:

- Develop comprehensive and standardized guided career pathways for all academic programs leading to efficient time to degree and enhanced economic mobility for students.
- Develop a holistic and intrusive advising model with required training and mentoring for all advisors to ensure students receive essential supports for academic and non-academic success.
- Create, coordinate, communicate and connect students with robust basic needs-related supports to provide a safety net for student success leading to increased retention and completion.

Regarding enrollment management, CPE is evaluating KSU's current work in this area. Currently, KSU has no coordinated enrollment management function and no direct leadership in this area; this is an area of concern to be raised with the new interim president upon his or her arrival on campus.

The status of fall 2022 enrollment as compared to fall 2021 is as follows:

Completed Application	Fall 2021	Fall 2022
First Time Freshmen	2509	2384
New Graduate	25	25
Transfer	119	103

Admit	Fall 2021	Fall 2022
First Time Freshmen	2528	2349
New Graduate	20	20
Transfer	75	60

Registration	Fall 2021	Fall 2022
Undergraduate	888	733
Graduate	29	32
Dual Credit	1	1
<b>Total Registered</b>	<b>918</b>	<b>766</b>

	Fall 2021	Fall 2022
Housing Application	325	327

## 7. Student academic progress and results

As part of its 2022-2030 Statewide Strategic Agenda for Kentucky Postsecondary Education, CPE set 2024 targets for all universities except KSU in the following areas:

- Unmet Financial Need;
- Time to Bachelor's Degree;
- Undergraduate Enrollment;
- Undergraduate Degrees/Credentials;
- Graduate Degrees;
- First to Second Year Retention Rate; and

- Six-Year Graduation Rate.

CPE is in the process of negotiating 2024 targets with KSU for its annual evaluation per CPE’s Policy for Diversity, Equity and Inclusion. These includes targets for:

- Degree/Credentials Conferred for both Low-Income and Underrepresented Minority (URM) Students;
- Six-Year Graduate Rate for both Low-Income and URM Students;
- First to Second Year Retention Rate for both Low-Income and URM Students; and
- Enrollment of Underrepresented Students.

CPE also is considering other metrics to better assess academic progress in the short term for evaluation of progress before the November 1, 2025 Plan report deadline. These include, but are not limited to, average credit hour accumulation by student classification, gateway course completion rates of freshman, average GPA and average credits to degree.

**8. The development of an online curriculum with the intent of offering bachelor's and master's degrees online**

KSU currently offers the following bachelor’s degree programs 100% online:

- Liberal Studies;
- Criminal Justice;
- Computer Science; and
- Psychology.

KSU currently offers the following master’s degree programs 100% online:

- Special Education with Learning and Behavior Disorder;
- Business Administration; and
- Environmental Studies.

CPE is in the process of working with KSU to evaluate those programs and understand more about their quality, effectiveness and profitability. CPE’s contract with Gray Associates to perform academic program review will assist in evaluating these programs, but also will include a market analysis component to assist in the evaluation of new potential opportunities in the online market.

Overall, current trends over the past five years indicate a general increase in both hybrid (on-campus and online) and online enrollment as shown below:

Year	On-Campus Enrollment	Hybrid Enrollment	Online Enrollment
2017	549	542	31
2018	489	562	19
2019	525	614	17
2020	451	911	31
2021	170	1,061	108

CPE staff also have begun gathering information around institutional engagement with external partners that assist in facilitating the growth of highly competitive, accelerated, fully online programs at institutions. These external partners make a significant investment in building out programs with partner institutions working with faculty to expand programs online, provide instructional design as needed, market and recruit students, and then provide students supports after enrollment. Typically, this is done at no cost to the institution with an agreement to share in the revenue derived from those programs. This model, which has been implemented in Kentucky and across the country with a large degree of success, has potential for KSU.

## Next Steps

While HB 250 does not require KSU consultation or Board of Regents approval of CPE's KSU Management and Improvement Plan, engagement with KSU staff and its board is critical in obtaining buy-in for Plan implementation and achieving ultimate success toward benchmarks and goals. The KSU board is in the process of selecting a new interim president, and once that occurs, CPE staff will work with this individual and board leadership to create workgroups comprised of both CPE and KSU representatives to create a plan and related goals and objectives for each Plan area. Only through a true collaborative partnership between KSU and CPE can KSU be successful in meeting the goals of HB 250.

## Appendix A

# Kentucky State University Expenditure Approval Policy

HB 250 (2022)

Approval Date: April 15, 2022

Effective Date: April 15, 2022

## Purpose

As part of the responsibilities granted to the Council related to Kentucky State Universities (KSU) in [HB 250 \(2022\)](#), Section 1(1)(c) of states the following:

The Council on Postsecondary Education shall approve any Kentucky State University expenditure greater than \$5,000, and the university shall provide a monthly report of university finances to the council in the format requested by the council. The council shall provide a monthly update on the financial status of the university to the Governor and the Legislative Research Commission. The financial oversight granted to the council under this paragraph shall continue until the council reports to the Governor and the Legislative Research Commission that the university's finances are stable;

## Policy

KSU shall submit request for any expenditure of funds over \$5000 to Council staff at a designated email account for review and approval. Each request shall include the following information and shall be signed by both the Chief Financial Officer and President of the institution.

1. Brief Description and Justification of Requested Expenditure
2. Originating Department
3. Programmatic Contact (with contact information)
4. Budget Unit
5. Amount Remaining in Budget Unit after Purchase
6. Funding Source
7. Procurement Method (if applicable)
8. Payment Due Date (if applicable)
9. Vendor (if applicable)
10. Contract Number (if applicable)
11. Payment Method
12. Supporting Documentation (if applicable)
13. Board of Regents Approval Date (if applicable)

All contracts and personnel actions must be approved by the KSU Board of Regents or designated Committee before resulting expenditures will be approved. Contracts and personnel obligations occurring before the effective date of HB 250, April 8, 2022, will be considered approved by the KSU Board of Regents.

CPE staff may contact KSU with any questions or clarifications regarding the request. Requests may be revised or withdrawn at any time.

If the request is denied, CPE staff will outline the deficiencies in the request and KSU may resubmit upon correction.

If the request is approved, CPE staff will notify the KSU CFO and provide authorization for the expenditure in KSU's accounting system.

Final approval or denial will be provided at the Vice Presidential or Presidential level at CPE.

## Reporting

CPE shall provide monthly reports of approved expenditures of KSU to the CPE Executive Committee on or before the 15<sup>th</sup> of the month following the close of the prior month. A report of KSU's financial status shall also be provided monthly to the CPE Executive Committee, the Governor and the Legislative Research Commission.

**TITLE:** Report from the Academic and Strategic Initiatives (ASI) Committee

**DESCRIPTION:** The following is a summary of the Committee's June 7, 2022, meeting.

**PRESENTER:** Lori Harper, Chair of ASI Committee

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The Academic and Strategic Initiatives Committee met on June 7, 2022. Below is a summary of their work.

- Reviewed and approved three new academic programs, one each from the University of Louisville, the University of Kentucky, and Northern Kentucky University. All will be presented for final approval at the Council meeting.
- Received a report on the six AAS programs approved at KCTCS institutions since January 2022. In accordance with the program approval process, these programs receive an expedite review process and do not require Council review and approval. See copy of the ASI agenda item included in materials for more details.
- Received an insider look at the Postsecondary Transition Readiness Online Course, which was developed to equip GEAR UP Ky (GUK) high school students with the essential skills necessary to successfully transition to and through postsecondary education. The course is specially designed for each grade level and aligned to the Kentucky Academic Standards for Career Studies and Financial Literacy. Implementing the course includes training and technical assistance for GUK to transition curricula delivery to teachers in GUK schools in final grant years to support continued use after grant ends. GUK will also promote and disseminate statewide for use by non-GUK schools.

The ASI Committee's next meeting is scheduled for Sept 13, 2022, at 10:00 a.m. ET.



**TITLE:** Proposed New Academic Programs

**RECOMMENDATION:** The Academic & Strategic Initiatives (ASI) Committee recommends the Council approve the proposed New Academic Programs from the University of Kentucky, University of Louisville, and Northern Kentucky University

**PRESENTER:** Lori Harper, Chair of the ASI Committee

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### **COMMITTEE REVIEW & APPROVAL**

The ASI Committee reviewed and approved the proposed New Academic Programs from the University of Kentucky, University of Louisville, and Northern Kentucky University at its June 7, 2022, meeting.

### **SUPPORTING INFORMATION**

KRS 164.020 (15) empowers the Council on Postsecondary Education to define and approve the offering of all postsecondary education technical, associate, baccalaureate, graduate, and professional degree, certificate, or diploma programs in the public postsecondary education institutions.

Council staff has reviewed the proposed programs and recommends approval by the board. The university-submitted documentation has been included in your agenda packets for review.

### **PROGRAMS PROPOSED FOR APPROVAL**

#### University of Kentucky

- B.S., Leadership for Community Education and Human Learning (CIP 13.0401)

#### University of Louisville

- M.A., Applied Philosophy (CIP 38.0104)

#### Northern Kentucky University

- MAEd., Educational Leadership (CIP 13.0401)

## PROPOSED PROGRAM SUMMARY

**Institution:** University of Kentucky  
**Program Name:** Leadership for Community Education and Human Learning  
**Degree Destination:** BACHELOR OF SCIENCE  
**Degree Level:** Baccalaureate

**CIP Code:** 13.0401

**Credit Hours:** 120

**Institutional Board Approval Date:** 2/18/2022

**Implementation Date:** 8/01/2022

### Program Description

The proposed Leadership for Community Education and Human Learning program is a 120-credit hour program designed to prepare students to become effective leaders in implementing educational programs within community contexts. The proposed program is unique in that it is a collaborative degree between the College of Education and the College of Agriculture, Food and Environment (CAFE).

The proposed program targets students who seek a professional career in educational programming for youth and adult learners within communities and organizations outside of the traditional school and classroom structure. It does not lead to teacher certification. It is also designed as a degree completion program that can serve students who have started in another program within the university and would otherwise not pursue a baccalaureate degree. More specifically, the proposed program can be used to re-capture students who initially major in teacher education but later decide that educating others is of interest but becoming a public school teacher is not their profession of choice.

### Connection to Other Programs

There are no similar programs.

### Program Demand

A student survey was distributed in September 2021 focusing on students within the Colleges of Education and Agriculture, Food and Environment at the University of Kentucky. Out of 81 respondents, 97.5% indicated the major would be of interest to UK undergraduate students. After reading a summary of the proposed major, 60% of respondents indicated they would either be interested in the major or would like to explore the major further. When discussing the potential to double-major in proposed program and another major, 73% of respondents indicated they would either be interested in or would like to explore the option of adding LCE as a second major.

Initial estimates of enrollment are:

Year 1 – 15

Year 2 – 15

Year 3 – 15

Year 4 – 15

Year 5 – 15

### Employment Demand

A Burning Glass Labor Insight report on job postings in the calendar 2020 year showed 226 positions in Kentucky with a median salary of \$44,036. These positions include openings to be childcare/preschool directors, college/university administrators, and fitness/wellness managers. Other possible careers include working for family resource and youth service centers, YMCA programs, religious education programs, non-profit or for-profit educational outreach programs, and government program education.

**Budget**

The courses in this program will be taught by existing faculty and is a cross-disciplinary collaborative effort between the College of Education, Department of Educational Leadership Studies and the College of Agriculture, Food, and the Environment, Department of Community Leadership Development. No new faculty will be required.

**Projected Revenue over Next Five Years (\$): \$898,848.00**

**Projected Expenses over Next Five Years (\$): \$452,170.00**

## PROPOSED PROGRAM SUMMARY

**Institution:** University of Louisville  
**Program Name:** Applied Philosophy  
**Degree Destination:** MASTER OF ARTS  
**Degree Level:** Master's

**CIP Code:** 38.0104

**Credit Hours:** 33

**Institutional Board Approval Date:** 3/17/2022

**Implementation Date:** 8/01/2022

### **Program Description**

The proposed Applied Philosophy program is a 33-credit hour program that students complete with three semesters of full-time course work and a fourth semester of capstone independent study. This program will replace the Health Care Ethics track of the M.A. in Interdisciplinary Studies. The curriculum will offer graduates training in ethical leadership, a skill that is applicable to a variety of professional contexts within and beyond the health care industry.

### **Connection to Other Programs**

Kentucky currently does not have a standalone master's degree program in philosophy. The philosophy program at the University of Kentucky is a Ph.D. program and is oriented toward the academic marketplace and has an embedded M.A. The proposed Applied Philosophy program is unique in that it is a master's level degree and is focused on practical problems, health care ethics, and the nonacademic job market.

### **Program Demand**

Students in this program will gain skills in critical thinking and writing. This could lead to placement in law school, divinity school, and philosophy and other Ph.D. programs. Students will be drawn from three main sources: the current stream of Health Care Ethics M.A. applicants; those who are interested in continuing their education in philosophy beyond a baccalaureate degree; and those who are going into (or already employed in) other sectors but value the enhanced earning power of a master's degree.

Initial estimates of enrollment are:

Year 1 – 13

Year 2 – 13

Year 3 – 15

Year 4 – 15

Year 5 – 15

### **Employment Demand**

The proposed program prepares graduates for both additional education and the workforce. Students who previously completed the Health Care Ethics track of the M.A. in Interdisciplinary Studies, which this program would replace, have become clinical psychologists, counseling psychologists, health specialties teachers, medical scientists, and school psychologists. According to the Kentucky Center for Statistics and Gray Associates, there are currently 605 regional, 335 state, and 19,260 national openings in each of those prospective employment areas.

### **Budget**

The proposed program's courses are courses that A&S faculty are already teaching, and they already cross-list with Philosophy (or Sociology), so no new faculty are needed.

The new proposed program expects a minimum of 9 full-time plus 4 part-time M.A. students, growing to 10 and 5 (15 total) per year after two years.

**Projected Revenue over Next Five Years (\$): \$827,872.00**

**Projected Expenses over Next Five Years (\$): \$531,502.00**

## PROPOSED PROGRAM SUMMARY

**Institution:** Northern Kentucky University  
**Program Name:** Educational Leadership  
**Degree Destination:** MASTER OF ARTS  
**Degree Level:** Master's

**CIP Code:** 13.0401

**Credit Hours:** 30

**Institutional Board Approval Date:** 4/26/2022

**Implementation Date:** 8/01/2022

### **Program Description**

The MAEd in Educational Leadership degree is a 30-hour program leading to principal certification in Kentucky. It will train and develop teachers for administrative positions as elementary, middle and secondary school principals as well as P-12 Supervisors of Instruction.

### **Connection to Other Programs**

The proposed program's service area is different from the Educational Leadership programs at WKU, UK and EKU. NKU's Educational Leadership program would attract students from the Northern Kentucky region, across Kentucky, Ohio, and Indiana, which serves a unique population from other similar programs in Kentucky. Additionally, this is a 100% online MAEd program whereas UK and WKU offer Ed.S./Ed.D. programs for principal certification.

### **Program Demand**

16 KAR 3:090 allowed principal certification programs at the master's level. NKU has become aware that many universities across the state are developing their programs at the master's level to meet student and district demand for training principals. Therefore, the proposed program will supplement NKU's current Education Specialist (Ed.S.) in Educational Leadership degree program as an additional pathway for those seeking principal certification.

Initial estimates of enrollment are:

Year 1 – 10

Year 2 – 20

Year 3 – 25

Year 4 – 25

Year 5 – 25

### **Employment Demand**

NKU has gathered input from an advisory council of local school principals and district-level leaders. Members of the advisory council have strongly encouraged the university to offer principal certification at the master's level to address principal shortages within their districts. The Occupational Outlook Handbook shows 420 regional openings and 4,073 state openings in 2018, with an expected increase in openings of 5.5% by 2028 across the region and 5.85% by 2028 across Kentucky.

### **Budget**

It should be noted that this program is currently offered as an Ed.S. degree. If approved, the new MAEd program will not incur additional expenses because the courses will run concurrently with the same instructors who are already teaching the Ed.S. courses. In other words, the new MAEd students will take the same courses with the Ed.S. students. As a result, the revenues will increase while expenses remain neutral.

**Projected Revenue over Next Five Years (\$): \$1,800,000.00**

**Projected Expenses over Next Five Years (\$): \$997,500.00**

**INFORMATIONAL COPY FROM COMMITTEE MEETING –  
NO ACTION NEEDED AT JUNE 17, 2022 MEETING**

**ACADEMIC & STRATEGIC INITIATIVES COMMITTEE  
KY COUNCIL ON POSTSECONDARY EDUCATION**

**INFORMATION ITEM  
June 7, 2022**

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**TITLE:** New Academic Programs Approved at KCTCS

**DESCRIPTION:** Staff have approved six AAS program proposals from KCTCS institutions since January 2022 in accordance with the program approval process.

**PRESENTERS:** Melissa Bell, Ph.D., CPE’s Vice President of Academic Affairs and Student Success

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**SUPPORTING INFORMATION**

KRS 164.020 (15) empowers the Council to define and approve the offering of all technical, certificate, diploma, associate, baccalaureate, graduate, and professional degree at public postsecondary institutions. It also mandates that the Council expedite the approval of requests from KCTCS for new programs of a vocational-technical and occupational nature.

**APPROVED PROGRAMS AT KCTCS**

Council staff have reviewed and approved the following six programs during the 2022 calendar year. No further action is needed.

Maysville Community and Technical College

AAS, Human Services	CIP 44.0000	Approved 2/5/22
AAS, Aviation Maintenance Technology	CIP 47.0608	Approved 2/5/22
AAS, Health Science Technology	CIP 51.0000	Approved 2/5/22
AAS, Education	CIP 13.1501	Approved 5/25/22

Southcentral Kentucky Community & Technical College

AAS, Medical Laboratory Technician	CIP 51.1004	Approved 4/8/22
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Hopkinsville Community & Technical College

AAS, Computerized Manufacturing & Machining	CIP 48.0503	Approved 5/29/22
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**PROGRAM APPROVAL PROCESS FOR KCTCS INSTITUTIONS**

Associate Degree Programs of a Vocational-Technical-Occupational Nature (i.e. AAS) undergo the following process for approval:



*INFORMATIONAL COPY FROM COMMITTEE MEETING –  
NO ACTION NEEDED AT JUNE 17, 2022 MEETING*

- KCTCS will post a proposal to program approval system. Institutions and Council staff will have 30 days to respond to the proposal.
- If no issues are identified with the proposal, the program will be approved by Council staff and reported as an information item at a future Council meeting.
- If issues are identified with the proposal, the institution will address those through the program approval system, and the review period will be extended. Once the issues are resolved, the program will be approved by Council staff and reported as an information item at the next Council meeting.

**TITLE:** Report from the Finance Committee

**DESCRIPTION:** Chair Mentzer will provide a report of activities from the Finance Committee meeting on June 14, 2022.

**PRESENTER:** Lucas Mentzer, Chair of Finance Committee

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The Finance Committee met on June 14, 2022. The Committee took action on the following proposals and will present recommendations of approval to the Council on June 17, 2022. There were no information or discussion items at the meeting.

- Academic Year 2022-23 Campus Tuition and Fee Proposals for the four-year institutions (NKU, graduate level only).
- Asset Preservation Pool related items, including the guidelines and delegation of authority.
- Endowment Match Program Guidelines.
- Workforce Development Trust Fund Guidelines.
- Two Interim Capital Projects for KCTCS, in which both are funded by the Asset Preservation Pool.
- Funding Disbursement Request for Kentucky State University.

The Finance Committee's next meeting is scheduled for September 13, 2022 @ 1pm ET.

**TITLE:** 2022-23 Campus Tuition and Mandatory Fee Proposals

**DESCRIPTION:** The Finance Committee recommends the Council approve the proposed tuition and mandatory fee proposals for academic year 2022-23.

**PRESENTER:** Lucas Mentzer, Chair of the Finance Committee

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### **COMMITTEE REVIEW & APPROVAL**

The Finance Committee reviewed and approved the proposed tuition and mandatory fee proposals for academic year 2022-23 at its June 14, 2022, meeting.

### **EXECUTIVE SUMMARY**

On May 13, 2021, the Council on Postsecondary Education approved tuition and mandatory fee ceilings for academic years 2021-22 and 2022-23. Included among parameters adopted at that meeting is a requirement that base rate increases for resident undergraduate students not exceed 2.0% in any one year at the public universities, nor exceed \$3.00 per credit hour in any one year at KCTCS institutions.

The University of Kentucky, University of Louisville, Eastern Kentucky University, Kentucky State University, Morehead State University, Murray State University, and Western Kentucky University have submitted undergraduate and graduate tuition and fee proposals for academic year 2022-23 to the Council. Northern Kentucky University has only submitted graduate rates for approval as their undergraduate rates were approved at the April 15, 2022, Council meeting. NKU's proposed graduate tuition and fee charges will be presented to the Board of Regents for approval at their June 15, 2022, meeting. The tuition and fee rates for KCTCS were also approved at the April 15, 2022, Council meeting.

Staff has reviewed each institution's proposed 2022-23 tuition and fee charges for every degree level, residency, and attendance status and determined that they comply with Council approved ceilings.

Staff recommends that the Finance Committee approve, and endorse to the full Council, tuition and mandatory fee charges for resident undergraduate and graduate students, nonresident undergraduate and graduate students, and on-line learners for academic year 2022-23 as proposed by the institutions and approved by their governing boards.

## **COUNCIL APPROVED TUITION AND FEE CEILINGS**

On May 13, 2021, the Council adopted resident undergraduate tuition and mandatory fee ceilings that equate to:

- a maximum base rate increase of no more than 3.0 percent over two years, and a maximum increase of no more than 2.0 percent in any one year, for public research and comprehensive universities; and
- a maximum base rate increase of no more than \$5.00 per credit hour over two years, and a maximum increase of no more than \$3.00 per credit hour in any one year, for students attending KCTCS institutions.

At that same meeting, it was determined that the public institutions shall be allowed to submit for Council review and approval:

- Nonresident undergraduate tuition and fee rates that comply with the Council's *2021-22 Tuition and Mandatory Fees Policy*, or otherwise adhere to provisions of an existing Memorandum of Understanding between the Council and an institution.
- Market competitive tuition and fee rates for graduate and online courses.

A top priority for the Council in adopting these parameters was keeping tuition and fee increases to a minimum to help students and families who may have been struggling financially due to the Coronavirus pandemic. While maintaining affordability was the overriding concern, there was also recognition that small increases in tuition and fees are necessary to help institutions maintain quality academic programs, address inflationary cost increases, and continue making progress toward achieving the state's 60x30 college attainment goal. Toward that end, staff believes the adopted ceilings achieved an appropriate balance between resource needs of the institutions and affordability for Kentucky students and families.

As a reminder, the resident undergraduate tuition and fee ceilings approved by the Council apply to each institution's base rate charge. Base rates are defined as total tuition and fee charges, minus any Special Use Fees and Asset Preservation Fees previously approved by the Council, and minus an agency bond fee at KCTCS (i.e., BuildSmart Investment for Kentucky Competitiveness Fee). Council staff deduct these fees from total tuition and fees before applying a percent increase parameter, which

keeps the fees at a fixed amount each year until they expire. The paragraphs below contain proposed base rates for academic year 2022-23, current-year base rates, and calculated dollar and percent changes between those rates for each institution.

## **CAMPUS TUITION AND FEE PROPOSALS**

Officials from UK, UofL, ECU, KSU, MoSU, MuSU, and WKU have submitted to the Council undergraduate and graduate tuition and fee proposals for academic year 2022-23, along with tuition and fee revenue estimates for fiscal years 2021-22 and 2022-23. NKU has only submitted proposed graduate tuition and fee charges which are pending approval by the Board of Regents at their June 15, 2022, meeting. NKU's undergraduate rates and tuition and fee charges for KCTCS were approved at the Council's April 15, 2022, meeting.

Staff has reviewed each institution's proposed 2022-23 tuition and fee charges for every degree level, residency, and attendance status and determined that they comply with Council approved ceilings.

### **University of Kentucky**

On June 3, 2022, the University of Kentucky submitted a proposal to the Council, containing planned tuition and mandatory fee charges for academic year 2022-23. As can be seen in Table 1, between academic years 2021-22 and 2022-23, the university is proposing to increase its annual base-rate charge for resident undergraduate students by \$249.<sup>00</sup>, or 2.0 percent. This increase complies with the Council's approved ceiling for resident undergraduate tuition and mandatory fees, which stipulates that base rates cannot increase by more than 2.0 percent in any one year at public research and comprehensive universities. The university's proposed tuition and fee charges for nonresident, graduate, and on-line students also adhere to Council parameters.

The tuition and fee charges included in UK's proposal will be presented for approval to the university's Board of Trustees at their June 17 meeting. Staff recommends that the Finance Committee approve, and endorse to the full Council, tuition and fee rates as proposed by the University of Kentucky and contingent upon approval by the university's governing board.

University of Kentucky Proposed Tuition and Fee Base Rates Academic Year 2022-23				Table 1
<u>Rate Category</u>	<u>Current 2021-22 Base Rates</u>	<u>Proposed 2022-23 Base Rates</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$12,610	\$12,859	\$249	2.0%
Nonresident	\$31,608	\$32,276	\$668	2.1%
Graduate				
Resident	\$13,674	\$13,946	\$272	2.0%
Nonresident	\$33,548	\$34,259	\$711	2.1%
The University of Kentucky does not assess any Special Use Fees or Asset Preservation Fees at this time.				

Attachment A contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, rates for first-professional and doctoral programs, and online rates. Staff recommends approval of these additional rates as proposed by the university.

### Estimated Tuition Revenue

UK officials estimate that proposed 2022-23 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$592.9 million in gross tuition and fee revenue, which is \$50.1 million more than anticipated revenue for the current year (see Attachment B). The university's E&G fixed costs are projected to increase by \$61.2 million between fiscal years 2021-22 and 2022-23, which represents an increase of about 4.0 percent over current-year costs.

### University of Louisville

On June 10, the University of Louisville submitted a proposal to the Council, containing planned tuition and mandatory fee charges for academic year 2022-23. As can be seen in Table 2, between academic years 2021-22 and 2022-23, the university is proposing to increase its annual base-rate charge for resident undergraduate students by \$150.<sup>00</sup>, or 1.2 percent. This increase complies with the Council's approved ceiling for resident undergraduate tuition and mandatory fees, which stipulates that base rates cannot increase by more than 2.0 percent in any one year at public research and comprehensive universities. The university's proposed tuition and

fee charges for nonresident, graduate, and on-line students also adhere to Council parameters.

The tuition and fee charges included in UofL’s proposal have not been approved by the university’s Board of Trustees. That approval is expected at the board’s June 23 meeting. Staff recommends that the Finance Committee approve, and endorse to the full Council, tuition and fee rates as proposed by the University of Louisville, contingent on forthcoming approval by the university’s governing board.

University of Louisville Proposed Tuition and Fee Base Rates Academic Year 2022-23				Table 2	
<u>Rate Category</u>	<u>Current 2021-22 Base Rates</u>	<u>Proposed 2022-23 Base Rates</u>	<u>Dollar Change</u>	<u>Percent Change</u>	
Undergraduate					
Resident	\$12,174	\$12,324	\$150	1.2%	
Nonresident	\$28,520	\$28,670	\$150	0.5%	
Graduate					
Resident	\$13,524	\$13,944	\$420	3.1%	
Nonresident	\$27,638	\$28,340	\$702	2.5%	
Base rates for the University of Louisville do not include a Special Use Fee of \$98.00 per semester, or \$196.00 per year.					

Attachment C contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, rates for first-professional and doctoral programs, and online rates. Staff recommends approval of these additional rates as proposed by the university.

**Estimated Tuition Revenue**

UofL officials estimate that their proposed 2022-23 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$334.2 million in gross tuition and fee revenue, which is \$7.8 million more than projected for the current year (see Attachment D). The university’s E&G fixed costs are projected to increase by \$14.9 million between fiscal years 2021-22 and 2022-23, which represents an increase of about 2.8 percent over current-year costs.

**Eastern Kentucky University**

On June 1, 2022, Eastern Kentucky University submitted a proposal to the Council, containing planned tuition and mandatory fee charges for academic year 2022-23. As

can be seen in Table 3, between academic years 2021-22 and 2022-23, the university is proposing to increase its annual base-rate charge for resident undergraduate students by \$92.<sup>00</sup>, or 1.0 percent. This increase complies with the Council’s approved ceiling for resident undergraduate tuition and mandatory fees, which stipulates that base rates cannot increase by more than 2.0 percent in any one year at public research and comprehensive universities. The university’s proposed tuition and fee charges for nonresident, graduate, and on-line students also adhere to Council parameters.

The tuition and fee charges included in ECU’s proposal will be presented for approval by the university’s Board of Regents at their June 14 meeting. Staff recommends that the Finance Committee approve, and endorse to the full Council, tuition and fee rates as proposed by Eastern Kentucky University contingent upon approval by the university’s governing board.

Eastern Kentucky University Proposed Tuition and Fee Base Rates Academic Year 2022-23				Table 3	
<u>Rate Category</u>	<u>Current 2021-22 Base Rates</u>	<u>Proposed 2022-23 Base Rates</u>	<u>Dollar Change</u>	<u>Percent Change</u>	
Undergraduate					
Resident	\$9,452	\$9,544	\$92	1.0%	
Nonresident	\$19,724	\$19,922	\$198	1.0%	
Graduate					
Resident	\$550.00	\$556.00	\$6.00	1.1%	
Nonresident	\$750.00	\$758.00	\$8.00	1.1%	
Base rates for Eastern Kentucky University do not include a Special Use Fee of \$150.00 per semester, or \$300.00 per year, for full-time students, nor do they include an Asset Preservation Fee of \$10.00 per credit hour, capped at 15 credit hours or \$150.00 per semester, or \$300.00 per year, for full-time students.					

Attachment E contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, rates for part-time graduate students, and online rates. Staff recommends approval of these additional rates as proposed by the university.

Estimated Tuition Revenue

EKU officials estimate that proposed 2022-23 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$144.5 million in gross tuition and fee revenue, which is



\$3.8 million more than anticipated revenue for the current year (see Attachment F). The university's E&G fixed costs are projected to increase by \$11.9 million between fiscal years 2021-22 and 2022-23, which represents an increase of about 5.0 percent over current-year costs.

**Kentucky State University**

On June 9, 2022, Kentucky State University submitted a proposal to the Council, containing planned tuition and mandatory fee charges for academic year 2022-23. As can be seen in Table 4, between academic years 2021-22 and 2022-23, the university is proposing to increase its annual base-rate charge for resident undergraduate students by \$154.<sup>00</sup>, or 1.8 percent. This complies with the Council's approved ceiling for resident undergraduate tuition and mandatory fees, which stipulates that base rates cannot increase by more than 2.0 percent in any one year at public research and comprehensive universities. The university's proposed tuition and fee charges for nonresident, graduate, and on-line students also adhere to Council parameters.

The tuition and fee charges included in KSU's proposal will be presented for approval by the university's Board of Regents at their June 13 meeting. Staff recommends that the Finance Committee approve, and endorse to the full Council, tuition and fee rates as proposed by Kentucky State University contingent upon approval by the university's governing board.

Kentucky State University Proposed Tuition and Fee Base Rates Academic Year 2022-23				Table 4	
<u>Rate Category</u>	<u>Current 2021-22 Base Rates</u>	<u>Proposed 2022-23 Base Rates</u>	<u>Dollar Change</u>	<u>Percent Change</u>	
Undergraduate					
Resident	\$8,500	\$8,654	\$154	1.8%	
Nonresident	\$12,350	\$12,582	\$232	1.9%	
Graduate					
Resident	\$10,880	\$11,072	\$192	1.8%	
Nonresident	\$15,920	\$16,232	\$312	2.0%	
Base rates for Kentucky State University do not include an Asset Preservation Fee of \$150.00 per semester, or \$300.00 per year, for full-time students.					

Attachment G contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate

students, rates for part-time graduate students, and online rates. Staff recommends approval of these additional rates as proposed by the university. Please note that KSU displays mandatory fees separately in Attachment G, however, Council staff included these fees (e.g., Activities Fee; Technology Fee; Safety and Security Fee, Homecoming Fee) in the base rate table (i.e., Table 4).

#### Estimated Tuition Revenue

KSU officials estimate that proposed 2022-23 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$16.5 million in gross tuition and fee revenue, which is \$4.3 million less than anticipated revenue for the current year (see Attachment H). The university's E&G fixed costs are projected to decrease by \$1.5 million between fiscal years 2021-22 and 2022-23, which represents a decrease of about 4.0 percent over current-year costs.

#### **Morehead State University**

On May 18, 2022, Morehead State University submitted a proposal to the Council, containing planned tuition and mandatory fee charges for academic year 2022-23. As can be seen in Table 5, between academic years 2021-22 and 2022-23, the university is proposing to increase its annual base-rate charge for resident undergraduate students by \$98.<sup>00</sup>, or 1.1 percent. This increase complies with the Council's approved ceiling for resident undergraduate tuition and mandatory fees, which stipulates that base rates cannot increase by more than 2.0 percent in any one year at public research and comprehensive universities. The university's proposed tuition and fee charges for nonresident, graduate, and on-line students also adhere to Council parameters.

Morehead State University Proposed Tuition and Fee Base Rates Academic Year 2022-23				Table 5
<u>Rate Category</u>	<u>Current 2021-22 Base Rates</u>	<u>Proposed 2022-23 Base Rates</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$9,210	\$9,308	\$98	1.1%
Nonresident	\$13,854	\$14,034	\$180	1.3%
Graduate				
Resident	\$577.00 pch	\$574.00 pch	(\$3.00)	-0.5%
Nonresident	\$577.00 pch	\$574.00 pch	(\$3.00)	-0.5%
Base rates for Morehead State University do not include a Special Use Fee of \$66.00 per semester, or \$132.00 per year, nor do they include an Asset Preservation Fee of \$60.00 per semester, or \$120.00 per year, for full-time students.				
pch = per credit hour				

The tuition and fees included in MoSU’s proposal were approved by the university’s Board of Regents at their March 31 meeting. Staff recommends that the Finance Committee approve, and endorse to the full Council, tuition and fee rates as proposed by Morehead State University and approved by the university’s governing board.

Attachment I contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, rates for part-time graduate students, and online rates. Staff recommends approval of these additional rates as proposed by the university.

**Estimated Tuition Revenue**

MoSU officials estimate that proposed 2022-23 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$56.0 million in gross tuition and fee revenue, which is \$0.7 million less than anticipated revenue for the current year (see Attachment J). The university’s E&G fixed costs are projected to increase by \$1.0 million between fiscal years 2021-22 and 2022-23, which represents an increase of about 1.0 percent over current-year costs.

**Murray State University**

On May 31, 2022, Murray State University submitted a proposal to the Council, containing planned tuition and mandatory fee charges for academic year 2022-23. As can be seen in Table 6, between academic years 2021-22 and 2022-23, the university is proposing to increase its annual base-rate charge for resident undergraduate

students by \$180.<sup>00</sup>, or 1.9 percent. The undergraduate base rates in Table 6 apply to students who enrolled at MuSU during summer term 2020 or after. See Attachment K for proposed base rates assessed to those who enrolled between summer term 2016 and spring 2020.

MuSU’s proposed base rate increase for resident undergraduate students complies with the Council’s approved ceiling for resident undergraduate tuition and mandatory fees, which stipulates that base rates cannot increase by more than 2.0 percent in any one year at public research and comprehensive universities. The university’s proposed tuition and fee charges for nonresident, graduate, and on-line students also adhere to Council parameters.

The tuition and fees included in MuSU’s proposal were approved by the university’s Board of Regents at their June 3 meeting. Staff recommends that the Finance Committee approve, and endorse to the full Council, tuition and fee rates as proposed by Murray State University and approved by the university’s governing board.

Attachment K contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, rates for part-time graduate students, and online rates. Staff recommends approval of these additional rates as proposed by the university.

Murray State University Proposed Tuition and Fee Base Rates Academic Year 2022-23				Table 6	
<u>Rate Category</u>	<u>Current 2021-22 Base Rates</u>	<u>Proposed 2022-23 Base Rates</u>	<u>Dollar Change</u>	<u>Percent Change</u>	
<b>Undergraduate</b>					
Resident	\$9,252	\$9,432	\$180	1.9%	
Nonresident	\$18,528	\$18,888	\$360	1.9%	
<b>Graduate</b>					
Resident	\$549.00 pch	\$559.50 pch	\$10.50	1.9%	
Nonresident	\$808.00 pch	\$559.50 pch	(\$248.50)	-30.8%	
Base rates for Murray State University do not include an Asset Preservation Fee of \$10.00 per credit hour, capped at 15 hours or \$150.00 per semester, or \$300.00 per year, for full-time comparison (fall and spring semesters only).					
pch = per credit hour					

Estimated Tuition Revenue

MuSU officials estimate that proposed 2022-23 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$104.5 million in gross tuition and fee revenue, which is \$4.9 million more than anticipated revenue for the current year (see Attachment L).

The university's E&G fixed costs are projected to increase by \$7.0 million between fiscal years 2021-22 and 2022-23, which represents an increase of about 4.0 percent over current-year costs.

### **Northern Kentucky University**

On April 6, 2022, Northern Kentucky University submitted a proposal to the Council, containing planned tuition and mandatory fee charges for academic year 2022-23. As can be seen in Table 7, between academic years 2021-22 and 2022-23, the university proposed increasing its annual base-rate charge for resident undergraduate students by \$196.<sup>00</sup>, or 2.0 percent. This increase complied with the Council's approved ceiling for resident undergraduate tuition and mandatory fees, which stipulates that base rates cannot increase by more than 2.0 percent in any one year at public research and comprehensive universities. The proposed undergraduate tuition and fee charges in Attachment M were approved by the Finance Committee at its April 12, 2022, meeting. Per the approved ceiling, NKU's maximum possible base rate charge for 2022-23 for resident undergraduate students is \$10,209 (a 3.0 percent increase from \$9,912). The university's proposed tuition and fee charges for undergraduate nonresident and on-line students also adhere to Council parameters. NKU's proposed graduate and doctorate student rates will be presented for approval at the Board of Regents meeting on June 15, 2022.

The undergraduate tuition and fees included in NKU's proposal were approved by the university's Board of Regents at their March 16 meeting and CPE's Finance Committee at its April 12, 2022, meeting. Staff recommends that the Finance Committee approve, and endorse to the full Council, the proposed graduate and doctorate student tuition and fee rates as proposed by Northern Kentucky University contingent upon approval by the university's governing board.

Northern Kentucky University Proposed Tuition and Fee Base Rates Academic Year 2022-23				Table 7	
<u>Rate Category</u>	<u>Current 2021-22 Base Rates</u>	<u>Proposed 2022-23 Base Rates</u>	<u>Dollar Change</u>	<u>Percent Change</u>	
<b>Undergraduate</b>					
Resident	\$10,012	\$10,208	\$196	2.0%	
Nonresident	\$20,070	\$20,464	\$394	2.0%	
<b>Graduate</b>					
Resident	\$550.00 pch	\$550.00 pch	\$0	0.0%	
Nonresident	\$845.00 pch	\$845.00 pch	\$0	0.0%	
Base rates for Northern Kentucky University do not include a Special Use Fee of \$192.00 per semester, or \$384 per year, for full-time students.					
pch = per credit hour					

Attachment M contains additional categories of graduate and doctorate student tuition and fee charges, including proposed per-credit-hour rates for part-time graduate students and online rates. Staff recommends approval of these additional rates as proposed by the university contingent upon approval by the university’s governing board.

**Estimated Tuition Revenue**

NKU officials estimate that proposed 2022-23 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$173.6 million in gross tuition and fee revenue, which is \$1.4 million less than anticipated revenue for the current year (see Attachment N). The university’s E&G fixed costs are projected to increase by \$12.6 million between fiscal years 2021-22 and 2022-23, which represents an increase of about 5.8 percent over current-year costs.

**Western Kentucky University**

On June 3, 2021, Western Kentucky University submitted a proposal to the Council, containing planned tuition and mandatory fee charges for academic year 2022-23. As can be seen in Table 8, between academic years 2021-22 and 2022-23, the university is proposing to increase its annual base-rate charge for resident undergraduate students by \$120.<sup>00</sup>, or 1.1 percent. This increase complies with the Council’s approved ceiling for resident undergraduate tuition and mandatory fees, which stipulates that base rates cannot increase by more than 2.0 percent in any one year at public research and comprehensive universities. The university’s proposed tuition and

fee charges for nonresident, graduate, and on-line students also adhere to Council parameters.

The tuition and fees included in WKU’s proposal were approved by the university’s Board of Regents at their June 10 meeting. Staff recommends that the Finance Committee approve, and endorse to the full Council, tuition and fee rates as proposed by Western Kentucky University and approved by the university’s governing board.

Western Kentucky University Proposed Tuition and Fee Base Rates Academic Year 2022-23				Table 8
<u>Rate Category</u>	<u>Current 2021-22 Base Rates</u>	<u>Proposed 2022-23 Base Rates</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate				
Resident	\$10,792	\$10,912	\$120	1.1%
Nonresident	\$26,800	\$26,800	\$0	0.0%
Graduate				
Resident	\$597.00 pch	\$607.00 pch	\$10.00	1.7%
Nonresident	\$907.00 pch	\$917.00 pch	\$10.00	1.1%
<small>Base rates for Western Kentucky University do not include Special Use Fees of \$100.00 per semester, or \$200.00 per year, for full-time students. Special Use fees are also assessed at \$10.00 per credit hour for graduate and part-time students. pch = per credit hour</small>				

Attachment O contains additional categories of tuition and fee charges, including proposed per-credit-hour rates for part-time resident and nonresident undergraduate students, rates for part-time graduate students, and online rates. Staff recommends approval of these additional rates as proposed by the university.

Estimated Tuition Revenue

WKU officials estimate that proposed 2022-23 tuition and mandatory fee charges for all categories of students (i.e., every academic level, residency, and full-time or part-time status) will generate about \$176.5 million in gross tuition and fee revenue, which is \$0.2 million more than anticipated revenue for the current year (see Attachment P). The university’s E&G fixed costs are projected to increase by \$9.4 million between fiscal years 2021-22 and 2022-23, which represents an increase of about 4.0 percent over current-year costs.

## **STAFF AND FINANCE COMMITTEE RECOMMENDATION**

Council staff has reviewed the tuition and mandatory fee proposals for academic year 2022-23 received from UK, UofL, ECU, KSU, MoSU, MuSU, and WKU and have determined that they comply with the resident undergraduate tuition and fee ceilings for research and comprehensive universities adopted by the Council at their May 13, 2021, meeting. Staff has determined that proposed prices for nonresident undergraduate students adhere to provisions of the Council's *2022-23 Tuition and Mandatory Fee Policy*, or a previously approved Memorandum of Understanding between the Council and an institution. Finally, proposed tuition and fee charges for graduate and on-line students, including those submitted independent from previously approved undergraduate base rates for NKU, also adhere to Council parameters.

Both the staff and Finance Committee recommended approval by the Council all applicable tuition and mandatory fee charges for resident undergraduate and graduate students, nonresident undergraduate and graduate students, and on-line learners for academic year 2022-23 as proposed by the institutions.



**Proposed 2022-23 Tuition and Mandatory Fee Charges  
University of Kentucky**

Category	Fall 2022	Spring 2023	Annual 2022-23	Summer and Winter Terms 2023	Full Programs
<i>Undergraduate</i>					
Resident					
Full-time (12 credit hours and above)	\$ 6,429.50	\$ 6,429.50	\$ 12,859.00		
Per Credit Hour	\$ 526.50	\$ 526.50		\$ 526.50	
Nonresident					
Full-time (12 credit hours and above)	\$ 16,138.00	\$ 16,138.00	\$ 32,276.00		
Per Credit Hour	\$ 1,335.00	\$ 1,335.00		\$ 1,335.00	
UK Online Campus (Per Credit Hour)*	\$ 594.50	\$ 594.50		\$ 594.50	
Active Military (Per Credit Hour)	\$ 296.50	\$ 296.50		\$ 296.50	
Global ESL Pathway Program					
Resident	\$ 6,429.50	\$ 6,429.50	\$ 12,859.00	\$ 526.50	
Nonresident	\$ 16,138.00	\$ 16,138.00	\$ 32,276.00	\$ 1,335.00	
Global Wildcats (Per Credit Hour)	\$ 594.50	\$ 594.50		\$ 594.50	
UK Next Generation Dual Credit (Per Credit Hour)					
Per Credit Hour - to be determined by KCTCS/CPE	TBD	TBD		TBD	
<i>Graduate**</i>					
Resident					
Full-time	\$ 6,973.00	\$ 6,973.00	\$ 13,946.00		
Per Credit Hour	\$ 762.00	\$ 762.00		\$ 762.00	
Enrolled in Distance Education Courses Only	\$ 6,427.00	\$ 6,427.00	\$ 12,854.00	\$ 715.50	
Nonresident					
Full-time	\$ 17,129.50	\$ 17,129.50	\$ 34,259.00		
Per Credit Hour	\$ 1,890.50	\$ 1,890.50		\$ 1,890.50	
Enrolled in Distance Education Courses Only	\$ 6,427.00	\$ 6,427.00	\$ 12,854.00	\$ 715.50	
<i>Master or Graduate Certificate, Health Professional</i>					
Resident					
Full-time	\$ 7,516.00	\$ 7,516.00	\$ 15,032.00		
Per Credit Hour	\$ 822.00	\$ 822.00		\$ 822.00	
Nonresident					
Full-time	\$ 17,761.50	\$ 17,761.50	\$ 35,523.00		
Per Credit Hour	\$ 1,960.50	\$ 1,960.50		\$ 1,960.50	
<i>Master or Graduate Certificate, Professional</i>					
Resident					
Full-time	\$ 7,313.50	\$ 7,313.50	\$ 14,627.00		
Per Credit Hour	\$ 799.00	\$ 799.00		\$ 799.00	
Nonresident					
Full-time	\$ 17,527.00	\$ 17,527.00	\$ 35,054.00		
Per Credit Hour	\$ 1,934.50	\$ 1,934.50		\$ 1,934.50	
<i>Professional Practice Doctoral</i>					
Resident					
Full-time	\$ 9,112.50	\$ 9,112.50	\$ 18,225.00		
Per Credit Hour	\$ 999.50	\$ 999.50		\$ 999.50	
Nonresident					
Full-time	\$ 23,697.50	\$ 23,697.50	\$ 47,395.00		
Per Credit Hour	\$ 2,620.50	\$ 2,620.50		\$ 2,620.50	
<i>College Specific Graduate Degrees &amp; Certificates</i>					
<b>College of Education</b>					
Master of Education in Educational Leadership					
Education Specialist in Teacher Leadership					
Education Specialist in Principal Preparation					
Superintendent Certification Program					
Graduate Certificate in Leadership for Deeper Learning					
Graduate Certificate in Instructional Coaching					
Graduate Certificate in School Technology Leadership					
Graduate Certificate in Executive Education Leadership					
Resident and Non-Resident, Per Credit Hour	\$ 624.00	\$ 624.00		\$ 624.00	

**Proposed 2022-23 Tuition and Mandatory Fee Charges  
University of Kentucky**

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Summer and Winter Terms 2023	Full Programs
<b>College of Medicine</b>					
Master of Forensic Toxicology and Analytical Genetics Resident and Non-Resident, Per Credit Hour	\$ 1,017.00	\$ 1,017.00		\$ 1,017.00	
<b>College of Public Health</b>					
Master of Public Health, Online Resident and Non-Resident, Per Credit Hour	\$ 731.00	\$ 731.00		\$ 731.00	
<b>Gatton College of Business and Economics</b>					
Master of Science in Finance Resident, Per Credit Hour Only	\$ 948.00	\$ 948.00		\$ 948.00	
Nonresident, Per Credit Hour Only	\$ 1,326.50	\$ 1,326.50		\$ 1,326.50	
Master of Science in Marketing					
Master of Science in Strategic Human Resource Management and Analytics					
Master of Science in Supply Chain Management					
Graduate Certificate in Analytics					
Graduate Certificate in Human Resource Management Resident, Per Credit Hour Only	\$ 913.50	\$ 913.50		\$ 913.50	
Nonresident, Per Credit Hour Only	\$ 1,254.50	\$ 1,254.50		\$ 1,254.50	
<b>Dentistry</b>					
Resident			\$ 36,703.00		
Nonresident			\$ 79,133.50		
<b>Reduced Curriculum load</b>					
Resident			\$ 19,123.50		
Nonresident			\$ 40,339.00		
<b>Doctor of Pharmacy</b>					
Resident			\$ 29,187.00		
Nonresident			\$ 54,755.50		
<b>Reduced curriculum load</b>					
Resident			\$ 15,365.50		
Non-Resident			\$ 28,150.00		
<b>Doctorate of Physical Therapy</b>					
Resident			\$ 22,665.50		
Nonresident			\$ 46,488.00		
<b>Reduced curriculum load</b>					
Resident			\$12,105.50		
Non-Resident			\$24,016.00		
<b>Law</b>					
Resident			\$ 25,747.50		
Nonresident			\$ 51,380.00		
<b>Reduced curriculum load</b>					
Resident			\$ 13,645.50		
Non-Resident			\$ 26,462.00		

**Proposed 2022-23 Tuition and Mandatory Fee Charges  
University of Kentucky**

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Summer and Winter Terms 2023	Full Programs
<i>Medicine</i>					
<i>Students - entering class of fall 2018</i>					
Resident			\$ 38,920.00		
Nonresident			\$ 69,648.00		
<i>Students - entering class of fall 2019</i>					
Resident			\$ 40,042.00		
Nonresident			\$ 72,373.00		
<i>Students - entering class of fall 2020</i>					
Resident			\$ 40,462.00		
Nonresident			\$ 73,854.00		
<i>Students - entering class of fall 2021</i>					
Resident			\$ 40,866.00		
Nonresident			\$ 74,592.00		
<i>Students - entering class of fall 2022</i>					
Resident			\$ 41,265.50		
Nonresident			\$ 75,328.50		
<i>Reduced Curriculum load</i>					
Resident			\$ 21,405.00		
Nonresident			\$ 38,436.50		
<i>Master of Science in Accounting</i>					
Resident			\$ 23,464.00		
Nonresident			\$ 32,107.00		
<i>Reduced Curriculum load</i>					
Resident			\$8,851.00		
Nonresident			\$11,732.50		
<i>Master of Business Administration (MBA)</i>					
<i>One-Year, Full-Time</i>					
Resident					\$ 36,916.00
Nonresident					\$ 43,660.50
<i>Professional Evening Two-Years (full-time)</i>					
Students - entering classes of Fall 2021					
Resident					\$ 37,284.00
Nonresident					\$ 43,875.00
Students - entering classes of Fall 2022					
Resident					\$ 38,047.50
Nonresident					\$ 44,784.50
<i>Professional Evening Three-Years (part-time)</i>					
Students - entering classes of Fall 2020					
Resident					\$ 36,361.00
Nonresident					\$ 42,888.00
Students - entering classes of Fall 2021					
Resident					\$ 36,764.00
Nonresident					\$ 43,356.00
Students - entering classes of Fall 2022					
Resident					\$ 37,588.50
Nonresident					\$ 44,326.00

\*Fully online certificates and degree programs (i.e., Internet, web-based) are only offered through UKOnline. Undergraduate students enrolled only in an UKOnline certificate or degree program are assessed the Undergraduate Online Learning Tuition Rate for all credit hours. There is no full-time tuition cap for UKOnline undergraduate certificate and degree programs. The Undergraduate Online Learning Tuition Rate is the same for resident and non-resident undergraduate students.

\*\*Graduate students enrolled exclusively in courses offered through distance learning are assessed tuition using the applicable resident rate, regardless of residency status. Distance learning courses include delivery modes of fully online (i.e., Internet, web-based), hybrid, off-campus, TV, and compressed video.

## ATTACHMENT B

### Estimated 2022-23 Gross Tuition and Mandatory Fee Revenue University of Kentucky

<u>Category</u>	<u>Estimated 2021-22</u>	<u>Estimated 2022-23</u>
<i>Undergraduate</i>		
Resident	\$ 158,317,696	\$ 159,497,500
Nonresident	173,121,511	210,353,700
<i>Graduate</i>		
Resident	22,607,223	30,072,800
Nonresident	43,274,554	55,644,000
<i>First-Professional</i>		
Resident	52,935,678	56,197,900
Nonresident	29,687,840	29,132,300
<i>Online*</i>	27,217,510	15,741,400
<i>Mandatory Fees**</i>	35,565,100	36,215,900
<b><i>Total</i></b>	<b><u>\$ 542,727,112</u></b>	<b><u>\$ 592,855,500</u></b>

\*Tuition revenue from online graduate course offerings is not separately recorded. Graduate students enrolled in only online courses are assessed the Kentucky resident rate regardless of residency status.

\*\*Mandatory fees between Undergraduate, Graduate and First-Professional classifications are not separately recorded.

**Proposed 2022-23 Tuition and Mandatory Fee Charges**  
**University of Louisville**

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023
<i>Undergraduate (applies to in-person and online courses; excluding online programs)</i>				
Resident				
Full-time (12 credit hours and above)	\$ 6,162	\$ 6,162	\$ 12,324	\$ 6,162
Per Credit Hour	514	514		514
Nonresident				
Full-time (12 credit hours and above)	14,335	14,335	28,670	14,335
Per Credit Hour	1,195	1,195		1,195
Military*				
Per Credit Hour (includes online courses)	250	250		250
<i>Graduate (applies to in-person and online courses; excluding online programs)</i>				
Resident				
Full-time	6,972	6,972	13,944	6,972
Per Credit Hour	775	775		775
Nonresident				
Full-time	14,170	14,170	28,340	14,170
Per Credit Hour	1,575	1,575		1,575
Graduate - Equine Graduate Certificate	800	800		800
Military*				
Per Credit Hour	250	250		250
Master's of Engineering in Engineering Management	690	690		690
Franchise Management Certificate	600	600		600
Distilled Spirits Certificate	600	600		600
Equine Certificate	600	600		600
<i>Distance Education (per credit hour)</i>				
Undergraduate	514	514		514
Undergraduate - RN to Bachelor of Science in Nursing	375	375		375
CBE-Healthcare Leadership	514	514		514
Graduate	775	775		775
Graduate - Urban Planning & Public Admin	946	946		946
Graduate - Advanced Educator Preparation	575	575		575
Graduate - Distilled Spirits Certificate	800	800		800
Graduate - Franchise Management Certificate	800	800		800
Law, full-time	1,228	1,228		1,228
Law, part-time	1,228	1,228		1,228
<i>Professional MBA Cohort Program</i>				
Resident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
Nonresident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
Military*				
Full-time	3,750	3,750	11,250	3,750
Dual MBA				
Resident - 13 month program				
Full-time	8,667	8,667	26,600	8,667
Nonresident - 13 month program				
Full-time	8,667	8,667	26,600	8,667

**Proposed 2022-23 Tuition and Mandatory Fee Charges**  
**University of Louisville**

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023
<i>Full-time MBA Cohort Program</i>				
Resident - 13 month program				
Full-time	10,667	10,667	32,000	10,667
Nonresident - 13 month program				
Full-time	10,667	10,667	32,000	10,667
Military*				
Full-time	7,500	7,500	22,500	7,500
<i>Global MBA</i>				
Resident - 4 semesters				
Full-time	5,333	5,333	32,000	5,333
Nonresident - 4 semesters				
Full-time	5,333	5,333	32,000	5,333
Military*				
Full-time	3,750	3,750	22,500	3,750
<i>IMBA Cohort Program</i>				
Resident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
Nonresident - 6 consecutive semesters including summer terms				
Full-time	5,333	5,333	16,000	5,333
Military*				
Full-time	3,750	3,750	22,500	3,750
<i>Master's in Accountancy Cohort Program</i>				
Resident - 3 consecutive semesters including summer terms				
Full-time	8,333	8,333	25,000	8,333
Nonresident - 3 consecutive semesters including summer terms				
Full-time	8,333	8,333	25,000	8,333
<i>Master's in Business Analytics Program</i>				
Resident - 13 month program				
Full-time	10,000	10,000	30,000	10,000
Nonresident - 13 month program				
Full-time	10,000	10,000	30,000	10,000
<i>Certificate Program in Accounting</i>				
Resident (7 undergraduate courses over 2-3 semesters)				
Full-time	6,162	6,162	12,324	6,162
Nonresident (7 undergraduate courses over 2-3 semesters)				
Full-time	14,335	14,335	28,670	14,335
<i>Ed. D. Practitioner</i>				
Resident - 9 consecutive semesters including summer terms				
Full-time	1,800	1,800	5,400	1,800
Nonresident - 9 consecutive semesters including summer terms				
Full-time	1,800	1,800	5,400	1,800
<i>Principal Preparator</i>				
Per credit hour	500	500		500
<i>M.S. in Human Resources and Organization Development</i>				
Resident - 6 consecutive semesters including summer terms				
Full-time	2,750	2,750	8,250	2,750
Nonresident - 6 consecutive semesters including summer terms				
Full-time	2,750	2,750	8,250	2,750

**Proposed 2022-23 Tuition and Mandatory Fee Charges  
University of Louisville**

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023
<i>Urban Planning and Public Administration Programs</i>				
Resident				
Full-time	7,872	7,872	15,744	7,872
Nonresident				
Full-time	15,070	15,070	30,140	15,070
<i>Advanced Educator Preparation Master's and Graduate Programs</i>				
Resident				
Full-time	5,229	5,229	10,458	5,229
Per Credit Hour	581	581		581
Nonresident				
Full-time	10,627	10,627	21,254	10,627
Per Credit Hour	1,181	1,181		1,181
<i>Law</i>				
Resident				
Full-time	12,275	12,275	24,550	12,275
Per Credit Hour	1,228	1,228		1,228
Nonresident (returning students)				
Full-time	14,775	14,775	29,550	14,775
Per Credit Hour	1,478	1,478		1,478
Nonresident (new AY 20-21 students)				
Full-time	n/a	n/a		n/a
Per Credit Hour	n/a	n/a		n/a
<i>Medicine</i>				
Resident	21,805	21,805	43,610	21,805
Nonresident	33,120	33,120	66,240	33,120
<i>Dentistry</i>				
Resident (see above)	18,558	18,558	37,116	18,558
Nonresident	38,634	38,634	77,268	38,634
Special Use Fee	98	98	196	98
Doctoral / Masters Candidacy	1,503	1,503	3,006	1,503

\* Active Duty Military and qualifying members of the Reserves and National Guard

**ATTACHMENT D**

**Estimated 2022-23 Gross Tuition and Mandatory Fee Revenue  
University of Louisville**

<i>Category</i>	Estimated 2021-22	Estimated 2022-23
<i>Undergraduate</i>		
Resident	\$ 131,711,150	\$ 133,183,632
Nonresident	43,685,944	44,174,337
Online	9,812,905	9,922,610
<i>Graduate</i>		
Resident	40,035,575	40,400,536
Nonresident	6,482,040	6,541,130
Online	9,992,385	10,083,475
<i>First-Professional</i>		
Resident	32,591,807	33,971,350
Nonresident	35,128,193	36,615,096
Other Tuition	5,780,000	4,805,066
Mandatory Fees	8,010,000	11,091,620
Student Recreation Center Special Use Fee	3,156,426	3,420,000
<b><i>Total</i></b>	<b>\$ 326,386,426</b>	<b>\$ 334,208,852</b>



**Proposed 2022-23 Tuition and Mandatory Fee Charges  
Eastern Kentucky University**

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023	Winter 2022
<i>Undergraduate</i>					
Resident					
Full-time (12 credit hours and above)	\$ 4,772	\$ 4,772	\$ 9,544		
Per Credit Hour	398	398		398	398
Nonresident					
Full-time (12 credit hours and above)	9,961	9,961	\$ 19,922		
Per Credit Hour	831	831		433	433
Nonresident - Targeted Areas					
Full-time					
Per Credit Hour					
Online (non-program) Per Credit Hour	421	421	421	421	421
<i>Online Programs</i>					
General Education	421	421	421	421	421
Child and Family Studies	421	421	421	421	421
Corrections and Juvenile Justice	421	421	421	421	421
Emergency Medical Care	421	421	421	421	421
Fire Arson Explosion Investigation	421	421	421	421	421
Fire Protection Administration Online	421	421	421	421	421
Fire Protection and Safety Engineering Technology	421	421	421	421	421
Homeland Security	421	421	421	421	421
Occupational Safety	421	421	421	421	421
Paralegal	421	421	421	421	421
Police Studies	421	421	421	421	421
Political Science	421	421	421	421	421
Psychology	421	421	421	421	421
RN to BSN	465	465	465	465	465
Other Online Program					
<i>Graduate - Master's</i>					
Resident					
Full-time					
Per Credit Hour	556	556	556	556	556
Nonresident					
Full-time					
Per Credit Hour	758	758	758	758	758
Online (non-program) Per Credit Hour	421	421	421	421	421
<i>Online Programs (per credit hour)</i>					
College of Letters, Arts and Social Sciences (CLASS)					
M.S. in General Psychology - ABA Concentration	669	669	669	669	669
All other eCampus CLASS programs	617	617	617	617	617
College of Science, Technology, Engineering and Mathematics	617	617	617	617	617
College of Education - MAT Traditional	515	515	515	515	515
College of Education - All Other Programs	399	399	399	399	399
College of Business and Technology	617	617	617	617	617
M.B.A.	669	669	669	669	669
College of Health Sciences	669	669	669	669	669
College of Justice and Safety	617	617	617	617	617
<i>Graduate - Doctorate (per credit hour)</i>					
Doctorate in Education	581	581	581	581	581
Doctorate Nursing Practice	682	682	682	682	682
Doctorate in Occupational Therapy	682	682	682	682	682
Doctorate in Psychology	682	682	682	682	682
<i>EKU Now (High School)</i>					
Per Credit Hour - to be determined by KCTCS/CPE	TBD	TBD	TBD	TBD	TBD

**ATTACHMENT F**

**Estimated 2022-23 Gross Tuition and Mandatory Fee Revenue  
Eastern Kentucky University**

<i>Category</i>	Estimated 2021-22	Estimated 2022-23
<i>Undergraduate</i>		
Resident		
Fall	\$ 34,185,400	\$ 35,404,000
Spring	\$ 28,569,400	\$ 30,304,000
Summer	\$ 3,135,200	\$ 2,941,000
Nonresident		
Fall	\$ 10,534,600	\$ 9,995,000
Spring	\$ 9,347,400	\$ 8,870,000
Summer	\$ 475,000	\$ 776,000
Online Courses		
Winter	\$ 1,111,600	\$ 1,123,000
Online Programs		
Fall	\$ 10,107,800	\$ 10,209,000
Spring	\$ 10,226,100	\$ 10,328,000
Summer	\$ 3,164,400	\$ 3,196,000
<i>Graduate - Master's</i>		
Resident		
Fall	\$ 3,089,300	\$ 2,921,000
Spring	\$ 3,007,300	\$ 2,862,000
Summer	\$ 1,297,200	\$ 1,319,000
Nonresident		
Fall	\$ 797,700	\$ 730,000
Spring	\$ 759,300	\$ 775,000
Summer	\$ 77,600	\$ 254,000
Online Courses		
Winter	\$ 64,900	\$ 66,000
Online Programs		
Fall	\$ 4,735,300	\$ 4,777,000
Spring	\$ 4,773,100	\$ 4,686,000
Summer	\$ 3,133,400	\$ 3,293,000
<i>Graduate - Doctorate</i>		
Resident		
Fall	\$ 521,300	\$ 423,000
Spring	\$ 490,400	\$ 421,000
Summer	\$ 239,100	\$ 241,000
Non residency		
Fall	\$ 27,400	\$ 106,000
Spring	\$ 25,800	\$ 114,000
Summer	\$ 12,600	\$ 47,000
Online Programs		
Fall	\$ 318,000	\$ 327,000
Spring	\$ 232,000	\$ 369,000
Summer	\$ 309,300	\$ 184,000
<i>Special Use Fee</i>	\$ 3,645,000	\$ 3,700,000
<i>Asset Preservation Fee</i>	\$ 2,285,000	\$ 3,700,000
	<b>\$ 140,697,900</b>	<b>\$ 144,461,000</b>

## Proposed 2022-23 Tuition and Mandatory Fee Charges Kentucky State University

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023
<i>Undergraduate</i>				
Resident				
Full-time (15 credit hours)*	3,927	3,927	7,854	
Per Credit Hour**	332	332		332
Nonresident				
Full-time (15 credit hours)*	5,891	5,891	11,782	
Per Credit Hour**	498	498		498
Online Programs				
Per Credit Hour**	408	408		408
<i>Graduate</i>				
Resident				
Full-time*				
Per Credit Hour**	428	428		428
Nonresident				
Full-time*				
Per Credit Hour**	643	643		643
Undergraduate				
Online Programs				
Per Credit Hour**				
Graduate				
Online Programs				
Per Credit Hour**	510	510		510

\* Full-time undergraduate and graduate tuition rates do not include the following:  
 \$170 per semester mandatory Activities Fee.  
 \$155 per semester mandatory Technology Fee.  
 \$50 per semester mandatory Safety & Security Fee.  
 \$150 per semester mandatory Asset Preservation Fee.  
 \$50 mandatory Fall semester homecoming fee

\*\* Per Credit Hour undergraduate and graduate tuition rates do not include the following:  
 \$30 per credit hour mandatory Technology Fee.  
 \$10 per credit hour mandatory Safety & Security Fee.  
 \$10 per credit hour mandatory Asset Preservation Fee.

## ATTACHMENT H

### Estimated 2022-23 Gross Tuition and Mandatory Fee Revenue Kentucky State University

<i>Category</i>	Estimated 2021-22	Estimated 2022-23
<i>Undergraduate</i>		
Resident	\$ 6,650,000	\$ 4,158,936
Nonresident	10,478,000	8,231,274
Online	1,300,000	1,500,000
<i>Graduate</i>		
Resident	789,000	418,278
Nonresident	195,000	463,386
Online	669,000	864,937
<i>Summer</i>	685,000	864,063
<b>Total</b>	<b>\$ 20,766,000</b>	<b>\$ 16,500,874</b>

**Proposed 2022-23 Tuition and Mandatory Fee Charges  
Morehead State University**

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Winter & Summer 2023
<i>Undergraduate</i>				
Resident				
Full-time	4,654	4,654	9,308	-
Per Credit Hour	389	389	-	389
Nonresident				
Full-time	7,017	7,017	14,034	-
Per Credit Hour	586	586	-	586
International				
Full-time	11,742	11,742	23,484	-
Per Credit Hour	980	980	-	980
<i>Graduate</i>				
Resident				
Full-time	-	-	-	-
Per Credit Hour	574	574	-	574
Volgenau College of Education (600-level) Per Credit Hour	389	389	-	389
Nonresident				
Full-time	-	-	-	-
Per Credit Hour	574	574	-	574
International				
Full-time	-	-	-	-
Per Credit Hour	574	574	-	574
<hr/>				
Asset Preservation Fee				
Full-time	60	60	120	-
Per Credit Hour	5	5	-	5
Special Use Fee				
Full-time	66	66	132	-
Per Credit Hour	5	5	-	5

## ATTACHMENT J

### Estimated 2022-23 Gross Tuition and Mandatory Fee Revenue Morehead State University

<u>Category</u>	<u>Estimated 2021-22</u>	<u>Estimated 2022-23</u>
<i>Undergraduate</i>		
Resident	40,372,000	40,114,600
Nonresident	8,454,800	8,323,000
International	1,207,600	848,100
<i>Graduate</i>		
Resident	4,267,100	4,258,000
Nonresident	907,300	916,100
International	87,800	115,200
Asset Preservation Fee	659,700	650,000
Special Use Fee	746,900	741,600
<b>Total</b>	<b><u>\$ 56,703,200</u></b>	<b><u>\$ 55,966,600</u></b>

Proposed 2022-23 Tuition and Mandatory Fee Charges  
Murray State University

Category	Students admitted Summer 2016 to Spring 2020				Students admitted Summer 2020 and after			
	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023
<i>Undergraduate</i>								
Resident								
Full-time (up to 15 hours)	4,716.00	4,716.00	9,432.00		4,716.00	4,716.00	9,432.00	
Per Credit Hour	393.00	393.00		393.00	393.00	393.00		393.00
(Online Courses Resident) Per Credit Hour	393.00	393.00		393.00	393.00	393.00		393.00
Nonresident*								
Full-time (up to 15 hours)	12,762.00	12,762.00	25,524.00					
Per Credit Hour	1,063.50	1,063.50		1,063.50				
(Online Courses Resident) Per Credit Hour	1,063.50	1,063.50		1,063.50				
Regional/Nonresident*								
Full-time (up to 15 hours)	9,444.00	9,444.00	18,888.00		9,444.00	9,444.00	18,888.00	
Per Credit Hour	787.00	787.00		787.00	787.00	787.00		787.00
(Online Courses Resident) Per Credit Hour	787.00	787.00		787.00	787.00	787.00		787.00
Programs that are 100% Online Per Credit Hour	393.00	393.00		393.00	393.00	393.00		393.00

\*All nonresident students admitted Sumer 2020 and after are charged the regional/nonresident rate.

	All Graduate Students			
	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023
<i>Graduate-All programs not defined below</i>				
Resident				
Per Credit Hour	559.50	559.50		559.50
Nonresident				
Per Credit Hour	559.50	559.50		559.50
Regional				
Per Credit Hour	559.50	559.50		559.50
Online Per Credit Hour, regardless of residency	559.50	559.50		559.50
<i>Graduate-Education</i>				
Resident				
Per Credit Hour	385.50	385.50		385.50
Nonresident				
Per Credit Hour	559.50	559.50		559.50
Regional				
Per Credit Hour	559.50	559.50		559.50
Online Per Credit Hour, regardless of residency	559.50	559.50		559.50
<i>Graduate-below programs</i>				
Occupational Therapy				
Speech-Language Pathology				
Applied Engineering and Technology Management				
Resident				
Per Credit Hour	617.50	617.50		617.50
Nonresident				
Per Credit Hour	617.50	617.50		617.50
Regional				
Per Credit Hour	617.50	617.50		617.50
Online Per Credit Hour, regardless of residency	559.50	559.50		559.50
<i>Graduate-below programs</i>				
Master of Business Administration				
Master of Science Cybersecurity Management				
Master of Science Mass communications with a Concentration in Public Relations				
Master of Science in Information Systems with a Concentration in Business Analytics				
On-campus and Online Per Credit Hour, regardless of residency	548.50	548.50		548.50
<i>Graduate-below programs</i>				
Master of Public Administration				
On-campus and Online Per Credit Hour, regardless of residency	427.00	427.00		427.00
<i>Doctor of Education</i>				
Per Credit Hour, regardless of residency	607.00	607.00		607.00
Online Per Credit Hour, regardless of residency	559.50	559.50		559.50
<i>Doctor of English</i>				
Per Credit Hour, regardless of residency	607.00	607.00		607.00
Online Per Credit Hour, regardless of residency	559.50	559.50		559.50
<i>Doctor of Nursing Practice - Nursing</i>				
Per Credit Hour, regardless of residency	607.00	607.00		607.00
Online Per Credit Hour, regardless of residency	559.50	559.50		559.50
<i>Doctor of Nursing Practice - Family Nurse Practitioner</i>				
Per Credit Hour, regardless of residency	607.00	607.00		607.00
Online Per Credit Hour, regardless of residency	559.50	559.50		559.50
<i>Doctor of Nursing Practice - Nurse Anesthetist</i>				
Per Credit Hour, regardless of residency	720.50	720.50		720.50
Online Per Credit Hour, regardless of residency	559.50	559.50		559.50

## Notes:

- (a) Students enrolled in full online programs will not have their courses capped and will be billed for each credit hour enrolled.
- (b) All undergraduate rates are capped at fixed-flat full-time for 12-15 credit hours. Hours above 15 will be assessed at the appropriate resident, regional or non-resident rate.



**ATTACHMENT L**

**Estimated 2022-23 Gross Tuition and Mandatory Fee Revenue  
Murray State University**

<u>Category</u>	<u>Estimated 2021-22</u>	<u>Estimated 2022-23</u>
<i>Undergraduate</i>		
Resident	\$ 40,207,562	\$ 42,318,849
Nonresident	44,781,040	45,161,172
Online	(a) 781,155	1,041,746
<i>Graduate</i>		
Resident	2,338,589	2,852,900
Nonresident	5,685,780	3,810,164
Online	3,209,546	6,608,896
<i>Doctoral</i>		
Resident	523,658	573,880
Nonresident	589,818	448,149
Online	1,484,427	1,688,859
<b>Total</b>	<b><u>\$ 99,601,575</u></b>	<b><u>\$ 104,504,615</u></b>

(a) Revenues from online courses, unless the programs are fully online, are not tracked by MuSU since online courses are available as part of the full-time 15 hour cap. Online courses that are not specific to fully online programs are included in the Resident and Nonresident amounts.

**Proposed 2022-23 Tuition and Mandatory Fee Charges  
Northern Kentucky University**

<i>Category-Tuition</i>	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023	Winter 2022
<i>Undergraduate</i>					
<i>Credit Hour</i>					
Resident, Ohio Reciprocity, Indiana Rate	\$425	\$425	N/A	\$425	\$425
Metro (returning)	\$643	\$643	N/A	\$643	\$643
Nonresident	\$853	\$853	N/A	\$853	\$853
Online (accelerated and all other)	\$461	\$461	N/A	\$461	\$461
RN-BSN Online Partner (Note 1)	\$300	\$300	N/A	\$300	\$300
School Based Scholars (Note 2)	\$91	\$91	N/A	\$91	\$91
<i>Full-Time 12-16 Hours (Semester)</i>					
Resident, Ohio Reciprocity, Indiana Rate	\$5,104	\$5,104	\$10,208	N/A	N/A
Metro (returning)	\$7,724	\$7,724	\$15,448	N/A	N/A
Nonresident	\$10,232	\$10,232	\$20,464	N/A	N/A
<i>Graduate/Doctorate - Per Credit Hour</i>					
Resident	\$550	\$550	N/A	\$550	\$550
Ohio/Indiana	\$670	\$670	N/A	\$670	\$670
Nonresident	\$845	\$845	N/A	\$845	\$845
Nonresident & Resident - Online	\$653	\$653	N/A	\$653	\$653
<i>College of Informatics - Per Credit Hour</i>					
Master of Science in Health Informatics - accelerated online	\$520	\$520	N/A	\$520	\$520
Master of Science in Cybersecurity - accelerated online	\$460	\$460	N/A	\$460	\$460
<i>Master of Education - Per Credit Hour</i>					
Master of Arts in Education - in person	\$400	\$400	N/A	\$400	\$400
Master of Arts in Education - accelerated online	\$400	\$400	N/A	\$400	\$400
Alternative Certification in Special Ed - accelerated online	\$400	\$400	N/A	\$400	\$400
Master of Arts in Teaching - accelerated online	\$400	\$400	N/A	\$400	\$400
<i>Education Post Masters - Per Credit Hour</i>					
Education Specialist T&L - accelerated online	\$400	\$400	N/A	\$400	\$400
Education Specialist - other than accelerated online	\$400	\$400	N/A	\$400	\$400
Graduate Certifications/Rank 1	\$400	\$400	N/A	\$400	\$400
<i>Education Doctorate- Per Credit Hour</i>					
Resident	\$684	\$684	N/A	\$684	\$684
Ohio/Indiana	\$803	\$803	N/A	\$803	\$803
Nonresident	\$998	\$998	N/A	\$998	\$998
<i>Business Graduate - Per Credit Hour</i>					
Resident	\$565	\$565	N/A	\$565	\$565
Ohio/Indiana	\$664	\$664	N/A	\$664	\$664
Nonresident	\$921	\$921	N/A	\$921	\$921
Master of Business - accelerated online	\$460	\$460	N/A	\$460	\$460
Master of Accountancy - accelerated online	\$490	\$490	N/A	\$490	\$490
Face to Face Master of Accountancy - Resident	\$510	\$510	N/A	\$510	\$510
Face to Face Master of Accountancy - Ohio/Indiana	\$599	\$599	N/A	\$599	\$599
Face to Face Master of Accountancy - Nonresident	\$832	\$832	N/A	\$832	\$832
Master of Business in Leadership & Innovation - MBLI (Note 3)	N/A	N/A	\$28,950	N/A	N/A
MBA International Partnership (Note 4)	N/A	N/A	\$10,000	N/A	N/A

**Proposed 2022-23 Tuition and Mandatory Fee Charges  
Northern Kentucky University**

Category-Tuition	Fall 2022	Spring 2023	Annual 2022-23	Summer 2023	Winter 2022
<i>College of Health and Human Services (Nursing Grad Cohort Programs) - Per Credit Hour</i>					
Doctor of Nursing Practice (DNP) - accelerated online	\$570	\$570	N/A	\$570	\$570
DNP - Post Masters - in person	\$673	\$673	N/A	\$673	\$673
DNP - Nursing Anesthesia (resident)	\$746	\$746	N/A	\$746	\$746
DNP - Nursing Anesthesia (non-resident)	\$954	\$954	N/A	\$954	\$954
DNP - Nurse Anesthesia Post Masters - in person	\$673	\$673	N/A	\$673	\$673
Master of Science in Nursing (MSN) - accelerated	\$550	\$550	N/A	\$550	\$550
Master of Science in Nursing (MSN) - in person	\$645	\$645	N/A	\$645	\$645
MS - Health Science - accelerated online	\$520	\$520	N/A	\$520	\$520
MS - Health Administration - accelerated online	\$520	\$520	N/A	\$520	\$520
Master of Science in Exercise Science - in person	\$450	\$450	N/A	\$450	\$450
Master of Science in Athletic Training (resident)	\$450	\$450	N/A	\$450	\$450
Master of Science in Athletic Training (non-resident)	\$550	\$550	N/A	\$550	\$550
Master of Social Work - resident	\$613	\$613	N/A	\$613	\$613
Master of Social Work - graduate metro	\$630	\$630	N/A	\$630	\$630
Master of Social Work - non-resident	\$943	\$943	N/A	\$943	\$943
Master of Social Work - accelerated online	\$599	\$599	N/A	\$599	\$599
Occupational Therapy Doctorate	\$845	\$845	N/A	\$845	\$845
MA School Counseling and/or MS Clinical Mental Health Counseling (resident)	\$613	\$613	N/A	\$613	\$613
MA School Counseling and/or MS Clinical Mental Health Counseling (non-resident)	\$943	\$943	N/A	\$943	\$943
MA School Counseling and/or MS Clinical Mental Health Counseling (graduate metro)	\$630	\$630	N/A	\$630	\$630
Graduate Certificate in Healthcare Commercialization	\$550	\$550	N/A	\$550	\$550
Cardiovascular Perfusion	\$850	\$850	N/A	\$850	\$850
<i>Chase College of Law- Per Credit Hour</i>					
Resident					
Full-time (13 - 16 credit hours)	\$888	\$888	N/A	\$888	\$888
Nonresident	\$1,436	\$1,436	N/A	\$1,436	\$1,436
Resident by year	N/A	N/A	\$23,088	N/A	N/A
Non-resident by year	N/A	N/A	\$37,336	N/A	N/A
MLS - accelerated online	\$653	\$653	N/A	\$653	\$653
MLS - in person	\$854	\$854	N/A	\$854	\$854
Program Rate					
LLM - Master in Law for International Students (Note 5)	N/A	N/A	\$30,108	N/A	N/A
<i>Mandatory Fee (Special Use) Campus Recreation</i>					
Per credit hour - caps at 12 hrs/semester (\$192)	\$192	\$192	N/A	\$192	\$192

**Note 1:** Rates for students enrolled through Academic Partnerships agreement.

**Note 2:** Rate for high school students taking an NKU college course. Rate is the ceiling set by the Kentucky Higher Education Assistance Authority to participate in the Dual Credit Scholarship program.

**Note 3:** Master of Business in Leadership and Innovation (MBLI) is a 2-year program.

**Note 4:** Program rate for NKU MBA in partnership with Indian universities. Courses will be jointly taught by NKU and Indian partner faculty.

**Note 5:** LLM (master in law for international students) is a one-year program.

**Estimated 2022-23 Gross Tuition and Mandatory Fee Revenue  
Northern Kentucky University**

<i>Category - Tuition</i>	Estimated 2021-22	Estimated 2022-23
<i>Undergraduate</i>		
Resident	62,581,366	65,562,706
Nonresident	48,413,563	48,797,969
<i>Graduate</i>		
Resident	31,370,542	31,280,287
Nonresident	27,675,718	23,281,749
<i>Total Gross Tuition</i>	170,041,189	168,922,711
<i>Category - Mandatory Fee</i>		
Special Use Fee (Campus Rec Fee)	4,909,107	4,659,500
<b>Total</b>	<b>\$ 174,950,296</b>	<b>\$ 173,582,211</b>

**ATTACHMENT O**

**Proposed 2022-23 Tuition and Mandatory Fee Charges  
Western Kentucky University**

<i>Category</i>	Fall 2022	Spring 2023	Annual 2022-23	Winter & Summer 2023
<i>Undergraduate</i>				
Resident				
Full-time (12-18 credit hours)	5,556	5,556	11,112	463
Military at Resident Rate	5,556	5,556	11,112	
Part-time (Per Credit Hour)	463	463		463
Dual Credit (Per Credit Hour)	91	91		
Nonresident				
Full-time (12-18 credit hours)	13,500	13,500	27,000	607
Full-time - Tuition Incentive Program (12-18 credit hours)	7,068	7,068	14,136	
Part-time (Per Credit Hour)	1,125	1,125		1,125
Part-time - Tuition Incentive Program (Per Credit Hour)	589	589		589
Part-time - Distance Learning (Online Courses)	551	551		551
International				
Full-time (12-18 credit hours)	13,824	13,824	27,648	
Part-time (Per Credit Hour)	1,152	1,152		1,152
<i>Graduate</i>				
Resident				
Per Credit Hour	607	607	607	607
Military at Resident Rate	607	607	607	607
Kentucky P-12 Educator	350	350	350	350
Nonresident				
Domestic Per Credit Hour	917	917	917	917
International Per Credit Hour	953	953	953	953
Distance Learning (Online Courses)	707	707	707	707
Doctorate, Nurse Practitioner				
Resident (Per Credit Hour)	663	663	663	663
Nonresident (Per Credit Hour)	858	858	858	858
Doctorate, Physical Therapy				
Resident (Per Credit Hour)	643	643	643	643
Nonresident (Per Credit Hour)	909	909	909	909
Professional MBA (Per 6 credit hours)				
Active Military (Per Credit Hour)	250	250	250	250
<b>Included in the above:</b>				
Special Use Fee (FT)	100	100	200	
Special Use Fee (PT) pro-rated by credit hour	10	10	10	10

## ATTACHMENT P

### Estimated 2022-23 Gross Tuition and Mandatory Fee Revenue Western Kentucky University

<u>Category</u>	<u>Estimated 2021-22</u>	<u>Estimated 2022-23</u>
<i>Undergraduate</i>		
Resident	\$ 91,000,500	\$ 92,000,300
Nonresident	43,500,000	42,500,000
Online	19,000,000	20,000,000
<i>Graduate (incl practice based doctoral)</i>		
Resident	5,500,000	5,000,000
Nonresident	4,300,000	4,193,500
Online	4,352,000	4,352,000
<i>Student Athletics Fee</i>	5,100,000	5,000,000
<i>Centers Fee (Auxiliary Enterprises)</i>	1,375,000	1,351,900
<i>Special Use Fees</i>	2,185,000	2,150,000
<b>Total</b>	<b><u>\$ 176,312,500</u></b>	<b><u>\$ 176,547,700</u></b>

**TITLE:** 2022-24 Asset Preservation Pool Guidelines and Delegation of Authority for Capital Project Approval

**DESCRIPTION:** The Finance Committee recommends the Council approve the *2022-24 Asset Preservation Pool Guidelines* and delegate to staff the authority to approve capital projects funded from the pool.

**PRESENTER:** Lucas Mentzer, Chair of the Finance Committee

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### **COMMITTEE REVIEW & APPROVAL**

The Finance Committee reviewed and approved this action item at its June 14, 2022, meeting.

### **SUPPORTING INFORMATION**

As part of its 2022-24 budget recommendation, the Council on Postsecondary Education requested \$700.0 million in state funds to address a projected \$7.3 billion collective need for renovation, renewal, and preservation of Education and General facilities at Kentucky colleges and universities. The Governor and General Assembly supported the request and the enacted Budget of the Commonwealth (22 RS, HB 1) authorized \$683.5 million in General Fund supported bond funds for a Postsecondary Education Asset Preservation Pool to provide funding for individual asset preservation, renovation, and maintenance projects at Kentucky public postsecondary institutions.

In addition to funds appropriated to the Asset Preservation Pool, the enacted budget authorized \$16.5 million for a stand-alone preservation project at KCTCS. Combined, the \$683.5 million pool and \$16.5 million KCTCS project total \$700.0 million, the amount of asset preservation funding requested in the Council's biennial budget submission.

The \$683.5 million appropriated to the Asset Preservation Pool was allocated among institutions based on each institution's share of system total Category I and II square feet. Pool funds were allocated to institutions in both years of the biennium, with each institution receiving an appropriation for half (50%) of its allocation in 2022-23 and receiving an appropriation for the other half (50%) of its allocation in 2023-24. A table

showing the allocation of pool funds among institutions can be found on page 2 of the attached *2022-24 Asset Preservation Pool Guidelines*.

Included in the enacted budget (22 RS, HB 1) is language, specifying campus matching requirements for accessing allocated Asset Preservation Pool funds:

- each project for research institutions shall be matched at 30 percent from funds provided by each research institution, and
- each project for comprehensive institutions and the Kentucky Community and Technical College System shall be matched at 15 percent from funds provided by each comprehensive institution and the Kentucky Community and Technical College System (pages 167-168).

The General Assembly operationalized these requirements by requiring research universities to spend 30 cents for every state dollar used to complete an individual asset preservation project and by requiring the comprehensive universities and KCTCS to spend 15 cents for every state dollar used to complete an individual asset preservation project.

In addition to specifying the amount of bond funds appropriated to each institution, the budget bill (22 RS, HB 1) identifies the amount of agency bond fund authority provided to each university and the amount of restricted funds authority provided to KCTCS to meet state matching requirements. A table showing the agency bond and restricted funds authority provided to the universities and KCTCS each year of the upcoming biennium can be found on page 3 of the attached guidelines. Although universities are authorized to issue agency bonds to finance asset preservation projects, they can also use cash, private funds, grants, or other institutional funds to achieve the match.

Language included in the budget bill (22 RS, HB 1) indicates that Asset Preservation Pool funds “are provided for individual asset preservation, renovation, and maintenance projects at Kentucky’s public postsecondary institutions in Education, General, and state-owned and operated residential housing facilities” (p.167). Using this language, CPE staff worked with campus officials to identify eligible uses of pool funds. Projects that preserve, renovate, or renew E&G facilities or state-owned and operated residential housing facilities will be eligible to receive funds from the Asset Preservation Pool.

Staff also worked with campus officials to develop a process for identifying eligible projects, certifying expenditures, and requesting reimbursement from the Office of State Budget Director. This process is described in the Project Identification, Expenditure Certification, and Reimbursement Process sections of the attached guidelines. It has been reviewed and is supported by CPE staff, campus officials, and OSBD staff.



The enacted state budget (22 RS, HB 1) contains language stating that capital projects, as defined in KRS 45.750(1)(f), which are funded from the Asset Preservation Pool or from a combination of pool and campus matching funds, are authorized. This means capital projects funded from the pool that meet or exceed a \$1.0 million threshold for construction or a \$200,000 threshold for an item of equipment already have approval from the General Assembly. For this reason and to expedite the reimbursement request process, it is recommended that the Council delegate authority for interim capital project approval to staff, for projects funded from the Asset Preservation Pool.

The budget bill (22 RS, HB 1) requires institutions to report capital projects funded from the pool (i.e., those that meet or exceed the \$1.0 million threshold for construction and the \$200,000 threshold for an item of equipment) to the Capital Projects and Bond Oversight Committee. Campus officials should report such projects as they become active and include the projects in quarterly reports to committee.

Staff will provide the Council with periodic updates regarding the status of Asset Preservation Pool distributions and campus matching funds by project and institution. It is anticipated that much of the information for these updates will come from project identification templates and certification letters submitted by institutions as part of the reimbursement request process. Staff will work with campus officials to develop the format of reports provided to the Council.

# Council on Postsecondary Education

## 2022-24 Asset Preservation Pool Guidelines

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### Introduction

In 2005, the Council on Postsecondary Education (CPE) and Kentucky colleges and universities contracted with Vanderweil Facilities Advisors, Inc. (VFA), Paulien & Associates, and NCHEMS to conduct a comprehensive review and assessment of the state's public postsecondary facilities. Evaluators took more than a year and examined over 700 Education and General (E&G) facilities located on college and university campuses across the system and concluded in early 2007 that Kentucky's facilities inventory was in relatively poor condition compared to industry standards.

Most buildings at the time were over 30 years old and their condition and utility was consistent with their age. Heating, ventilation, and air conditioning (HVAC) systems, plumbing, and electrical wiring in many buildings had far exceeded their useful life expectancies and many buildings no longer adequately supported the academic programming for which they were originally intended. Overall, evaluators identified a cumulative amount of \$6.1 billion in asset preservation needs for the postsecondary system, projected to come due by 2018 (*Facility Condition Assessment & Space Study*, VFA, 2007).

During the six years following the VFA study, a combination of factors, including a growing inventory of aging facilities, infrastructure, and systems in need of renovation and renewal, increasing construction costs, and minimal state investment in asset preservation resulted in more than a \$1.0 billion increase in asset preservation need. In a 2013 update to the original VFA Study, researchers found that the cumulative cost of bringing the state's postsecondary education facilities up to industry standards was projected to reach to \$7.3 billion by 2021.

Every biennium since 2008, the Council has included a relatively large request for asset preservation in its biennial budget recommendation. Between 2008 and 2022, the state appropriated \$282.0 million for asset preservation projects. For 2022-2024, the Council requested \$700.0 million in state funding to address the estimated \$7.3 billion cumulative need for asset preservation and renovation on state college and university campuses. The Governor and General Assembly supported this request and the 2022-2024 state budget (22 RS, HB 1) funded the Council's proposal in its entirety.

### Program Funding

In the *2022-2024 Budget of the Commonwealth* (22 RS, HB 1), the Kentucky General Assembly authorized \$683.5 million in General Fund supported bond funds for a Postsecondary Education

Asset Preservation Pool to provide funding for individual asset preservation, renovation, and maintenance projects at Kentucky public postsecondary institutions. In addition to funds appropriated to the pool, the budget bill appropriated \$16.5 million for a stand-alone asset preservation project at KCTCS. Combined, the \$683.5 million pool and the line-itemed \$16.5 million KCTCS project total \$700.0 million, which was the amount of asset preservation funding requested in the Council’s biennial budget submission.

## Allocation of Funds

The \$683.5 million Asset Preservation Pool was allocated among institutions based on each institution’s share of system total Category I and II square feet. Allocated pool funds were appropriated to institutions in both years of the biennium, with each institution receiving an appropriation for half (50%) of its allocation in 2022-23 and receiving an appropriation for the other half (50%) of its allocation in 2023-24. The resulting allocation of Asset Preservation Pool funds is shown in the table below.

Asset Preservation Pool Allocations

Institution	Fiscal Year 2022-23	Fiscal Year 2023-24	Biennial Total
University of Kentucky	\$77,098,000	\$77,098,000	\$154,196,000
University of Louisville	40,943,000	40,943,000	81,886,000
Eastern Kentucky University	27,403,000	27,403,000	54,806,000
Kentucky State University	8,039,000	8,039,000	16,078,000
Morehead State University	17,611,000	17,611,000	35,222,000
Murray State University	23,588,000	23,588,000	47,176,000
Northern Kentucky University	23,397,000	23,397,000	46,794,000
Western Kentucky University	34,040,000	34,040,000	68,080,000
KCTCS	89,631,000	89,631,000	179,262,000
Total Appropriation	\$341,750,000	\$341,750,000	\$683,500,000

In addition to the \$683.5 million appropriated to the Asset Preservation Pool, the General Assembly authorized a stand-alone asset preservation project for \$16.5 million at KCTCS. This bond funded project was appropriated as a line-item for KCTCS and is not included in the Asset Preservation Pool. For this reason, it is not subject to campus matching requirements.

## Matching Requirements

Included in the 2022-2024 budget bill (HB 1) is language, specifying institutional matching requirements for accessing allocated Asset Preservation Pool funds:

- each project for research institutions shall be matched at 30 percent from funds provided by each research institution, and

- each project for comprehensive institutions and the Kentucky Community and Technical College System shall be matched at 15 percent from funds provided by each comprehensive institution and the Kentucky Community and Technical College System (pages 167-168).

Also included in the budget bill is the amount of state bond funds appropriated to each institution for asset preservation, along with the amount of agency bond or restricted funds authority provided to each institution to meet state matching requirements. Although universities are authorized to issue agency bonds to finance asset preservation projects and meet matching requirements, they can also use cash, private funds, grants, or other institutional funds to achieve the required match.

For KCTCS, the General Assembly provided a restricted fund appropriation (i.e., authority for the institution to use its own resources) each year of the upcoming biennium to meet its required match. The table below shows the amount of agency bond authority provided to each university and the amount of restricted funds authority provided to KCTCS each year of the upcoming biennium, which can be used to meet the match on Asset Preservation Pool funds.

As can be seen in the table below, the General Assembly operationalized the Asset Preservation Pool matching requirement by requiring research universities to spend thirty cents (\$0.30) for every state dollar (\$1.00) used to complete an individual asset preservation project and by requiring the comprehensive universities and KCTCS to spend fifteen cents (\$0.15) for every state dollar (\$1.00) used to complete an individual asset preservation project.

#### Agency Bond and Restricted Funds Authority

Institution	Fiscal Year 2022-23	Fiscal Year 2023-24	Biennial Total
University of Kentucky	\$23,130,000	\$23,130,000	\$46,260,000
University of Louisville	12,283,000	12,283,000	24,566,000
Eastern Kentucky University	4,111,000	4,111,000	8,222,000
Kentucky State University	1,206,000	1,206,000	2,412,000
Morehead State University	2,642,000	2,642,000	5,284,000
Murray State University	3,539,000	3,539,000	7,078,000
Northern Kentucky University	3,510,000	3,510,000	7,020,000
Western Kentucky University	5,106,000	5,106,000	10,212,000
KCTCS	13,445,000	13,445,000	26,890,000
Total Matching Funds	\$68,972,000	\$68,972,000	\$137,944,000

These ratios, when applied using an expenditure and reimbursement approach for accessing pool funds (i.e., described in the Reimbursement Process section of these guidelines) result in campus matching fund rates of 23.08% (i.e.,  $30/130 = .2308$ ) at the research universities and 13.04% ( $15/115 = .1304$ ) at comprehensive universities and KCTCS. In addition, the match ratios

result in state reimbursement rates of 76.92% (i.e.,  $1 - .2308 = .7692$ ) and 86.96% (i.e.,  $1 - .1304 = .8696$ ), respectively, which are the reciprocals of campus matching rates.

## Uses of Funds

Language included in the budget bill (22 RS, HB 1) stipulates that Asset Preservation Pool funds are to be used for individual asset preservation, renovation, and maintenance projects at Kentucky's public postsecondary institutions in Education and General, and state-owned and operated residential housing facilities.

## Eligibility Criteria

In order for an asset preservation project and related expenditures to be eligible for reimbursement, the following criteria must be met:

- Projects that preserve, renovate, or renew Education and General facilities are eligible to receive funds from the Asset Preservation Pool.
- Projects that preserve, renovate, or renew state-owned and operated residential housing facilities are eligible to receive funds from the Asset Preservation Pool. Housing facilities owned and operated by a university, or its affiliated corporations are state-owned.
- For the purposes of these guidelines, "facilities" includes buildings, building systems, and campus infrastructure, such as roads, walkways, electrical grids, steam tunnels, and water chiller plants, that support current and ongoing use of eligible facilities.
- Projects that renovate or renew non-Education and General athletics facilities, hospitals, or auxiliary enterprise facilities are not eligible to receive funds from the pool.
- Only project expenditures made after April 15, 2022, can be used to meet state matching requirements.
- Sources of campus matching funds for a project must be cash, agency bonds, private funds, grants, or other institutional funds. General Fund appropriations cannot be used as a match.
- New construction and expansion projects are not eligible to receive funds from the Asset Preservation Pool. However, if renovation costs exceed the total cost of demolition and replacement, asset preservation funds may be used for demolition and reconstruction.
- Routine maintenance and repair projects and ongoing building maintenance and operations (M&O) costs, typically funded through an institution's operating budget, are not eligible to receive funds from the Asset Preservation Pool.

## Project Approval

Generally, a number of boards, agencies, and committees are involved in the postsecondary institution capital project approval process in Kentucky, including campus governing boards, the Council on Postsecondary Education, Capital Projects and Bond Oversight Committee, the Office of State Budget Director (OSBD), and the Kentucky General Assembly. Identified below are actions that each of these entities either have taken or will undertake in the review, approval, and oversight of projects funded from the Asset Preservation Pool.

- Asset preservation, renovation, and maintenance projects that are listed in the *2022-2024 Budget of the Commonwealth* (22 RS, HB 1) are authorized by the General Assembly.
- If an asset preservation project is not specifically listed in the enacted budget, language included in the bill authorizes capital projects, as defined in KRS 45.750(1)(f), funded from the Asset Preservation Pool (22 RS, HB 1, p. 168).
- Capital projects funded from the pool that meet or exceed the \$1.0 million threshold for construction or the \$200,000 threshold for an item of equipment (defined in KRS 45.750) must be reported to the Capital Projects and Bond Oversight Committee.
- All asset preservation, renovation, and maintenance projects that were identified by an institution and included in the Council's 2022-2024 biennial budget submission already have CPE approval.
- Previously unidentified projects above the threshold (i.e., those that were not listed in the Council's budget submission) require Council approval. Given that the General Assembly has authorized capital projects (i.e., those that exceed the threshold) from Asset Preservation Pool funds in HB 1, CPE staff will recommend that the Council delegate authority to staff to approve asset preservation and renovation capital projects.
- Asset preservation projects that fall below the threshold do not require Council approval, however, CPE staff will review all planned projects and certify that they meet eligibility criteria to receive Asset Preservation Pool funds.
- CPE staff will also review campus reimbursement requests and certify to OSBD that they comply with budget bill language (HB 1) and Council approved guidelines.
- Once CPE has signed off on a reimbursement request, OSBD staff will initiate the transfer of funds using the existing reimbursement process for capital projects.
- Regardless of funding source, campus governing board approval is required for all projects funded from the Asset Preservation Pool that meet or exceed an anticipated scope of \$1.0 million for construction and \$200,000 for an item of equipment.

## Reimbursement Process

Asset Preservation Pool funds will be distributed to postsecondary institutions primarily using an expenditure and reimbursement approach. Specifically, an institution will be required to

expend its own agency bond funds, cash, private funds, grants, or other institutional funds on eligible asset preservation projects before seeking reimbursement from the state. Under this approach, the state will reimburse 76.92% (i.e.,  $1.0 - 0.2308$ ) of eligible asset preservation project expenditures at research universities (i.e., or about \$0.77 for every \$1.00 spent) and 86.96% (i.e.,  $1.0 - 0.1304$ ) of eligible asset preservation project expenditures at comprehensive universities and KCTCS (i.e., or about \$0.87 for every \$1.00 spent), up to the total amount of Asset Preservation Pool funds allocated to each institution.

A different process will be used for asset preservation project expenditures made between April 15, 2022 and June 30, 2022. Although campus spending during this period can be used to match Asset Preservation Pool funds, only the amount spent up to the level of the required match on each individual project is eligible for that purpose. For example, if a research university initiates a \$1.3 million project after April 15 and spends \$300,000 on the project before July 1, then the entirety of that expenditure can be used as a match. Upon project completion, if \$1.3 million in total was spent on the project, the institution would be able to request \$1.0 million in state funds (or one state dollar for every thirty cents in campus match). However, in this example, any expenditure made above \$300,000 between April 15 and June 30 would not count toward the match or be eligible to be used as a match for another project, due to the “individual project” language included in the budget bill.

## **Project Identification**

Before seeking reimbursement for asset preservation project expenditures, each institution will submit to the Council a list (or several lists) of projects that it plans to initiate, or already has initiated, for which it intends to request funding from the Asset Preservation Pool. CPE staff will work with campus officials to develop a Project Identification Template for submitting project lists, which will include a unique identifier, title, and description for each individual project, building numbers and building names associated with each project, the anticipated scope, state funds, and campus matching funds for each project, sources of matching funds, and anticipated start and completion dates for each project. Listed below is additional information regarding the project identification process.

- Each institution will be allowed flexibility to submit a single list (or several lists) to CPE, identifying planned asset preservation projects, with the total combined scope of the projects not to exceed its Asset Preservation Pool allocation.
- Project lists can be adjusted as needed. An additional project or projects can be added at a later time, or a project or projects can be removed from the list.
- The timing for submitting a project list to the Council is at the institution’s discretion, however it may be helpful for an institution to know whether projects are eligible early in the process. At a minimum, project identification must precede reimbursement requests.

- Projects do not need to reach a given cost threshold to be eligible for Asset Preservation Pool funding, but all planned projects and related buildings must be identified and submitted to the Council, and the required campus match must be maintained on each individual project.
- For the purposes of these guidelines, an individual project can either be one type of renovation or renewal activity undertaken in a single building or across several buildings, or multiple types of renovation and renewal activities within a single building. Roof replacement, HVAC and mechanical systems, plumbing, and electrical wiring are examples of renovation and renewal activity types.
- To qualify as an individual project, the project must be bid and awarded as a complete project and be overseen and administered by a single prime or general contractor or be completed pursuant to another delivery method as allowed by statute (KRS 45A), such as employing the construction management-at-risk (CMR) method.
- As indicated in the Project Approval section of these guidelines, projects that meet or exceed the \$1.0 million threshold for construction and the \$200,000 threshold for an item of equipment must be approved by an institution's governing board.
- Before seeking reimbursement, each institution must submit documentation of board approval to the Council for each project that meets or exceeds the threshold.
- During the project identification phase, CPE staff will review project lists and certify to submitting institutions that the projects are eligible for reimbursement from the Asset Preservation Pool.

## **Expenditure Certification**

As institutions incur expenses on eligible asset preservation, renovation, and maintenance projects, they can submit requests for reimbursement to the Council on Postsecondary Education (CPE) and Office of State Budget Director (OSBD), which will include a certification letter with expenditures listed by project. CPE staff will review the requests, verify that the projects and related expenditures meet guideline requirements, and notify OSBD staff that project expenditures are eligible to be reimbursed.

Once campus spending has been certified, OSBD staff will transfer funds to a requesting institution's 2022-2024 Capital Projects Pool account and then institutions can request Statewide Accounting to wire them the funds. If an institution is using agency bond funds to finance a project (or projects), it will also need to request those funds in the reimbursement letter. This process is consistent with the existing reimbursement process for capital projects that use agency bond funds. Listed below is additional information regarding the expenditure certification process.

- Requests for reimbursement of asset preservation expenditures will be submitted to both CPE and OSBD staffs.



- Request submissions will include a certification letter with expenditures listed by project.
- In the certification letter, campus officials will indicate that project expenditures are eligible to be reimbursed in accordance with language included in the *2022-2024 Budget of the Commonwealth* (22 RS, HB 1) and the Council’s Asset Preservation Pool Guidelines.
- The letter will identify the total amount of project expenditures made during the request period, state funds requested, and campus matching funds.
- Requests can be submitted on an ongoing basis, as asset preservation expenditures are made. The timing of submissions is flexible, although the Council encourages institutions to accumulate expenditures and submit no more than one request per month.
- CPE staff will review requests and certify to OSBD staff that project expenditures are eligible for reimbursement.
- As indicated in the Reimbursement Process section of these guidelines, the state will reimburse 76.92% of eligible asset preservation project expenditures at the research universities and 86.96% of eligible expenditures at comprehensive universities and KCTCS.
- In terms of reimbursement timing, distributions from the Asset Preservation Pool cannot begin until July 1, 2022. However, as indicated in the Reimbursement Process section of these guidelines, some asset preservation expenditures made between April 15, 2022 and June 30, 2022 may count toward an institution’s matching requirement.

The process described above will be different for Kentucky State University and KCTCS. Capital projects at these institutions are administered by the Finance Cabinet. Instead of submitting reimbursement requests to CPE and OSBD, KSU and KCTCS will deposit campus matching funds into project specific eMars accounts and the Finance Cabinet will expend state and campus matching funds for requested projects.

## Reporting

The *2022-2024 Budget of the Commonwealth* (22 RS, HB 1, p. 168) requires postsecondary institutions to report capital projects funded from the Asset Preservation Pool that meet or exceed the \$1.0 million threshold for construction and the \$200,000 threshold for an item of equipment (as defined in KRS 45.750) to the Capital Projects and Bond Oversight Committee (CPBOC). Campus officials should report such projects to CPBOC as they become active (i.e., after project bids are received or after projects are approved by campus governing boards) and begin including the projects in their quarterly reports to CPBOC.

Since capital projects at Kentucky State University and KCTCS are administered by the Finance Cabinet, the existing process will remain in place, whereby cabinet staff will report capital projects funded from the Asset Preservation Pool for these institutions to CPBOC upon request of the institution.

CPE staff will provide the Council on Postsecondary Education with periodic updates regarding the status of Asset Preservation Pool distributions and campus matching funds by project and institution. Much of the information for these updates will come from Project Identification Templates and certification letters previously submitted by institutions. Staff will work with campus officials to develop the format of asset preservation reports provided to the Council.

**TITLE:** 2022-24 Endowment Match Program Guidelines

**DESCRIPTION:** The Finance Committee recommends the Council approve the *2022-24 Endowment Match Program Guidelines*.

**PRESENTER:** Lucas Mentzer, Chair of the Finance Committee

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### **COMMITTEE REVIEW & APPROVAL**

The Finance Committee reviewed and approved this action item at its June 14, 2022, meeting.

### **SUPPORTING INFORMATION**

As part of its 2022-24 budget submission, the Council on Postsecondary Education recommended \$30.0 million in 2022-23 and another \$30.0 million in 2023-24 to support a fifth round of funding for the Bucks for Brains program. If authorized, these funds would have been allocated \$50.0 million to the Research Challenge Trust Fund (RCTF) for the research universities and \$10.0 million to the Comprehensive University Excellence Trust Fund (CUETF) for the comprehensive universities. Both trust funds were created with passage of the Postsecondary Education Improvement Act of 1997.

The Bucks for Brains program is frequently referred to as the Endowment Match Program due to an inherent dollar-for-dollar matching feature. The program matches public dollars with private donations to encourage research at the University of Kentucky and the University of Louisville, and to strengthen key programs at Kentucky's comprehensive universities. All funds, both public and private, are typically endowed, with investment proceeds used to provide a perpetual source of funding for research related activities.

In the 2022-2024 Budget of the Commonwealth (22 RS, HB 1), the General Assembly authorized \$40.0 million in state bond funds for Bucks for Brains, with \$30.0 million of that amount appropriated to the RCTF and \$10.0 million allocated to the CUETF. In accordance with statutes governing the trust funds (KRS 164.7917 and KRS 164.7919, respectively), the appropriation to the RCTF will be allocated two-thirds to the University of Kentucky (i.e., \$20.0 million) and one-third to the University of Louisville (i.e., \$10.0 million). The appropriation to the CUETF will be allocated among the comprehensive

universities based on each institution's share of sector total General Fund, excluding debt service and specialized, noninstructional appropriations (i.e., mandated programs).

Tables showing the allocation of \$30.0 million between the research universities and the allocation of \$10.0 million among the comprehensive universities can be found on page 2 of the attached 2022-24 Endowment Match Program Guidelines. A unique feature of the trust funds is that appropriated funds do not lapse at the end of the year but are available until accessed by institutions. As such, these funds will remain in the trust funds until matched and distributed.

The Endowment Match Program was conceived as a way to bring new money from external sources into the Commonwealth's system of postsecondary education. In order to access state funds, the universities must document that they have dollar-for-dollar matching funds that are newly generated (i.e., received after November 15, 2021) from external sources, such as businesses, non-governmental foundations, hospitals, corporations, and alumni or other individuals. All funds, both state and private source funds, must be endowed.

Proceeds from endowments can be used to support endowed chairs, professorships, and research scholars, research staffs and infrastructure, graduate fellowships and undergraduate scholarships, and mission support activities as permitted in Council guidelines. At the research universities, at least 70 percent of program funds must be endowed for the purpose of supporting chairs, professorships, or research scholars, or research staff and infrastructure that directly support the research activities of an endowed chair, professor, or research scholar. No more than 30 percent of program funds may be endowed for the purpose of supporting mission support activities or graduate fellowships.

At the comprehensive universities, at least 50 percent of program funds must be endowed for the purpose of supporting chairs or professorships, or research staff and infrastructure that directly support the research activities of an endowed chair or professor. No more than 50 percent of program funds may be endowed for the purpose of supporting mission support activities or graduate fellowships or undergraduate scholarships.

Language included in the enacted budget (22 RS, HB 1) requires that program funds "support efforts to grow endowments for initiatives in the fields of science, technology, engineering, mathematics, and health" (p.168). Toward that end, the guidelines stipulate that at both the research and comprehensive universities 100 percent of program funds must be endowed to support initiatives in STEM+H fields. A copy of the Council's official STEM+H Classification of Instructional Program (CIP) list is available upon request.

The Council on Postsecondary Education has statutory authority to determine the criteria and process by which public universities may submit an application to access RCTF appropriations (KRS 164.7917) and CUETF appropriations (KRS 164.7919). For 2022-23, CPE staff worked collaboratively with campus officials to review a previous set of guidelines (i.e., 2008-10) and identify any proposed changes for the upcoming year.

The only significant change in the 2022-24 iteration of the guidelines was eliminating the option to use program funds to finance capital projects. Language had been included in the enacted 2008-10 Budget of the Commonwealth (HB 406) that provided the research institutions flexibility “to address research related capital needs through the Research Challenge Trust Fund” and stated that a comprehensive institution “may fund a capital project with CUETF bond funds, if the board of regents of the institution authorizes the use of funds for that purpose.” No such language was included in 2022-24 budget bill (HB 1) and stakeholders agreed to exclude capital projects from eligible uses of funds. No other substantive changes were made to either the uses of program funds or areas of concentration sections of the guidelines, or to the processes for request submission, approval, and distribution of program funds. CPE staff and campus officials support the 2022-24 guidelines as presented.

Institutions will provide a report to the Council by November 1 every odd numbered year (i.e., coinciding with the Council’s biennial budget submission), documenting how state and campus matching funds were used. The institutions will also continue to provide FD-21 reports as part of their annual Comprehensive Database submissions to the Council. CPE staff will work with campus officials to identify any additional information that should be included in reports provided to the Council.

# Council on Postsecondary Education

## 2022-24 Endowment Match Program Guidelines

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### Introduction

Kentucky recognizes the importance of research to the economic well being of its citizens. The Endowment Match Program encourages private investment in public higher education research activities to stimulate business development, generate increases in externally sponsored research, create better jobs and a higher standard of living, and facilitate Kentucky's transition to a knowledge-based economy. The program matches public money with private gifts to fund chairs, professorships, research scholars, staffs and infrastructure, fellowships and scholarships, and mission support at the public universities. This collaborative approach is critical to advancing Kentucky's research presence into national prominence.

### Program Funding

State funding for the Endowment Match Program is appropriated to the Research Challenge Trust Fund (RCTF) for the research universities and to the Comprehensive University Excellence Trust Fund (CUETF) for the comprehensive institutions. Both trust funds were created with passage of the Postsecondary Education Improvement Act of 1997 (HB 1).

Prior to 2022, there were four rounds of funding for the Endowment Match Program. In fiscal year 1998-99, the program received surplus General Fund appropriations of \$110 million (i.e., \$100 million for the research universities and \$10 million for comprehensive institutions). In 2000-01, the General Assembly authorized \$120 million for the program (i.e., \$100 million for the RCTF and \$20 million for the CUETF). The legislature debt financed another \$120 million for the program in 2003-04 (i.e., \$100 million for the RCTF and \$20 million for the CUETF). Finally, the General Assembly authorized \$60 million in state supported bond funds for the program in 2008-09, with \$50 million appropriated to the research universities and \$10 million appropriated to the comprehensive universities.

For the upcoming 2022-2024 biennium, the General Assembly authorized \$40 million in bond funds for the Bucks for Brains program, with \$30 million appropriated to the Research Challenge Trust Fund and \$10 million appropriated to the Comprehensive University Excellence Trust Fund (22 RS, HB 1).

## Program Administration

The Council on Postsecondary Education oversees and administers the Endowment Match Program. The Council establishes areas of concentration within which program funds are used, develops guidelines for the distribution of program funds, and reviews reports from the institutions on uses of program funds and results achieved.

The boards of trustees and boards of regents of the Commonwealth’s public universities are responsible for the Endowment Match Program on their respective campuses. The governing boards are required by Council guidelines to review and approve all donations, gifts, and pledges that will be matched with state funds and used to establish new endowments or expand existing endowments under the Bucks for Brains program. Furthermore, the boards are charged with ensuring that the purposes of each endowment and sources of matching funds comply with Council guidelines and serve the public good.

Documentation of board approval must be submitted with each endowment request. In addition, the governing boards are required to review and approve Endowment Match Program reports that are submitted annually to the Council.

## Allocation of Program Funds

In the *2022-2024 Budget of the Commonwealth* (22 RS, HB 1), the General Assembly authorized \$30 million in General Fund supported bond funds in fiscal year 2022-23 for the Research Challenge Trust Fund (RCTF) to support efforts to grow endowments for initiatives in fields of science, technology, engineering, mathematics, and health (i.e., STEM+H fields) at Kentucky public research universities. In accordance with KRS 164.7917, funding appropriated to the RCTF will be allocated two-thirds (2/3) to the University of Kentucky and one-third (1/3) to the University of Louisville, as shown in the table below. These funds will remain in the trust fund until matched and distributed.

University of Kentucky	\$20,000,000
University of Louisville	<u>10,000,000</u>
Total RCTF	\$30,000,000

In that same budget, the General Assembly also authorized \$10 million in state-supported bond funds in 2022-23 for the Comprehensive University Excellence Trust Fund (CUETF) to support endowment growth in STEM+H fields at Kentucky comprehensive universities. In keeping with KRS 164.7919, these funds will be apportioned among the comprehensive universities based on each institution’s share of sector total General Fund appropriations, excluding debt service and

specialized, noninstructional appropriations (i.e., mandated programs). The resulting allocations are shown below. These funds will remain in the trust fund until matched and distributed.

Eastern Kentucky University	\$2,227,800
Kentucky State University	667,800
Morehead State University	1,279,000
Murray State University	1,484,900
Northern Kentucky University	1,864,600
Western Kentucky University	<u>2,475,900</u>
Total CUETF	\$10,000,000

## Matching Requirements

The Endowment Match Program was conceived as a way to bring new money from external sources into the Commonwealth's system of postsecondary education. In order to receive state funds, the universities must provide dollar-for-dollar matching funds that satisfy the following requirements:

- Gifts and pledges must be newly generated to be eligible for state match. Newly generated contributions are those received by the university after November 15, 2021 (i.e., the date of the Council's 2022-2024 biennial budget submission).
- Gifts and pledges must be from external sources to be eligible for state match. External source contributions are those that originate outside the university and its affiliated corporations. Eligible sources of funding include, but are not limited to, businesses, non-governmental foundations, hospitals, corporations, and alumni or other individuals. Funds received from federal, state, and local government sources are not eligible for state match.
- General Fund appropriations and student-derived revenues (e.g., tuition and fees revenue) are not eligible for state match.
- The minimum institutional request amount is \$50,000. A university may combine smaller donations from businesses, nongovernmental foundations, hospitals, corporations, and alumni or other individuals to meet the \$50,000 minimum.
- All funds, both state and private, must be endowed. "Endowed" means that state and donated funds will be held in perpetuity and invested to create income for the institution, with endowment proceeds eligible for expenditure, in accordance with donor restrictions and university endowment spending policies.
- Requests for state funds must identify the matching funds that are cash and the matching funds that are pledges.
- Pledges, or promises of future payment, are eligible for state match provided they are based on a written contract or agreement and include a payment schedule, which does not exceed



five years from the initial pledge date. Pledge payment schedules showing receipts to date and scheduled future payments are to be included in the audited financial statements of either the institution or the foundation.

- If pledged funds are not received within five years of the initial pledge date, the university must replace the portion of private funds not received with another eligible cash gift or the unmatched portion of the state funds plus an allowance for accrued interest will revert to the trust fund for reallocation. In such cases, a time frame for the replacement or return of state funds will be negotiated between Council staff and institutional representatives.
- University officials must notify the Council staff of unpaid pledges six months before the end of the five-year deadline, or immediately when a gift has been revoked.

## Uses of Program Funds

Proceeds from the endowments can be used to support various activities including endowed chairs and professorships, research scholars, research staff, graduate fellowships, undergraduate scholarships, research infrastructure, and mission support as described below.

**Chairs:** New faculty positions, salary supplements to existing faculty positions, and associated expenses for those positions, including start-up costs, salaries, benefits, travel, and other professional expenses as permitted by university policy.

**Professorships:** New faculty positions, salary supplements to existing faculty positions, and associated expenses for those positions, including start-up costs, salaries, benefits, travel, and other professional expenses as permitted by university policy.

**Research Scholars:** Salaries, benefits, and other personnel related expenses associated with non-tenured, medical school faculty who exhibit the potential to assume a chair or professorship position once tenure has been awarded. Research scholars should have clearly defined research agendas that relate specifically to the fields of study envisioned for the ultimate occupants of the chairs or professorships. Funding for this purpose is time limited. Each research scholar may be supported with endowment proceeds for a maximum of six years. At the end of that time, if the research scholar has not been appointed to the identified chair or professorship, university officials should fill the position with an appropriately qualified, tenured faculty member.

**Research Staff:** Salaries, benefits, and other personnel related expenses associated with full-time or part-time staff assistants who directly support the research activities of an endowed chair or professor.

**Graduate Fellowships:** Fellowship stipends for outstanding graduate or professional students, which may include travel and other expenses as permitted by university policy.

**Undergraduate Scholarships:** At the comprehensive universities only, program funds can be used to support scholarships for outstanding undergraduate students, which may include travel and other expenses as permitted by university policy.

**Research Infrastructure:** Start-up and operating expenses that directly support the research activities of an endowed chair or professor, including equipment, materials and supplies, and other research related expenses as permitted by university policy.

**Mission Support:** Program funds can be used to support research and graduate missions at all institutions, and programs of distinction or applied research programs approved by the Council at the comprehensive institutions. Consideration will be given to mission support activities such as: (1) expenditures that enhance the research capability of university libraries (i.e., books, journals, research materials, media, and equipment); (2) start-up costs, equipment, and supplies that support faculty, graduate student, or undergraduate student research activities; (3) funding for visiting scholars, lecture series, and faculty exchange; and (4) expenditures for the dissemination of research findings (i.e., nationally prominent publications and presentations at conferences, symposiums, seminars, or workshops). However, priority will be given to mission support expenditures that encourage the research related activities of faculty and students. Expenditures for general personnel expenses that are not directly linked to an endowed chair or professor do not qualify as mission support activities.

## Use of Funds Requirements

- At the research universities, at least 70 percent of program funds must be endowed for the purpose of supporting chairs, professorships, or research scholars, or research staff and infrastructure that directly support the research activities of an endowed chair, professor, or research scholar. No more than 30 percent of program funds may be endowed for the purpose of supporting mission support activities or graduate fellowships.
- At the comprehensive institutions, at least 50 percent of program funds must be endowed for the purpose of supporting chairs or professorships, or research staff and infrastructure that directly support the research activities of an endowed chair or professor. No more than 50 percent of program funds may be endowed for the purpose of supporting mission support activities or graduate fellowships or undergraduate scholarships.

## Areas of Concentration

- At both research and comprehensive universities, 100 percent of program funds must be endowed to support initiatives in STEM+H fields (22 RS, HB 1). These areas are of strategic benefit to Kentucky and are core components of a knowledge-based economy. A copy of the Council’s official STEM+H Classification of Instructional Program (CIP) code list is available upon request.
- At the research universities, the Council expects state and external matching funds to be substantially directed toward supporting research that leads to the creation, preservation, or attraction of businesses that will increase the number of good jobs in Kentucky. For these purposes, “good jobs” are defined as jobs that yield income at or above the national per capita income.
- The Council recognizes that strong research programs are clustered around related academic disciplines and encourages campus officials to create a critical mass of scholars who can influence the nation’s research and academic agendas.
- The Council recognizes that the boundaries of traditional disciplines are increasingly permeable and encourages the use of endowment funds for interdisciplinary, problem solving, or applied research activities.
- The Council recognizes the importance of cooperation between universities and corporations and encourages partnerships in the technologies, engineering, and applied sciences.
- Program funds cannot be used for positions that are primarily administrative. However, chairs, professors, or scholars with active research agendas who may have an appointment such as department chair, center director, or dean are eligible.

## Program Diversity

The Council on Postsecondary Education and participating universities are committed to ensuring the gender and ethnic diversity of Endowment Match Program faculty, professional staff, and financial aid recipients. The universities shall develop and implement plans to achieve reasonable diversity in the recruitment and retention of women, African Americans, and other underrepresented minorities for positions funded by the Endowment Match Program, including scholarship and fellowship recipients. In addition, the universities will report annually to the Council the race and gender of program faculty, professional staff, and financial aid recipients.

## Reporting

Institutions will provide a report to the Council by November 1 every odd numbered year (i.e., coinciding with the Council’s biennial budget submission), documenting how state and campus matching funds were used. These reports will include such items as the number of endowed

chairs, professorships, and research scholars created or expanded using program funds, the gender and race of program faculty, research staff, and financial aid recipients, and the impact of the program in terms of job creation, increases in sponsored research attributable to the program, and generation and profitable use of intellectual property.

The institutions will also continue to provide FD-21 reports as part of their Comprehensive Database submissions to the Council. CPE staff will work with campus officials to identify any additional information that should be included in reports provided to the Council.

# **Council on Postsecondary Education**

## **2022-24 Workforce Development Trust Fund Guidelines**

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### **Introduction**

The Workforce Development Trust Fund was one of six Strategic Investment and Incentive Trust Funds created with passage of the *Kentucky Postsecondary Education Improvement Act of 1997* (HB 1). The purpose of the trust funds was to bring about change and improvement in Kentucky colleges and universities by providing strategic financial incentives for institutions to pursue desired state goals for postsecondary education. The specific objectives of the workforce trust fund were to further cooperation among community colleges and technical institutions and support the acquisition of equipment and technology necessary to provide quality education programs (KRS 164.7925) in the two-year sector.

When the General Assembly authorizes appropriations for trust fund programs, they are maintained and administered by the Council on Postsecondary Education but represent resources that are passed through to postsecondary institutions based on compliance with Council approved guidelines and procedures. Previous rounds of funding for workforce programs have operated this way, as will the Workforce Development Trust Fund (WDTF) appropriation for fiscal year 2022-23. A unique feature of the trust funds is that appropriated funds do not lapse at the end of the year but are available until accessed by institutions.

Every two years, CPE staff works collaboratively with campus presidents, chief budget officers, and Council members to identify programs and recommend funding that will provide incentives for Kentucky colleges and universities to pursue and achieve state goals for postsecondary education. For the upcoming biennium, stakeholders to the budget development process agreed that the Council's 2022-24 budget recommendation should include a Workforce Development Trust Fund request supporting a Competitive Workforce Initiative at KCTCS.

### **Competitive Workforce Initiative**

On September 10, 2021, KCTCS submitted a proposal to Council staff, requesting \$17.8 million in recurring operating funds to help Kentucky reestablish a competitive workforce through expanded educational and training opportunities at KCTCS institutions. The new program, named the Competitive Workforce Initiative, was designed to address workforce shortages in targeted industry sectors, facilitate recruitment and retention of business and industry, bolster regional and state economies, and help KCTCS and CPE maintain affordability for Kentucky students and families.

Using 10-year occupational outlook projections (i.e., for 2018-2028) produced by the Kentucky Center for Statistics (KYSTATS) and KCTCS 2020-21 graduate data, campus officials identified supply gaps in five industry sectors. As can be seen in the table below, this past year, estimated annual job demand exceeded the number of KCTCS graduates in five academic areas, including healthcare, advanced manufacturing, transportation and logistics, business services and information technology, and construction and trades.

If authorized, these funds would be used to increase credential production capacity at all levels (i.e., certificate, diploma, and associate degree) at every KCTCS institution and to address worker skills and supply gaps in targeted industry sectors. Specifically, campus officials projected that the annual number of graduates could be increased by 719 in healthcare, 284 in advanced manufacturing, 119 in transportation and logistics, 319 in business services and information technology, and 177 in construction and trades, if the requested \$17.8 million in workforce funds were received. As shown in the Program Funding section below, the General Assembly appropriated much less than that amount, so KCTCS should not be held to those credential growth targets.

### **KCTCS Graduates and Job Demand in Targeted Industry Sectors**

Industry Sector	2020-21 Graduates	Est. Annual Job Demand	Supply Gap
• Healthcare	6,722	14,042	7,320
• Advanced Manufacturing	2,657	6,604	3,947
• Transportation and Logistics	1,113	7,001	5,888
• Business Services and Information Technology	2,985	6,816	3,831
• Construction and Trades	1,658	2,816	1,158
<b>Totals</b>	<b>15,135</b>	<b>37,279</b>	<b>22,144</b>

Sources: KCTCS Official Data Reported to CPE; Kentucky Center for Statistics, 2018-2028 KY Occupational Outlook.

In sum, KCTCS officials maintained that funding this request would increase Kentucky’s educational attainment, strengthen its workforce, and promote its attractiveness for new businesses and industries, while at the same time, bettering communities in every region of the Commonwealth.

KCTCS indicated that targeted workforce program expansion would cost \$17.8 million. CPE staff anticipated that three-fourths of that cost would be covered by added tuition and fee revenue, operating efficiencies, or other fund sources. Ultimately, CPE staff recommended \$2.2 million in the first year of the 2022-24 biennium and \$4.5 million in the second year for a KCTCS Competitive Workforce Initiative to support expanded education and training opportunities and increased completion that would address skills and supply gaps in targeted industry sectors.

## **Program Funding**

In the *2022-2024 Budget of the Commonwealth* (22 RS, HB 1), the Kentucky General Assembly appropriated \$2,225,000 in fiscal year 2022-23 to support the Workforce Development Trust Fund. While this first year appropriation equals the amount of funding requested by the Council in its biennial budget submission, the General Assembly chose not to provide funding for the program in the second year of the biennium.

## **Allocation of Funds**

The allocation of \$2,225,000 in Workforce Development Trust Fund appropriations among individual KCTCS institutions will be determined by system office staff, working in collaboration with campus officials, using the process described below.

- KCTCS will develop an application form that campus officials will use to request funding from the Workforce Development Trust Fund.
- Individual colleges may apply for workforce funds that support the creation or expansion of one or more programs of study that address workforce shortages in targeted industry sectors, up to a maximum of \$200,000 per institution.
- Colleges will submit an application for funds to the Chancellor's Office.
- In the application, institutions will identify the rationale for requested funding, including local or regional workforce shortages addressed and anticipated return on investment, and provide a projected budget, timeline for implementation, and projected impact on enrollment and credential production.
- Each application will describe the impact on program expansion should the institution receive less than the requested amount of funding. For example, what is the estimated impact on student access and outcomes should only 50% of the requested funding be available due to the number and strength of applications received?
- A selection committee comprised of five chief academic officers, two system office staff, and the Chancellor will recommend to the KCTCS president funding of applications that

meet criteria set forth in the Council's *2022-24 Workforce Development Trust Fund Guidelines*.

- Upon approval by the KCTCS president, final projects by college and by program will be submitted to CPE for the start of the 2022-23 funding cycle (i.e., beginning July 1, 2022).
- The total amount of funding requested from the Council shall not exceed \$2,225,000 (i.e., the total available appropriation to the Workforce Development Trust Fund in 2022-23).

To ensure that funding is retained and supports targeted program expansion at receiving institutions, distributions from the Workforce Development Trust Fund will not be considered for performance funding purposes.

## Uses of Funds

In KCTCS's Competitive Workforce Initiative proposal, campus officials indicated that requested funds, if authorized, would be used to increase credential production capacity at all levels (i.e., certificate, diploma, and associate degree) at every KCTCS institution and to address worker skills and supply gaps in targeted industry sectors. Similar language was used in the Council's biennial budget recommendation to the Governor and General Assembly. The enacted state budget (22 RS, HB 1) requires the Council to submit a report to the Interim Joint Committee on Appropriations and Revenue by September 1, 2023, detailing "how funds were utilized to increase credential production capacity for identified supply gaps and support program offerings in targeted industry sectors" (p. 124).

Given that increasing credential production capacity to address workforce shortages in targeted industry sectors was identified by both KCTCS officials and CPE staff as the primary rationale for requested funds, and given that the General Assembly is requiring the Council to report how program funds were used for that purpose, it is clear that the uses of Workforce Development Trust Fund appropriations should be to support expenditures, activities, and initiatives that increase credential production capacity. Toward that end, eligible and appropriate uses of workforce funds are listed below.

- Program funds must be used to increase credential production capacity in academic disciplines that address identified supply gaps and support program offerings in targeted industry sectors. For the purposes of these guidelines, targeted industry sectors are those identified in KCTCS's Competitive Workforce Initiative proposal (September 10, 2021).
- The funds will be used to establish new programs or expand existing programs in academic disciplines that address identified supply gaps in targeted industry sectors (i.e., see the Areas of Concentration section below for a listing of targeted sectors).



- Credentials produced in targeted sectors may be credit-bearing or non-credit bearing with completion of a third-party, industry-recognized credential.
- The credentials offered as a result of program creation or expansion must lead to direct employment.
- Program funds may be used to support new faculty positions, salary supplements to existing faculty positions, and associated expenses for those positions, including start-up costs, salaries, benefits, and other professional expenses as permitted by KCTCS policy.
- The funds may also be used to provide specialized faculty development or to retain the services of a consultant to expedite program development.
- Program funds may be used to purchase equipment and supplies, to support new curriculum development or validate existing curriculum, and to defray marketing expenses in identified academic disciplines.
- The funds cannot be used to provide tuition discounts or waivers or student scholarships.
- Program funds may be used to establish or expand program offerings at any KCTCS institution, but given the limited amount appropriated and nonrecurring nature of the funds, the Council encourages KCTCS to target funds to address the most pressing workforce shortage needs and maximize return on investment.

## Areas of Concentration

- Program funds must be used to increase credential production capacity in academic disciplines that address supply gaps in the following targeted industry sectors: (1) healthcare; (2) advanced manufacturing; (3) transportation and logistics; (4) business services and information technology; and (5) construction and trades. In 2020-21, these sectors had estimated annual job demand numbers that exceeded the number of KCTCS graduates, creating supply gaps.
- Program funds may be used to establish or expand program offerings in any of the five targeted industry sectors, but given the limited amount appropriated and nonrecurring nature of the funds, the Council encourages KCTCS to target funds to address the most pressing workforce shortage needs and maximize return on investment.

## Request Submission

The Council on Postsecondary Education has statutory authority to determine the criteria and process by which KCTCS may submit an application to access Workforce Development Trust Fund appropriations (KRS 164.7925). For 2022-23, CPE staff worked collaboratively with KCTCS officials to develop a process for request submission and approval, as described below.

- KCTCS will use their own internal application submission, review, and approval process to identify proposed expenditures, activities, and initiatives by college and program that campus officials believe would qualify to receive a Workforce Development Trust Fund distribution (i.e., see Allocation of Funds section of the guidelines for a description of KCTCS’s internal process).
- Upon approval by the KCTCS president, system office staff will submit a request (or requests) to the Council that contain proposed funding amounts for each college and academic program, along with campus application forms and supporting documentation.
- For the purposes of these guidelines, supporting documentation includes information such as planned program expenditures, anticipated impact on student enrollment and credential production, and workforce shortage areas addressed for each academic program created or expanded using program funds.
- Requests to the Council can be submitted on an ongoing basis, as campus proposals are approved by the KCTCS president, but the total amount requested should not exceed \$2,225,000 in available funds.
- CPE staff will approve funding requests that have been reviewed and recommended by the internal KCTCS selection committee, approved by the KCTCS president, and meet eligibility requirements contained in Council approved guidelines.

## Reporting

Language included in the *2022-2024 Budget of the Commonwealth* (22 RS, HB 1) requires the Council on Postsecondary Education to submit a report to the Interim Joint Committee on Appropriations and Revenue by September 1, 2023, detailing the expenditure of funds appropriated to the Workforce Development Trust Fund and how KCTCS used the funds to address workforce supply gaps in targeted industry sectors.

To comply with this mandate, KCTCS officials will produce and submit a report to the Council by no later than August 1, 2023, documenting the expenditure of workforce funds by academic program and institution, increases in student enrollment and completions, and program impact on local and regional workforce supply gaps. CPE staff will work with KCTCS officials to identify any additional information that should be included in reports provided to the Council.

CPE staff will provide the Council on Postsecondary Education with periodic updates regarding the status of Workforce Development Trust Fund distributions by institution and academic program. Staff will work with campus officials to develop the format of reports provided to the Council.

**TITLE:** 2022-24 Workforce Development Trust Fund Guidelines

**DESCRIPTION:** The Finance Committee recommends the Council approve the *2022-24 Workforce Development Trust Fund Guidelines*.

**PRESENTER:** Lucas Mentzer, Chair of the Finance Committee

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### **COMMITTEE REVIEW & APPROVAL**

The Finance Committee reviewed and approved this action item at its June 14, 2022, meeting.

### **SUPPORTING INFORMATION**

As part of its 2022-2024 biennial budget submission, the Council on Postsecondary Education recommended \$2.2 million in 2022-23 and \$4.5 million in 2023-24 to support a Competitive Workforce Initiative at KCTCS, which if authorized would be housed in the Workforce Development Trust Fund (WDTF). The primary objectives of the initiative are to increase credential production capacity and address skills and supply gaps in five targeted industry sectors, including healthcare, transportation and logistics, advanced manufacturing, business services and information technology, and construction and trades. These sectors were projected to have increases in annual job demand that exceed the number of KCTCS graduates in related fields, creating supply gaps.

In the *2022-2024 Budget of the Commonwealth* (22 RS, HB 1), the General Assembly appropriated \$2,225,000 in fiscal year 2022-23 to the Workforce Development Trust Fund. The stated purpose of the funds was to increase credential production capacity for identified supply gaps and support program offerings in targeted industry sectors. While this appropriation equaled the amount of funding requested by the Council in the first year of the biennium, the legislature chose not to provide funding for the program in the second year (i.e., the funds are one-time or nonrecurring, at this time).

The Workforce Development Trust Fund was one of six Strategic Investment and Incentive Trust Funds created with passage of the *Kentucky Postsecondary Education Improvement Act of 1997* (HB 1). The purpose of the trust funds was to bring about change and improvement in Kentucky colleges and universities by providing strategic financial incentives for institutions to pursue desired state goals for postsecondary

education. The specific objectives of the WDTF were to further cooperation among community colleges and technical institutions and support the acquisition of equipment and technology necessary to provide quality education programs (KRS 164.7925).

When the General Assembly authorizes appropriations for trust fund programs, the funds are maintained and administered by the Council, but represent resources that are passed through to institutions based on compliance with Council approved guidelines and procedures. Previous rounds of funding for workforce programs have operated this way, as will the Workforce Development Trust Fund appropriation for 2022-23.

The Council on Postsecondary Education has statutory authority to determine the criteria and process by which KCTCS may submit an application to access Workforce Development Trust Fund appropriations (KRS 164.7925). For 2022-23, CPE staff worked collaboratively with campus officials to determine the process by which funds would be allocated among KCTCS institutions, to identify eligible and appropriate uses of workforce funds, to specify targeted areas of concentration, and to develop a process for request submission, approval, and distribution of program funds.

As a result of that cooperation, the guidelines attached to this agenda item and presented for Finance Committee review and approval are supported both by CPE staff and KCTCS officials.

The enacted budget (22 RS, HB 1) requires the Council to submit a report to the Interim Joint Committee on Appropriations and Revenue by September 1, 2023, detailing the expenditure of workforce funds and describing how KCTCS used the funds to address supply gaps in targeted industry sectors. Toward that end, the proposed guidelines require KCTCS to produce and submit to the Council by no later than August 1, a report documenting expenditures by program and institution, increases in student enrollment and credentials produced, and program impact on local and regional supply gaps.

CPE staff will provide the Council on Postsecondary Education with periodic updates regarding the status of Workforce Development Trust Fund distributions by institution and academic program.

**TITLE:** Interim Capital Project Approval (Asset Preservation Pool)

**DESCRIPTION:** The Finance Committee recommends the Council approve two asset preservation projects for KCTCS: Mechanical Equipment and Upgrades at Southeast KY CTC and Glema Mahr Roof Replacement at Madisonville CC.

**PRESENTER:** Lucas Mentzer, Chair of the Finance Committee

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### **COMMITTEE REVIEW & APPROVAL**

The Finance Committee reviewed and approved this action item at its June 14, 2022, meeting.

### **SUPPORTING INFORMATION**

The enacted state budget (22 RS, HB 1) appropriated \$683.5 million in General Fund supported bond funds for a Postsecondary Education Asset Preservation Pool to provide funding for individual asset preservation, renovation, and maintenance projects at Kentucky public postsecondary institutions. These funds were allocated among institutions based on each institution's share of system total Category I and Category II square feet and may be used to renovate, renew, and preserve Education and General (E&G) facilities and state-owned and operated residential housing facilities on campus.

Language included in the bill outlined the following matching requirements for accessing appropriated pool funds:

- each project for research institutions shall be matched at 30 percent from funds provided by each research institution, and
- each project for comprehensive institutions and the Kentucky Community and Technical College System shall be matched at 15 percent from funds provided by each comprehensive institution and the Kentucky Community and Technical College System (HB 1, pages 167-168).

The General Assembly operationalized these requirements by requiring research universities to spend 30 cents for every state dollar used to complete an individual asset preservation project and by requiring the comprehensive universities and KCTCS to spend 15 cents for every state dollar used to complete an asset preservation project.

The budget bill also contains language stating that capital projects, as defined in KRS 45.750(1)(f), which are funded from the Asset Preservation Pool or from a combination of pool and campus matching funds, are authorized. This means projects funded from the pool that meet or exceed a \$1.0 million threshold for construction or a \$200,000 threshold for an item of equipment already have approval from the General Assembly. The bill does require institutions to report projects that meet the capital project threshold to the Capital Projects and Bond Oversight Committee.

Council approval is also required for capital construction and renovation projects that meet or exceed the \$1.0 million threshold at postsecondary institutions. Two avenues for securing Council approval include listing a project in the Council's biennial budget submission or using an interim capital approval process. Today, KCTCS is requesting approval for two asset preservation projects using the latter approach. Because these projects were not previously listed in the Council's budget submission, Council approval is required.

The first project is a \$2,000,000 project which will upgrade mechanical equipment at Southeast Kentucky Community and Technical College. The project will be funded with \$1,739,200 from KCTCS's Asset Preservation Pool appropriation and \$260,800 in institutional funds.

The second project is a \$1,500,000 project which will replace the roof of the Glema Mahr Center for the Arts at Madisonville Community College, one of five buildings on the main (Madisonville) campus. The project will be funded with \$1,304,400 in Asset Preservation Pool funds and \$195,600 in institutional funds.

Funding for both projects meet the state's matching requirements. Namely, KCTCS is spending 15 cents for every state dollar used to complete each of the projects (i.e.,  $\$260,800 \div \$1,739,200 = \$0.15$ ; and  $\$195,600 \div \$1,304,400 = \$0.15$ ). These buildings are E&G facilities and are eligible to receive Asset Preservation Pool funding. As is the case with all KCTCS capital projects, the Finance and Administration Cabinet will oversee these projects. The KCTCS Board of Regents approved these projects at their June 10, 2022, meeting.

## **NEXT STEPS**

Following Council action, staff will notify the president of KCTCS, the Secretary of the Finance and Administration Cabinet, and the Capital Projects and Bond Oversight Committee of the Council's recommendation concerning these projects.



May 31, 2022

Mr. Aaron Thompson, President  
Council on Postsecondary Education  
1024 Capital Center Drive, Suite 320  
Frankfort, KY. 40601

Re: Interim Authorization Request – KCTCS Capital Projects

Dear President Thompson:

In accordance with 2022-2024 House Bill 1 and KRS 45.760, Kentucky Community and Technical College System (KCTCS) respectfully requests interim authorization for the two projects. The first project is Southeast KY Community and Technical College Mechanical Equipment and Upgrades with a total estimated budget in the amount of \$2,000,000. The funding sources will be \$1,739,200 from asset preservation bond funds and \$260,800 from agency restricted funds. This project will be to upgrade and replace boilers, chillers, pumps and air handlers and heating, ventilation and air conditioning control upgrades.

The second project is Madisonville Community College Glema Mahr Roof Replacement with an estimated budget in the amount of \$1,500,000. The funding sources will be \$1,304,400 from asset preservation bond funds and \$195,600 from agency restricted funds.

The design and construction of the projects will be implemented through the Finance and Administration Cabinet as capital projects.

KCTCS' Board of Regents will be approving these two projects that were not included in the 2022-2024 KCTCS capital budget request on June 10, 2022.

Should you have any questions, please feel free to contact Andy Casebier at 859-256-3287.

Sincerely,

A handwritten signature in blue ink that reads "Buddy Combs".

Buddy Combs  
Acting Vice President

cc: Shaun McKiernan  
President Paul Czarapata  
Carla Wright  
Andy Casebier  
Sandy Adkins





**TITLE:** Kentucky State University Funding Disbursement Request

**DESCRIPTION:** The Finance Committee recommends the Council approve KSU's request for \$5.5 million from its \$23.0 million 2021-22 special appropriation (22 RS, HB 250) to address the current year budget shortfall.

**PRESENTER:** Lucas Mentzer, Chair of the Finance Committee

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### **COMMITTEE REVIEW & APPROVAL**

The Finance Committee reviewed and approved this action item at its June 14, 2022, meeting.

### **SUPPORTING INFORMATION**

Section 3 of HB 250, enacted on April 8, 2022, contains the following directive for the Council on Postsecondary Education, pertaining to its oversight of Kentucky State University (KSU):

There is hereby appropriated to the Council on Postsecondary Education General Fund moneys in the amount of \$23,000,000 in fiscal year 2021-2022 to address financial instability at Kentucky State University, including a cash shortfall due to prior year deficits and a projected financial structural imbalance in fiscal year 2021-2022. Prior to receiving any disbursement of the funds from the council, the university shall submit a request with supporting documentation required by the council for the council's review and approval and make recommendations for further investigations necessary, if any conduct has risen to the level of a crime. The total disbursed to Kentucky State University shall be a non-interest bearing loan to be repaid by university. Funds not disbursed to the university shall be deposited to the loan repayment trust fund created in Section 4 of this Act.

In accordance with this directive, attached is a letter from KSU CFO and Vice President of Finance and Administration Gerald D. Shields requesting disbursement of the remaining \$5.5 million in funding for KSU to meet existing obligations and carry the institution through the end of the fiscal year. CPE Senior Fellow Greg Rush has reviewed the supporting documentation and accounting information in the attached letter and has verified their accuracy. Amounts that required projections or estimates were made with the most recent and verifiable information available. The amount requested is also supported by the [KSU Financial Assessment](#) completed by CPE in November 2021.

Council staff and the Finance Committee recommend approval of KSU's request for \$5.5 million to address the current year (FY 2022) shortfall through the end of the fiscal year, June 30, 2022. The amount disbursed to KSU shall not exceed the amount approved by the Council and the funds will be used in the manner identified in the request letter.



Friday, June 3, 2022

Dr. Aaron Thompson  
President  
Kentucky Council on Postsecondary Education  
100 Airport Road  
Frankfort, KY 40601

*Re: Request for Disbursement*

Dear Dr. Thompson:

Pursuant to the terms set forth in House Bill 250, Section 3, Kentucky State University is required to submit a written request to CPE with supporting documentation in order for CPE to disburse the \$23 million appropriated to Kentucky State University. On April 12, 2022, Kentucky State University requested that CPE disburse \$17.5 million of the allotted funds to Kentucky State University.

When KSU made its initial disbursement request, the Governor had not yet appointed a new board. Now that the Governor has appointed a new board, as of March 31, 2022, KSU should give the Board the opportunity to weigh in on KSU's request and what the \$5.5 million will be spent on. The University now requests that CPE disburse the remaining funds to get through June 30, 2022.

As required by the bill, the University now submits this request to CPE for the disbursement of \$5.5 million. As the basis for this \$5.5 million, the University states:

Payroll & End of the Year Expenses	\$3.4 million
Return to Land Grant	\$450,000
Capital Projects	\$250,000
Cash Reserve	\$1.4 million
<b>TOTAL</b>	<b>\$5.5 million</b>

As a final note, the University states that, in its review of the University's financial records, the University has uncovered excessive spending, but has not uncovered any criminal activity. As part of on-going litigation, outside counsel is engaging a team of forensic accountants to investigate

financial activities during the tenure of Dr. Brown. The University is currently working with the Auditor of Public Accounts to conduct a more in-depth review of the University's finances. If criminal activity is discovered, the University will report it to the appropriate law enforcement agency and CPE.

As always, KSU appreciates CPE's diligent oversight and continued support of Kentucky State University. Please do not hesitate to contact me if you have any further concerns or questions.

Sincerely,



*Gerald D. Shields, Ph.D.*

**Vice President of Finance & Administration/CFO**

Finance and Administration | Kentucky State University

400 E. Main Street | Frankfort, KY 40601

Academic Services Building, Rm. 201

Office: (502) 597-5075 | Fax: (502) 597-6594

*Onward, Upward*

Cc: Clara Ross Stamps  
Lisa K. Lang  
Gregory M. Rush  
Travis Powell

**TITLE:** Report from the Executive Committee

**DESCRIPTION:** Chair Mentzer will provide a report of activities from the Executive Committee meeting on June 17, 2022.

**PRESENTER:** Lucas Mentzer, Chair of Executive Committee

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The Executive Committee will meet the morning of June 17, 2022, and Chair Lucas will provide a report of activities.

The agenda includes the following action items, which will be presented for full Council approval:

- Review of Expiring Administrative Regulation – 13 KAR 2:045, Determination of residency status for admission and tuition assessment purposes
- 2022-23 CPE Agency Budget

The Committee will also receive a status update on Kentucky State University.

**TITLE:** Regulation Expiration – 13 KAR 2:045 – *Determination of residency status for admission and tuition assessment purposes*

**DESCRIPTION:** At its June 17, 2022 meeting, the Executive Committee will take action on the recommendation regarding 13 KAR 2:045 and request final action at the Council’s business meeting.

**PRESENTERS:** Travis Powell, Vice President and General Counsel

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### **COMMITTEE REVIEW & APPROVAL**

The Executive Committee is scheduled to review this recommendation at its June 17, 2022 meeting, which is scheduled from 8:30-9:30 a.m. Chair Mentzer will report their recommendation to the full Council and request final action accordingly.

### **SUPPORTING INFORMATION**

KRS 13A.3102 requires that action be taken on an administrative regulation at least every seven (7) years or the regulation will expire. Pursuant to KRS 13A.3104, action can be in the form of certification that the agency either intends to keep the regulation in effect “as is,” or amend the regulation within 18 months. Agencies can also choose not to take action and allow regulations to be repealed after the expiration date.

#### 13 KAR 2:045: Determination of Residency Status for Admission and Tuition Assessment Purposes Regulation

KRS 164.020(8)(a) requires that the Council define residency for purposes of tuition and admission to the state postsecondary educational system. [13 KAR 2:045](#) is the Council’s regulation promulgated to meet this requirement. The last substantive action on the regulation was effective July 13, 2015 and will therefore expire on July 13, 2022 without Council action.

## **STAFF RECOMMENDATION**

Council staff recommends that 13 KAR 2:045 remain in its current form. On March 29, 2022, Council staff contacted a group of public college and university representatives identified by each institution's Provost to solicit feedback on 13 KAR 2:045 regarding needed or suggested changes, or if it was sufficient in its current form. A few thoughtful comments and suggestions were received, but overall, the consensus was that the process outlined in the regulation was well-functioning and did not require modification.

We will continue to engage campus stakeholders and the Council on ways to improve the residency determination process, as well as how to consider residency from a tuition policy standpoint and its connection to outcomes in the performance funding model.

Certifying that a regulation be maintained in its current form does not preclude an agency from pursuing an amendment at any time.

**TITLE:** 2022-23 CPE Agency Budget

**DESCRIPTION:** At its June 17, 2022, meeting, the Executive Committee will take action on the recommendation regarding the agency operating budget for 2022-23, and request final action at the Council's business meeting.

**PRESENTERS:** Shaun McKiernan, Executive Director of Finance and Budget

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### **COMMITTEE REVIEW & APPROVAL**

The Executive Committee is scheduled to review this recommendation at its June 17, 2022 meeting, which is scheduled from 8:30-9:30 a.m. Chair Mentzer will report their recommendation to the full Council and request final action accordingly.

### **SUPPORTING INFORMATION**

Each year, the Council reviews and approves the agency's revenue and expenditure budget for the upcoming fiscal year. Below is a description of CPE's budget as proposed for fiscal 2022-23. The enacted state budget for 2022-24 (HB 1), along with CPE's 2022-24 biennial budget request, submitted to the state budget office and Legislative Research Commission November 15, 2021, provide the framework for staff's proposed 2022-23 agency budget. Budgeted figures for the current year (i.e., 2021-22) are included for comparison.

#### **CPE General Fund Budget**

House Bill 1 is a continuation budget for CPE with few exceptions. While additional General Fund is provided for an 8% cost of living adjustment for staff, all other General Fund appropriations relate to pass through funding for the institutions. Additional funding is included in CPE's budget for debt service to support \$40 million in Bond Funds for Bucks for Brains for the research institutions (\$30 million) and the comprehensive institutions (\$10 million). General Fund of \$2,225,000 is included for the Workforce Development Trust Fund, which goes to KCTCS institutions. The General Assembly chose to fund Spinal Cord and Head Injury Research as part of CPE's budget, with \$2,000,000 in General Fund in 2022-23. In the current fiscal year (2021-



22), that program is funded with Tobacco Funds of \$650,000. The budget also provides additional General Fund for Ovarian Cancer Research and Screening, increasing the appropriation from \$500,000 to \$1,000,000. General Fund appropriations for all other expenditure categories remains the same: Operating Funds (with the exception of the cost-of-living adjustment), Technology Trust Fund, Doctoral Scholars, and SREB Dues.

The Technology Trust Fund provides support for the Kentucky Virtual Library, Kentucky Postsecondary Education Network, and Technology Support. The Doctoral Scholars program, which was reinstated in 2021-22, provides scholarships and other programming to help two underrepresented minority doctoral candidates at the University of Kentucky and University of Louisville succeed and encourage them to pursue careers in academia after graduation. UK and UofL match these funds, so a total of four students benefit from the program every year.

The table below shows General Fund appropriations by expenditure category for CPE’s agency budget for 2021-22 and 2022-23.

CPE Agency Budget Fiscal Years 2021-22 and 2022-23		
<u>Expenditure Category</u>	<u>Fiscal 2021-22</u>	<u>Fiscal 2022-23</u>
General Fund		
Operating Funds <sup>1</sup>	\$7,205,700	\$7,205,700
Defined Calculations and COLA <sup>2</sup>	222,900	500,700
Debt Service for Bucks for Brains	0	1,612,000
Workforce Development Trust Fund	0	2,225,000
Technology Trust Fund	3,628,300	3,628,300
Spinal Cord and Head Injury Research	0	2,000,000
Ovarian Cancer Screening	500,000	1,000,000
Doctoral Scholars	50,000	50,000
SREB Dues	<u>214,800</u>	<u>214,800</u>
Total	\$11,821,700	\$18,436,500
<sup>1</sup> Includes funding for Operations and Strategic Initiatives		
<sup>2</sup> \$222,900 was added to the FY 2021-22 budget by HB 1 to end the practice of delaying June 30 payments to employees until July 1.		

### **2022-23 Operating Budget**

The CPE operating budget includes most of the agency’s funding for personnel and

operations. Next year, CPE will use state General Fund appropriations, along with \$500,000 in Restricted Funds received by the Council for administering federal grants (i.e., primarily the GEAR UP grant), to fund agency operations. Personnel expenses make up 87 percent of the Operating budget, which is typical for state government agencies. General Fund appropriations in 2022-23 will support 47 employees, down from 97 staff in 2007-08.

CPE’s 2022-23 budget is largely a continuation budget. Next year, CPE leaders will continue to focus on the successful transition of students from secondary to postsecondary education through leadership of the P-20 Education Continuum. CPE will continue collaboration with state workforce and economic development leaders in the state and policy and programming in this area.

The 2022-23 operating budget includes funding for the additional office, storage, and meeting space, which was made available in 2021-22. The new space (located on the third floor) has reduced costs for off-site storage and rented meeting space, and accommodates additional staffing needs.

See the table below for a comparison of CPE’s 2021-22 and 2022-23 operating budgets, which includes both Operations and Strategic Initiatives.

CPE Operating Budget Fiscal Years 2021-22 and 2022-23				
	Fiscal 2021-22	Proposed 2022-23	Percent Change	Notes on Differences
<b>Sources of Funds</b>				
General Fund	\$7,205,700	\$7,205,700		
General Fund (def. payroll, COLA)	222,900	500,700		
Restricted Funds	500,000	500,000		
<b>Total Sources of Funds</b>	<b>\$7,928,600</b>	<b>\$8,206,400</b>	4%	8% COLA
<b>Uses of Funds</b>				
Salaries and Benefits	\$6,150,300	\$6,526,800	6%	8% COLA
Other Personnel Costs	523,600	525,800	0%	
<b>Total Personnel</b>	<b>\$6,673,900</b>	<b>\$7,052,600</b>	6%	
Building, Rentals, Repairs	351,800	351,800	0%	
Computer & Misc. Services	527,500	336,500	-36%	Computer charges, office renovations
Supplies, Travel, Dues, Other	315,300	350,500	11%	
<b>Total Operating</b>	<b>1,194,600</b>	<b>1,038,800</b>	-13%	
<b>Total Grants</b>	<b>60,000</b>	<b>115,000</b>	92%	Graduate Profile
<b>Total Uses of Funds</b>	<b>\$7,928,500</b>	<b>\$8,206,400</b>	4%	

## **CPE Tobacco Fund, Federal Funds, and Restricted Funds Budgets**

In addition to state General Fund appropriations, the Council’s budget contains other sources of revenue, including tobacco settlement funds, cigarette tax revenue, federal grant funds, and agency receipts.

The next table provides a summary of the Council’s 2021-22 authorized expenditure levels for these funds and proposed expenditures for 2022-23. The enacted budget (HB 1) specifies the expenditure limits for each fund type.

CPE Tobacco, Federal, and Restricted Funds Fiscal Years 2021-22 and 2022-23		
Expenditure Category	Fiscal 2021-22	Fiscal 2022-23
Tobacco Fund		
Spinal Cord and Head Injury Research	\$650,000	\$0
Cancer Research and Screening	7,043,800	6,250,000
Total Tobacco Fund	\$7,693,800	\$6,250,000
Federal Funds		
GEAR UP	\$3,997,100	\$4,164,300
GEER and ARP funds	6,001,100	5,876,400
Simmons College	0	6,000,000
Healthcare Workforce Collaborative	0	10,000,000
Total Tobacco Fund	\$9,998,200	\$26,040,700
Restricted Funds		
Operations (Federal Indirect)	\$500,000	\$500,000
Cancer Research Match	4,107,700	4,100,000
Licensure (Licensure Fees)	316,200	420,000
Total Restricted Funds	\$4,923,900	\$5,020,000

Tobacco Fund appropriations in CPE’s budget for 2022-23 support cancer research at UK and UofL (divided equally). For 2022-23, \$2.0 million in General Fund, not Tobacco Fund, will be used to support spinal cord and head injury research at UK and UofL.

CPE started receiving federal funds to distribute to postsecondary institutions through the Governor’s Emergency Education Relief (GEER) Fund in 2020-21. Funding provided by the Governor through GEER for postsecondary education response to the pandemic totals \$17.7 M. The expenditure authority in the 2022-23 budget allows CPE

to spend the balance of these federal funds, about \$3.0 M. In addition, funds were made available to CPE through the American Rescue Plan to support projects focused on improving college preparation and retention during a time with reduced college and career counseling and to address gaps in instruction due to the COVID-19 pandemic. Staff anticipates \$2.8 million in expenditures in ARP funds next fiscal year. CPE's ARP grant totals \$5.3 million.

CPE will have access to federal GEAR UP grant funds in 2022-23. GEAR UP, which stands for Gaining Early Awareness and Readiness for Undergraduate Programs, is a federally funded program that helps students in underprivileged schools prepare for postsecondary education. GEAR UP is a seven-year, \$24.5 million grant program.

The Budget of the Commonwealth provides federal funds from the State Fiscal Recovery Fund of the American Rescue Plan to CPE in 2022-23 for the Healthcare Workforce Collaborative and for Simmons College. The Healthcare Workforce Collaborative brings together public higher education officials and industry leaders to “strengthen the education and training pipeline of healthcare professions within Kentucky’s public two and four-year colleges and universities.” Funding for the collaborative totals \$10,000,000 in 2022-23. The state budget provides \$6,000,000 for Simmons College, a private HBCU in Louisville for a \$4.2 million Teacher Education Initiative and \$1.8 million to “expand academic offerings to include the areas of psychology to produce more licensed mental health practitioners, quality control technicians, and logistics and supply chain management.”

Restricted Funds in the CPE budget support several programs, including the Cancer Research Match program, Licensure, and Agency Operations. These funds come to CPE from a variety of sources, such as:

- Cigarette tax proceeds (i.e., one cent from each pack of cigarettes sold in Kentucky) fund the Cancer Research Match program, which is allocated to UK and UofL equally, provided the institutions are able to match the distributions with institutional funds expended on cancer research.
- Licensure fees fund the Licensure Unit. The Council licenses all Kentucky non-profit and for-profit baccalaureate degree granting and above institutions, and out-of-state public institutions operating or soliciting in the Commonwealth. The Licensure Unit also has duties related to the State Authorization Reciprocity Agreement (SARA), which allows Kentucky public and licensed institutions to do business in other SARA states. Licensure revenue of about \$350,000 per year supports the equivalent of two and a half full-time staff members who ensure the Council's licensure duties are performed.

- Finally, the Council receives funds related to the administration of federal grants and is authorized to spend those funds to support agency operations. With the recent award of the GEAR UP grant, CPE can expect to receive these funds for the next several biennia.

### **Other Funds**

The Council manages several other state funds, including the Equine University Program Trust Fund and funds housed in the Technology Trust Fund (i.e., namely, KYVL receipts and smaller grants). The Equine Program receives funds collected by the state related to pari-mutuel betting. The funding, which has traditionally been about \$600,000 per year, is distributed equally to the five institutions with Equine programs (UK, UofL, MoSU, MuSU, and WKU) to help fund capital investments in their programs.

The Technology Trust Fund (TTF) receives state General Fund appropriations and Kentucky Virtual Library (KYVL) and KPEN receipts. The fund also receives interest earnings, smaller private grant funds, and funds from state government agencies on occasion. These funds will be spent in 2022-23 as allowed by terms of the funding.

Last year, the Office of State Budget Director authorized use of the TTF to house a \$2.1 million, three-year grant from the James Graham Brown Foundation awarded to CPE. This grant is being used to establish a Student Success Center at CPE. TTF resources of about \$600,000, largely from Technology Support savings accumulated over several years are being used to develop a Student Portal and to fund research and provide strategic guidance on how CPE and the postsecondary institutions can better address the needs of adult learners and achieve an even more positive impact on economic development in the Commonwealth of Kentucky.

Finally, House Bill 250, passed in the 2022 regular session, includes a \$1.5 million General Fund appropriation in 2022-23 to support comprehensive reviews of Kentucky State University. Plans for the expenditure of those funds are in development and will include salary and benefits costs of CPE staff dedicated to KSU and the use of an outside consultant or consultants.

**TITLE:** Committee on Equal Opportunities Report and Annual Campus Diversity, Equity & Inclusion Evaluation Report

**DESCRIPTION:** Council staff will report on the outcomes of the latest campus Diversity, Equity, and Inclusion evaluations as well as give an update on the unit initiatives.

**PRESENTERS:** Elaine Walker, Chair of the Committee on Equal Opportunities  
Travis Powell, CPE's Vice President and General Counsel  
Dawn Offutt, CPE's Executive Director of Initiatives for Diversity, Equity, & Inclusion

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### **ANNUAL CAMPUS DIVERSITY, EQUITY & INCLUSION EVALUATION REPORT**

This spring, the Committee on Equal Opportunities oversaw the annual evaluation of institutional progress toward meeting their equal educational opportunity goals set in the Policy. Committee Chair Walker and Council staff will present the results of that evaluation process. A summary of the evaluation results for each institution has been included in your materials.

The CPE interactive data dashboard shows progress toward the quantitative goals, a link to which is: <http://cpe.ky.gov/data/diversity.html>.

### **BACKGROUND INFORMATION: POLICY FOR DIVERSITY, EQUITY, AND INCLUSION**

The 2016 Kentucky Public Postsecondary Education Policy for Diversity, Equity and Inclusion, is grounded on the premise that to truly prepare students for life and work in an increasingly diverse society, the public postsecondary institutions within the Commonwealth shall:

- Develop a plan to embrace diversity and equity within constitutional and legal parameters;
- Commit to improving academic achievement for all students;

- Create an inclusive campus environment; and
- Produce culturally competent graduates for the workforce.

It has three focus areas, which align with the 2016-21 statewide Strategic Agenda: Opportunity, Success, and Impact. The policy embraces both qualitative and quantitative elements to evaluate progress toward meeting “equal educational opportunity goals; and it is incorporated into Administrative Regulation 13 KAR 2:060.

With this policy, institutions are required to submit a plan for Diversity, Equity, and Inclusion on their respective campuses that address each of the Policy’s Focus Areas. In each Focus Area, institutions must set goals and identify strategies to obtain them. Plans must also identify an appropriate plan for assessment. Institutions that do not meet the minimum standards of the rubric (24/36-universities; 22/34-community colleges) will lose automatic eligibility to offer new academic programs.

## **REPORT EVALUATION**

Data from the 2020-21 academic year were evaluated. The qualitative data were submitted in March of 2022. Each institution was evaluated based on a rubric that includes both quantitative data and qualitative responses. Potential points to be earned for each section are:

	Quantitative	Qualitative	Potential total	Minimum required to pass
Universities	18	18	36	24
KCTCS Institutions	16	18	34	22

1) Quantitative section – The following targets were measured:

- Undergraduate and Graduate Enrollment (9 areas for Universities and 8 areas for KCTCS Institutions)
- 1<sup>st</sup> to 2<sup>nd</sup> Year Retention (URM and Low Income)
- Graduation Rate (URM and Low Income)
- Degrees Conferred/Credentials Awarded (URM and Low Income)
- Workforce Diversity

The following scores were used in the evaluation of the quantitative section:

- 2 points Annual target met or exceeded
- 1 point Annual target not met, but value is greater than the 2015-2016 baseline.
- 0 points Annual target not met and value is less than the 2015-2016 baseline

2) Qualitative section - Reports were evaluated on the following criteria:

- Implementation of Strategies with Fidelity
- Analysis of Strategy Effectiveness
- Lessons Learned and Next Steps

The following scores were used in the evaluation of the qualitative section:

- 2 points Meets or Exceeds Expectations
- 1 points Making Progress Toward Meeting Expectations
- 0 points Does Not Meet Expectations

#### Timeline: Reporting and Evaluation Process

February 2022	Strategies verified in the new reporting tool
February 22, 2022	Review Teams Calibration Meeting
March 1, 2022	Diversity Plan Reports Due
March 7, 2022	Reports Disseminated to Review Teams
April 25, 2022	Scores reported to CEO
June 17, 2022	Scores reported to CPE Board
July 1, 2022	Improvement plan instructions given to institutions not meeting the minimum required score

#### **FINAL EVALUATION SCORES FOR 2020-21**

The evaluation scores for the four-year universities and two-year community and technical colleges are included as an attachment.

The following institutions did not meet the minimum requirements:

- Murray State University
- Henderson Community College
- Hopkinsville Community College
- Southeast Community and Technical College

Institutions that did not meet the minimum standard must develop a performance improvement plan identifying specific strategies and resources dedicated to addressing deficiencies. (CEO may recommend a site visit.)



Once the improvement plan is approved, the institution may request a waiver to offer a new academic program if the institution can provide assurance that new programs will not divert resources from improvement efforts.

### **LESSONS LEARNED/NEXT STEPS**

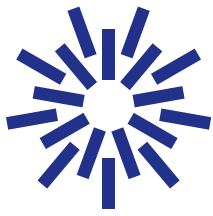
- 1) Work with the CEO to facilitate the performance improvement plan process for institutions not meeting the minimum required scores.
- 2) Continue identifying high-impact practices in the areas of opportunity, success and impact.
- 3) Work with CPE's data team and institutions to solidify targets for the 2023-24 academic year.
- 4) Developing trainings for report writing.
- 5) Begin preparing for the 2021-22 reporting process.

## 2020-21 DEI Evaluation Year 4-YEAR INSTITUTIONS

GOALS	UK	EKU	UL	MuSU	MoSU	KSU	NKU	WKU
Undergraduate Enrollment	2	2	2	2	2	2	2	2
Graduate Enrollment	2	2	2	2	2	2	2	2
1st-2nd Year Retention (URM)	1	2	0	0	0	2	0	1
1st-2nd Year Retention (low-income)	1	1	0	1	0	2	0	1
6-year Graduation Rate (URM)	1	1	1	1	1	2	2	2
6-year Graduation Rate (low-income)	1	2	1	1	1	2	1	1
Degrees Conferred (URM)	2	2	2	0	1	0	2	2
Degrees Conferred (low-income)	1	0	1	0	0	0	0	0
Workforce Diversity	1	1	1	1	1	1	1	1
	<b>12</b>	<b>13</b>	<b>10</b>	<b>8</b>	<b>8</b>	<b>13</b>	<b>10</b>	<b>12</b>
<b>Opportunity</b>								
Implementation	2	2	2	2	2	2	2	2
Effectiveness	2	1	2	1	1	2	2	2
Lessons Learned	2	2	2	1	2	2	2	2
<b>Success</b>								
Implementation	2	2	2	2	2	2	2	2
Effectiveness	2	2	2	1	1	1	2	2
Lessons Learned	2	1	2	2	2	2	2	2
<b>Impact</b>								
Implementation	2	2	2	2	2	1	2	2
Effectiveness	2	1	2	1	2	1	2	2
Lessons Learned	2	1	2	2	2	2	2	2
	<b>18</b>	<b>14</b>	<b>18</b>	<b>14</b>	<b>16</b>	<b>15</b>	<b>18</b>	<b>18</b>
<b>Total (out of 36)</b>	<b>30</b>	<b>27</b>	<b>28</b>	<b>22</b>	<b>24</b>	<b>28</b>	<b>28</b>	<b>30</b>

**2020-21 DEI Evaluation Year  
KCTCS Institutions**

<b>GOALS</b>	<b>ACTC</b>	<b>BSCTC</b>	<b>BCTC</b>	<b>ECTC</b>	<b>GCTC</b>	<b>HCTC</b>	<b>HenCC</b>	<b>HopCC</b>	<b>JCTC</b>	<b>MadCC</b>	<b>MayCTC</b>	<b>OCTC</b>	<b>SomCC</b>	<b>SKYCTC</b>	<b>SE CTC</b>	<b>WKCTC</b>
Undergraduate Enrollment	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
1st - 2nd Year Retention (URM)	0	1	2	1	2	0	2	0	1	2	0	2	0	0	0	0
1st - 2nd Year Retention (low-income)	2	1	2	2	2	2	2	0	1	1	0	2	2	2	0	2
3-year Graduation Rate (URM)	2	2	2	2	2	2	1	2	2	0	1	1	2	2	0	2
3-year Graduation Rate (low-income)	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
Credential Awarded (URM)	1	2	2	1	2	2	0	0	2	2	2	2	2	2	1	2
Credential Awarded (low-income)	2	1	2	0	2	2	0	0	2	2	2	2	1	1	0	0
Workforce Diversity	1	0	1	1	1	0	1	1	1	0	1	1	1	0	0	1
	<b>12</b>	<b>11</b>	<b>15</b>	<b>11</b>	<b>15</b>	<b>12</b>	<b>10</b>	<b>7</b>	<b>13</b>	<b>11</b>	<b>10</b>	<b>14</b>	<b>12</b>	<b>11</b>	<b>5</b>	<b>11</b>
<b>Opportunity</b>																
Implementation	1	2	2	2	2	2	0	1	2	2	2	1	2	2	2	2
Effectiveness	0	2	2	2	1	2	0	1	2	2	2	1	2	2	2	2
Lessons Learned	1	2	2	2	1	2	1	1	2	1	2	2	1	2	2	2
<b>Success</b>																
Implementation	2	2	2	2	1	2	2	2	2	2	2	1	1	2	2	2
Effectiveness	2	2	2	1	1	1	2	1	2	2	2	1	1	2	2	2
Lessons Learned	2	1	2	2	1	2	1	2	2	2	2	2	2	1	2	2
<b>Impact</b>																
Implementation	2	2	2	2	1	2	1	2	2	2	2	2	2	2	1	2
Effectiveness	2	1	1	2	0	2	1	2	2	2	2	1	1	1	1	2
Lessons Learned	2	2	1	2	0	2	1	2	2	2	2	2	2	2	1	2
	<b>14</b>	<b>16</b>	<b>16</b>	<b>17</b>	<b>8</b>	<b>17</b>	<b>9</b>	<b>14</b>	<b>18</b>	<b>17</b>	<b>18</b>	<b>13</b>	<b>14</b>	<b>16</b>	<b>15</b>	<b>18</b>
<b>Total (out of 34)</b>	<b>26</b>	<b>27</b>	<b>31</b>	<b>28</b>	<b>23</b>	<b>29</b>	<b>19</b>	<b>21</b>	<b>31</b>	<b>28</b>	<b>28</b>	<b>27</b>	<b>26</b>	<b>27</b>	<b>20</b>	<b>29</b>



# Association of Independent Kentucky Colleges and Universities

## News highlights

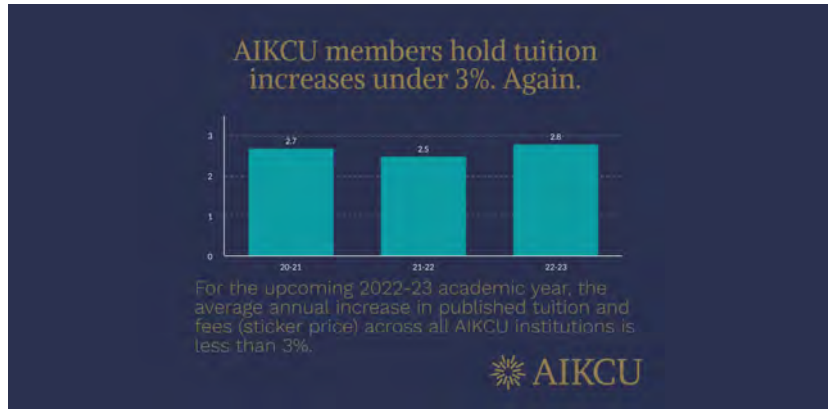
### AIKCU Emerging Leaders Program participants hear from Kentucky leaders, embark on summer internships

The AIKCU Emerging Leaders Program has wrapped up its first academic year. The leadership and career development program for junior students of color from AIKCU member institutions connected students with business and civic leaders throughout the year, including former Secretary of Education and Workforce Development Derrick Ramsey, Baptist Health executive Lourdes Baez, author and life coach Colene Elridge, and other persons of color in leadership roles throughout the state. This summer, AIKCU is helping place several of the Emerging Leaders in paid internships to help them gain valuable professional experience.

### AIKCU President OJ Oleka announces intent to step down November 30

AIKCU President Dr. OJ Oleka, has announced his intention to step down from his role effective November 30, 2022, to pursue other opportunities.

Full story: <https://aikcu.org/news/607738/AIKCU-President-OJ-Oleka-PhD-announces-intent-to-step-down-.htm>.



### AIKCU members hold average tuition increases under 3%

Despite increasing costs and the inflationary pressures on campus operations, Kentucky's independent colleges and universities continue to hold down tuition and fee increases. For the 2022-23 academic year, the average increase in tuition and required fees at AIKCU member institutions (excluding Alice Lloyd College and Berea College, work colleges where students pay no tuition) is less than 3%, with a third of AIKCU members increasing rates by less than 2%. Two institutions—**Midway University** and **University of the Cumberlands**—are holding tuition flat for the fourth consecutive year.

### AIKCU members celebrate extended commencement season

With 18 member independent colleges and universities, AIKCU has a long commencement season. Commencements kicked off during the last weekend in April with ceremonies at Kentucky Wesleyan College, Lindsey Wilson College, and University of the Cumberlands, and continued all the way into the first week of June, when Spalding University finished up with three days of ceremonies. In between, there were thousands of degrees awarded and promises fulfilled. We can't wait to see how these new alumni better the Commonwealth. (Photo: Midway University)





# CPE REPORT

Eastern Kentucky University News for the Council on Postsecondary Education



## EKU AND SCC ANNOUNCE AGREEMENT TO CREATE OPPORTUNITIES FOR NURSING STUDENTS

Creating an educational pathway to address the dire need for nurses in the Commonwealth, Eastern Kentucky University and Somerset Community College announced an agreement that will create opportunities for students in the Manchester, Ky., area to earn an Associate Degree in Nursing at the EKU Manchester campus.

“This partnership is a combination of what EKU and SCC do so well, we train workforces to serve the Eastern Kentucky region,” said EKU President David McFaddin. “As leaders in nursing education, we are addressing the immense nursing shortage across the state. EKU and SCC are committed to making sure we help provide a solution for this need through a world-class education offered right here in Kentucky.”

Starting in January 2023, Somerset Community College will admit up to 25 nursing students as a cohort to complete the Associate Degree in Nursing on the EKU Manchester campus. SCC obtained grant money that will enable the purchase of necessary equipment and make upgrades to classrooms at EKU Manchester, according to the Director

of the University Center of Southern Kentucky, Trent Pool. The UCSK is a consortium of postsecondary educational institutions bringing educational opportunities close to home in southern Kentucky.

“Somerset Community College is excited to be working with Eastern Kentucky University in the much needed effort to bring more nurses to the region,” said SCC President and CEO Dr. Carey Castle. “We appreciate working with them in a part at their Manchester campus. Our focus continues to be helping people prepare for a new career in this area as quickly and efficiently as possible. The need for nursing and health science related careers is critical, especially in eastern Kentucky, and this effort will make a difference quickly to the people of this region.”

“Health care is a huge deal in the state of Kentucky,” said Senate President Robert Stivers. “We have been working with the Governor’s office to come up with a strategic way to get more nurses in the pipeline and out to serve communities.”



## EKU IS THIRD BEST MILITARY FRIENDLY SCHOOL IN THE U.S.

Eastern Kentucky University continues the tradition of being a top school for veterans as the Military Friendly® Schools has released its 2022 rankings, placing EKU at No. 3 in the United States.

Military Friendly® Schools require institutions to meet a variety of standards, including student support and retention, graduation rates and campus culture. Schools participating in the rankings are held to an even higher standard than previous years, according to a press release from the Military Friendly® organization.

“EKU is committed to the belief that veterans and military service members offer a unique cultural and social perspective to our campus community,” said EKU President David McFaddin. “We are proud that our continuous investment in the programs offered through our Office of Military and Veterans Affairs is being recognized once again.”

The Office of Military and Veterans Affairs (OMVA) provides a centralized office with dedicated staff who are equipped to advocate for students from the moment an application is received until graduation and beyond.

“While we are thrilled and honored to have achieved this ranking, it is simply representative of the value our leadership and campus community place upon these students,” said Barbara Kent, director of the OMVA. “EKU recognizes that in addition to the life experience, leadership skills and academic success they bring to campus, they are a diverse population and our services and programming reflect the investment EKU is willing to make to ensure their needs are met.”

Kent noted that EKU’s increased retention, graduation and career outcome rates are a contributing factor to the top three ranking.

## AVIATION PROGRAM LAUNCHES UNMANNED AIRCRAFT SYSTEM PROGRAM

Eastern Kentucky University students will have the opportunity to become a leader in unmanned aircraft systems (UAS), also known as drones, after being approved as a major concentration within the Bachelor of Science in Aviation program. Classes will begin in the fall 2022 semester.

EKU’s innovative program will prepare students for careers in the rapidly expanding area of UAS, including detailed knowledge of UAS-specific operations, safety, technology, regulations and industry uses. The demand for certification in this area is high as there were more than 488,000 commercial UAS registered in the United States by the end of 2021.

Industries that utilize drone technology include agriculture, construction, law enforcement and more. EKU aviation officials said a variety of campus departments have demonstrated interest in partnering with them so students can learn how UAS can complement their discipline. Students can complete a minor in UAS by completing 18 hours of courses.

“As the only four-year aviation program in the Commonwealth, we are committed to innovating our programs to fit the needs of industry,” said EKU President David McFaddin. “Offering the unmanned aircraft systems concentration allows our students to become leaders in this area and enhances the profile of our aviation major.”



For more information about the new unmanned aircraft systems concentration or the other three aviation major concentrations, visit [aviation.eku.edu](http://aviation.eku.edu).

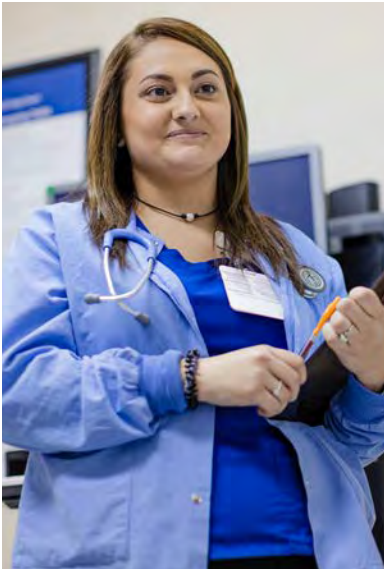


# KCTCS GOOD NEWS REPORT

JUNE 2022

## BCTC LAUNCHES NEW HEALTH CARE PROGRAM

WITH GARRARD COUNTY HIGH SCHOOL AND UK HEALTHCARE



Bluegrass Community and Technical College (BCTC) is part of a new program developed with UK HealthCare to accelerate the path toward a health care career for dual credit high school students. The new Healthcare Jumpstart Program focuses on nursing and allows dual credit students to complete prerequisite courses for an associate degree nursing (ADN) program. It will begin at Garrard County High School and expand to other counties. Jumpstart participants will begin the program in their freshman year of high school.

After high school graduation, students will enter BCTC and continue working toward an associate degree. Upon completion of the ADN, graduates will be eligible for a full-tuition scholarship from UK HealthCare, guaranteeing employment after graduation if they agree to work as a registered nurse at UK HealthCare for three years.

"BCTC is always looking for ways we can partner with our community to provide opportunities for students," Koffi Akakpo, Ph.D., BCTC president, said. "The Healthcare Jumpstart Program provides means for our youngest students to get started on their pathway to a career while in high school, saving time and money. These students can graduate debt-free and have a job waiting for them. We are pleased to work with UK HealthCare to make this possible for our students."



**KENTUCKY**  
COMMUNITY & TECHNICAL  
**COLLEGE SYSTEM**

# SOMERSET COMMUNITY COLLEGE PROFESSOR

## SELECTED FOR NATIONAL ADDITIVE MANUFACTURING ADVISORY BOARD

Somerset Community College Professor Eric Wooldridge has been appointed as one of eight members of the newly formed national Additive Manufacturing (AM) Coalition. The AM Coalition is recognized as the voice for additive manufacturing with decision-makers and stakeholders, including federal agencies and Congress.



Professor Wooldridge is the only member from a community college. Others named to the coalition are:

- Dr. Arvind Agarwal, Distinguished University Professor and Chair of the Department of Mechanical and Materials Engineering, Florida International University
- Dr. Allison Beese, Associate Professor of Materials Science and Engineering and Mechanical Engineering, Pennsylvania State University
- Mr. Tim Bell, Head of the Center of Competency for Additive Manufacturing for Siemens Digital Industries USA
- Dr. Slade Gardner, President and Founder of Big Metal Additive
- Ms. Mara Hitner, Vice President of Strategic Partnerships, MatterHackers
- Mr. Tom Kelly, globally recognized expert on Industry 4.0 and its impact on business, Executive Director and CEO of Automation Alley.
- Dr. Aaron LaLonde, U.S. Army DEVCOM Ground Vehicle System Center's Additive Manufacturing team within the Materials organization.

Professor Wooldridge is an engineer in multiple disciplines including architectural, mechanical, and manufacturing systems. He holds multiple patents regarding insulated cooler and embalming systems technology.

He is the director of the KCTCS AM program. In response to needs created by COVID-19, Professor Wooldridge developed the Rapid Response Additive Manufacturing Initiative (RRAMI) creating a network of 10 AM sites across Kentucky, capable of providing a flexible, stop gap manufacturing response in both polymers and metals, to supply chain breakdowns and production opportunities for the state. His goal is to scale the RRAMI network beyond Kentucky's borders and to create a national AM response platform consisting of colleges and universities.







# GOOD NEWS

JUNE 2022

## CHI SAINT JOSEPH HEALTH, KENTUCKY STATE UNIVERSITY FORM ALLIANCE TO INCREASE NUMBER OF NURSES IN KENTUCKY

*Health system to provide \$2.5 million in tuition assistance, program support over five years*

CHI Saint Joseph Health announced plans to invest \$2.5 million in tuition assistance and program support of the Kentucky State University School of Nursing over the next five years. The alliance will include direct job placement for nurses at CHI Saint Joseph Health upon completion of the KSU nursing program.

“CHI Saint Joseph Health and Kentucky State University share common core values – collaboration and inclusion,” said Anthony A. Houston, Ed.D., FACHE, market CEO, CHI Saint Joseph Health. “We are excited about this opportunity to form a transformational and lasting relationship with Kentucky State University to help educate the next generation of nurses across the commonwealth and to build on the quality nursing team we have across Kentucky at CHI Saint Joseph Health.”

Under the partnership, CHI Saint Joseph Health will provide scholarships of up to 30 students enrolled at any time in the KSU School of Nursing of up to \$20,000 total. The total award for each student is intended to cover the two years of the associate’s degree (AASN) or the final two years of the Bachelor of Science in nursing (BSN)



program. Students who receive the scholarship must meet program requirements and work with a CHI Saint Joseph Health-appointed liaison through the program, and commit to working in a CHI Saint Joseph Health facility as a registered nurse for 30 months.

“The dire nursing shortage across the country is expected to intensify over the next few years,” said KSU Acting President Clara Ross Stamps, Ed.D. “This partnership with CHI Saint Joseph Health will allow us to work together to expand and increase the diversity of the

nursing workforce in Kentucky by helping students achieve their goal of caring for others as we work to continue a journey to excellence in our School of Nursing.”

The scholarship application period is open. For more information about CHI Saint Joseph Health, visit [CHISaintJosephHealth.org](http://CHISaintJosephHealth.org); to learn more about the KSU School of Nursing, visit [www.kysu.edu/nursing](http://www.kysu.edu/nursing).

## 258 GRADUATES REPRESENTING 24 STATES AND 3 FOREIGN COUNTRIES CROSS THE STAGE AT KENTUCKY STATE UNIVERSITY’S 2022 COMMENCEMENT CONVOCATION

Over 250 Thorobreds – comprised of students from both the fall 2021 and spring 2022 classes – officially became Kentucky State University alumni after proudly walking across the stage at Commencement Convocation Friday, May 13 at Alumni Stadium. Among the graduates were 15 students who had maintained a grade point average of 4.0 or higher, along with 15 high school candidates from West Jessamine High School who earned their associate degree in liberal arts.



**Set big goals.** Write them down. Look at them every single day. Tell no one because some people will try to throw negative doubt in your head.

**Be humble.** When you are humble, you will get more than you ever imagined. God will open doors and blessings will flow more than you can ever imagine.

**Be ready to say yes.** People will ask you to do a lot of things. Sometimes we block our blessings when

we say, “No.” Say, “Yes!”

President and CEO of the Thurgood Marshall College Fund Dr. Harry L. Williams delivered the keynote address exclaiming that the future of the Class of 2022 would be bright because they are now a part of the Kentucky State University legacy. “I can guarantee you that the faculty here have prepared you well for your journey. You are going to be successful. You are part of a legacy of greatness where you are going to thrive and provide yourself and your family with great opportunities.” Williams shared seven keys with graduates to live by to lead a successful life.

**Faith.** Hold on to and don’t let anything rock your faith; it will sustain you when you go through storms.

**Family.** Your family will be there with you through thick and thin; they are here with you today. When you have difficulties, go back to the people that know you better than you know yourself for an encouraging word.

**Have passion for your work.** Never get a job where you say, “I hate going to work.” You need to be passionate about the work you do.

**Get up every day with a positive attitude.** With a positive attitude, there is nothing that you can’t do.

Williams received the Honorary Doctorate Degree of Humane Letters from Kentucky State.

Accomplished National Recording Artist Kathy Taylor brought graduates to their feet with songs of praise and celebration during Commencement singing to them to raise their confidence.

Lancaster, Kentucky student-athlete Olivia Saylor provided the Valedictorian Address.

“When coming to Kentucky State 1,372 days ago, I felt like a one-man wolf pack and I’m sure I wasn’t the only one that felt this way. Along the way, we have met many people, made lasting friendships, created lifelong memories, and added new members to our wolf pack. As we leave KSU, remember that you can do whatever you set your n because you have already proven that today.”

## KENTUCKY STATE UNIVERSITY CELEBRATES MILESTONE IN NEW STUDENT HOUSING PROJECT



With construction almost complete on the new state-of-the-art residence hall at Kentucky State University, the university hosted a truss signing ceremony to celebrate the significant progress on Tuesday, May 10. During the ceremony, students, alumni, faculty, staff, and community members were able to sign their names and messages on the last truss to be placed during the framing phase of the more than 164,000 square foot structure.

Acting President Dr. Clara Ross Stamps emphasized the importance of this moment for students and shared how this historic day started from a long overdue phone conversation. “Our students deserve the best. They deserve a living and learning environment that is state-of-the-art where they can collaborate and innovate and come up

with the next problems to solve for the Commonwealth,” she shared before inviting students forward to be the first to sign the truss.

Among students present was sophomore Savion Briggs who also provided remarks. “So many influential figures have walked the campus of Kentucky State. The residence hall behind us is the next step in creating excellence on this campus,” he said. Focusing on the expansive lobby, study lounges, offices and workrooms, recreational space and a multipurpose classroom, Briggs added that the new residence hall will make, “being successful on the Hill even more attainable.”

Miss Junior 2021-22 Miana Wallace also provided remarks along with Kentucky State Frankfort Alumni Chapter Vice President Cornelia Calhoun, Kentucky State Staff Regent Edward Fields, and Craig Turner with KSU Campus Housing LLC.

The Whitney M. Young Residence Hall was the most recent residence hall to open on campus in 2008.

## KENTUCKY STATE LAUNCHES ASPIRING TEACHER SUMMER EDUCATION PROGRAM

Kentucky State University has created the Aspiring Teacher Summer Education Program to further increase student interest in a career as an educator, as well as increase student interest in the Kentucky State University education degree program. This pilot program provides high school low-income and first-generation students in Louisville an opportunity to take college-level education courses to jumpstart their career pathway to become teachers. Additionally, all Jefferson County Public Schools (JCPS) employees are eligible to participate in this program and take these courses free of charge.

Starting in the summer of 2022, the Aspiring Teacher Summer Education Program allows qualifying students and JCPS employees to take up to two online courses free of charge. The two available courses are EDU 202, Foundations of Teaching and Education, and EDU 203, Introduction to Technology in Education. Student applicants must be a resident of West Louisville, have recently graduated from a high school in JCPS, and be interested in a potential career in education. These courses can be applied to the pursuit of an education degree at Kentucky State or other education degree programs, with the hope that this program will increase the pool of diverse, highly skilled teachers in Kentucky.

Dr. Timothy Forde, professor and chair of the School of Education, Human Development, and Consumer Sciences, emphasized the goal to increase the diversity of teachers in West Louisville. “There are only a few things more rewarding than making a difference in shaping the lives of children, inspiring and being a mentor to them,” he said.

To find out more information about the program, please email [timothy.forde@ksu.edu](mailto:timothy.forde@ksu.edu) or call (502) 597-6044.

## KENTUCKY STATE UNIVERSITY TWO-TIME ALUMNA MAKES HER MARK IN GOVERNMENT AND SERVICE



A Kentucky State University two-time alumna is an active member of the Frankfort community and plays an integral role at the Legislative Research Commission (LRC). Katie Carney earned a bachelor’s degree in political science with a minor in Spanish in 2014 and a master’s degree in public administration with an emphasis in human resources in 2017 at Kentucky State. Carney serves as an executive assistant for the director’s office at LRC, specifically working for the deputy director for committee and staff coordination. She recently celebrated her 20th anniversary at LRC.

“Since starting with LRC, I have been involved with the fiscal impact statement process,” Carey said. “I am now coordinator of this process. I review proposed legislation that may have a potential impact on public retirement systems, private health insurance, state and local corrections and local governments.”

Carney’s duties at LRC are diverse from receiving bill draft requests for legislators; to compiling two publications; overseeing blood drive donations and being a general point of contact for legislators, staff and the general public for navigating the legislative process, among many, many other responsibilities.

While Kentucky State is a thread that runs through Carney’s professional life, it can also be found in the seams of her personal life. Carney’s husband, Julian Bond, was recruited to play football at Kentucky State in 1988 from Owensboro High School. The couple met at her mother’s downtown restaurant, where Bond worked.

From their union, a baby girl was born: Emerson Bond. Now 8, Carney said her daughter is very aware that her parents are Kentucky State alumni.

Unfortunately, in 2017, the family’s lives were forever changed. “Julian graduated with me in May 2014 and started the MPA program with me that fall,” Carney said. “Sadly, he died from pneumonia on December 13, 2017, days shy of my MPA graduation. Dr. (Gashaw) Lake petitioned the Board of Regents to award Julian with his MPA degree posthumously in May 2018. So I accepted his degree and mine during that ceremony.”

While Carney’s experience at Kentucky State holds special memories (of study abroad opportunities to Costa Rica and Colombia); important lessons learned (be responsible, attentive and engaged in your courses); and hard-won advice (go outside your comfort zone, speak up and stand out), hers is a story that resonates because of the triumph and tragedy of life.



JUNE 2022



## MSU establishes new Center for Career Development and Experiential Education

Morehead State University announced the establishment of the new Center for Career Development and Experiential Education. It is a merger of existing departments, including Career Services, Undergraduate Research, Education Abroad, Service-Learning, and Internships. The center will serve as the central resource for a career-centered campus culture that actively engages every student in achieving their personal and professional goals.

"I am eager to lead this talented team in building even more opportunities for our students to complement what they are learning in the classroom with professional practice and hands-on experience," said Megan Boone, interim director of the Center for Career Development and Experiential Education. "We want all Eagles to be set up for career success."

The Center for Career Development and Experiential Education's mission is to guide and support all MSU students to explore their strengths and passions, engage in career-readiness activities and participate in hands-on experiences to develop in-demand skills. It will cultivate a network of faculty, staff, alumni, employers and community partners to facilitate connections and opportunities focused on the lifelong success of our students and alumni.

"The formation of the new Center for Career Development and Experiential Education represents yet another of MSU's investments in our students' career readiness," said Dr. Laurie Couch, associate provost for undergraduate education and student success. To learn more, visit [www.moreheadstate.edu/career](http://www.moreheadstate.edu/career).



### Office of the President

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## **Morehead State recognized as one of Abound's 2022 Best Colleges for Adults**

Abound, a guidance and resource website for adults seeking a college degree, has recognized Morehead State University as one of its 2022 Best Colleges for Adults. The list highlighted institutions from 40 states and MSU was one of only four schools in Kentucky to receive this recognition.

Schools selected for Abound's 2022 Best Colleges for Adults emphasize flexibility and affordability for post-traditional students, including night and weekend access, online programs, affordable tuition and solid part-time student retention and graduation rates.

"We understand that post-traditional students require convenient and flexible course formats that allow them to manage classes along with their many other responsibilities. MSU's online completter degrees, regional campuses and outstanding advisors and support staff make degree completion possible for adult students," said Jen Timmerman, director of transition services at MSU. "We offer a variety of resources, including free tutoring, career coaching and success programs, to ensure students feel supported throughout their educational journey at Morehead State."

## **Computer Science Professor Dr. Heba Elgazzar explores how tech can help stroke victims**

Dr. Heba Elgazzar, assistant professor of computer science, recently published a paper she co-authored with computer science student and sophomore Dalton Hensley of Hatfield, exploring how technology can help stroke victims.

The paper, "Classification of Stroke Victims through Supervised Machine Learning Algorithms and Ensemble Learning," was presented and published as a full paper in the proceedings of the 12th Institute of Electrical and Electronics Engineers (IEEE) Annual Computing and Communication Workshop and Conference in January 2022.

The research explores several machine learning methodologies for classifying stroke victims using an aggregate of ensemble learning and other supervised learning strategies. The experimental results showed an ability to effectively classify conditions such as stroke with considerable high accuracy and precision. The medical field could benefit from such methods to aid medical practitioners in classifying diseases and reducing the cognitive burden of independent diagnoses.

## **Morehead State MBA program ranked one of nation's best by Fortune Magazine**

One of the world's most prominent media outlets for business and financial news recognizes Morehead State's value for prospective students. Fortune Magazine named MSU to the Fortune 2022 Best Online MBA (Master of Business Administration) Programs list. It was one of 108 schools highlighted nationwide. MSU made the list as one of only two universities in Kentucky and it was the only public institution in the Commonwealth to receive this recognition.

The rankings come courtesy of Fortune Education, which the global business media outlet created to help guide prospective MBA students to the best institutions offering MBA programs.

"I believe that this award highlights the dedication and hard work of the faculty and staff in both the Smith College of Business and MSU Graduate School who strive to provide our MBA students with a high-quality learning experience," said Sam Stapleton, MBA program director.



### Office of Multicultural Initiatives, Student Leadership and Inclusive Excellence hosts 16th annual Diversity Achievement Awards

Murray State University's Office of Multicultural Initiatives, Student Leadership and Inclusive Excellence (OMI) held the 16th annual Diversity Achievement Awards on April 30. This event recognizes the academic, leadership and diversity achievements of graduate and undergraduate underrepresented minority (URM) students, student organizations and student leaders who support diversity, equity and inclusion.

OMI Executive Director and the program's founder, Dr. SG Carthell stated, "This program has a significant impact on URM students' sense of belonging and promotes the inclusive culture we aspire to achieve through the guiding principles outlined in the Murray State Community Commitment."

More than 260 students, faculty, staff and friends attended the event this year.

Students and student organizations were honored for their academic excellence, service and leadership. Dr. Aaron Thompson, president of the Kentucky Council on Postsecondary Education, was awarded the 2022 African American Heritage Lifetime Achievement Award for his lifelong service and achievement in championing the rights of citizens in western Kentucky, the Commonwealth and the nation.

### Murray State University recognized for its centennial year by Kentucky Senate and Kentucky House of Representatives

Murray State University was recognized for its centennial year in 2022 by the Kentucky Senate and Kentucky House of Representatives.

Senate Resolution 166 was introduced and delivered on March 8, 2022 — 100 years to the day of Murray State's founding — by Senator Jason Howell. Jordan Smith, Executive Director of Governmental and Institutional Relations was presented the Senate Resolution in person on the Senate floor on behalf of the University.

The House Citation was introduced and delivered on March 8 as well, by State Representative Mary Beth Imes, who represents House District 5, which includes Calloway County, home of Murray State University, as well as a portion of Trigg County.

"We are very appreciative of Senators Howell, Carroll, Mills, Westerfield, the Kentucky



Senate, State Representative Mary Beth Imes and the Kentucky House of Representatives for these resolutions commemorating our special centennial year," said Murray State President Dr. Bob Jackson. "This is truly a special year for Murray State University. We are committed to continue serving an important role in helping advance our region and state. We are thankful for the support shown by so many in our legislature toward our institution."

### Beta Gamma Sigma International Honor Society in business holds induction ceremony



The Murray State University chapter of Beta Gamma Sigma International Honor Society in business inducted 37 new members during the 45th annual induction ceremony on April 25.

Lifetime membership in Beta Gamma Sigma is by invitation only. Membership in Beta Gamma Sigma is the highest recognition a business student anywhere in the world can receive in a business program accredited by Association to Advance Collegiate Schools of Business (AACSB) International. The top 10 percent of sophomores, the top 10 percent of juniors, the top 10 percent of seniors and the top 20 percent of graduate students are invited for membership.

"Beta Gamma Sigma is the premiere honor society for business students worldwide per the gold standard of AACSB accreditation," said Kelsey Johnson, advisor for the Arthur J. Bauernfeind College of Business Beta Gamma Sigma Chapter. "Here in the Arthur J. Bauernfeind College of Business, we have held this accreditation since 1976. Our faculty, staff and alumni are proud of this mark of quality at the highest level, and these student leaders who are now inducted into Beta Gamma Sigma represent the brightest of the bright. We are very proud of them."

## Four students named Fulbright scholars



teaching assistant (ETA) in Asturias, Spain. During her time abroad, she also plans to volunteer, travel and become fully immersed in Spanish culture.

Jaden Estes, a May 2022 graduated senior from Paducah, Kentucky, is the recipient of a Fulbright fellowship to serve as an ETA in South Korea. She received a Bachelor of Arts degree in TESOL while attending Murray State, best preparing her for an opportunity to live and work abroad for the 2023 school year.

Jasmine Neal, a May 2022 graduated senior from St. Louis, Missouri, is the recipient of a Fulbright fellowship to serve as an ETA in Indonesia. While in Indonesia, she plans to create a photo exhibit to celebrate the local culture and people. Additionally, she hopes to find many ways to engage with the local community and people in order to gain perspective and understanding to a country beyond her own.

Mallory Wooldridge, a May 2022 graduated senior from Murray, Kentucky, is the recipient of a Fulbright fellowship to serve as an ETA in Bavaria, Germany. Before moving to Germany, she will take a Teaching English to Speakers of Other Languages (TESOL) course that will provide her with skills necessary to teach English abroad. She is hopeful that by further developing her German language skills and cultural competencies, she will pave the way for future opportunities within the field of international relations, especially in regard to fostering cultural exchange and mutual understanding between Germany and the United States.

Four Murray State University students have been awarded Fulbright scholarships. This year's recipients of this prestigious award include Victoria Benard, Jaden Estes, Jasmine Neal and Mallory Wooldridge.

The Fulbright United States Student Program expands perspectives through academic and professional advancement and cross-culture dialogue, while creating connections in a complex and changing world. In partnership with more than 140 countries worldwide, the Fulbright Program offers unparalleled opportunities in all academic disciplines to passionate and accomplished graduating college seniors, graduate students and young professionals from all backgrounds. Program participants pursue graduate study, conduct research or teach English abroad.

Victoria (Tori) Benard, a May 2022 graduated senior from Goreville, Illinois, is the recipient of a Fulbright fellowship to serve as an English

## Murray State University receives employability certification in multiple academic disciplines

Murray State University and the Quality Assurance Commons (QA Commons) are pleased to announce that five academic programs have been granted Essential Employability Qualities Certification (EEQ CERT). QA Commons grants EEQ CERT after a rigorous external and independent review process. It signals to employers and the public that educational programs prepare students with the employability skills critical for workers looking to build and advance in their careers.

The University now holds eight certified academic programs across campus. The programs represent diverse disciplines, inclusive of both liberal arts and pre-professional fields of study.

"The institutional commitment to employability at Murray State is evident," said Mason Bishop, President of QA Commons. "Students are calling for education aligned to employment and employers are calling for graduates to show up prepared with an understanding of the workplace. Murray State is taking these calls seriously."

The five newly-certified programs are Agricultural Science, Animal Technology, History/Social Studies Certification, Music Business and Organizational Communication. Already certified are the following programs: Construction Management and Architecture, Cybersecurity and Network Management and Occupational Safety and Health.



## Hitimu ceremony recognizes graduates

Murray State University Board of Regents members, administrators, faculty and staff recognized students from the Class of 2022 at the Hitimu Celebration, which took place on May 13 at the Curris Center and was hosted by the Office of Multicultural Initiatives, Student Leadership and Inclusive Excellence.

Hitimu is a Swahili word which means graduate, finish education, complete, qualify or end. The special event gives each graduate the opportunity to share their personal story and remarks in front of attendees, including family and friends.

## Murray State University celebrates commencement

As part of its centennial year in 2022, Murray State University hosted commencement ceremonies for spring/summer 2022 graduates as well as fall/winter 2021 graduates on May 14 at the CFSB Center.

A total of 1,501 degree applicants comprised the spring/summer 2022 graduating class, including doctoral, specialist, master's, baccalaureate and associate degrees. A total of 34 states and 16 countries were represented in the spring/summer 2022 graduating class.



# GOLD RUSH

JUNE 2022

NORTHERN KENTUCKY UNIVERSITY

## GO FIGURE

1,907

Graduates during  
Spring Commencement

560

First-generation graduates

292

Underrepresented  
minority graduates



### NKU, GOVERNOR'S SCHOOL FOR ENTREPRENEURS (GSE) EXTEND PARTNERSHIP THROUGH 2026

Northern Kentucky University and the Governor's School for Entrepreneurs (GSE) announced that their partnership to host the annual summer program at NKU has been extended through 2026. The new agreement allows NKU to host the three-week residential program on the Highland Heights campus, while also continuing year-round collaboration to build the entrepreneurial spirit in students throughout the Commonwealth of Kentucky.

"We are excited to continue our partnership with the Governor's School for Entrepreneurs for many years to come," Dr. Matt Cecil, NKU provost and executive vice president for academic affairs, said. "NKU is the perfect setting for GSE, as the university and its location within the Greater Cincinnati and northern Kentucky region allows us to foster innovation that will enhance our community's vibrancy for generations."

GSE brings students from across Kentucky and immerses them in a creative space and equips them with the tools needed to unleash their innate entrepreneurial spirits for the betterment of the Commonwealth. During the program, teams of high school students develop a business model, design a prototype and pitch their startup to a large audience and a panel of judges.

"The Governor's School for Entrepreneurs is a sought-after experience that offers high school students a rewarding and unique opportunity to build their entrepreneurial skills and put them on a path for long-term success," Kentucky Governor Andy Beshear said. "I am excited that GSE will continue its partnership with Northern Kentucky University through 2026, allowing for more students to take part in this residential program that helps prepare them for college and beyond."

For the second consecutive year, the 2022 Governor's School for Entrepreneurs will happen in two sessions on the NKU campus. The first session is June 12 through July 2, while the second session is scheduled for July 10 through July 30. Students for the summer 2022 session have already been selected.

## COLLEGE CORNER

The Northern Kentucky University Master of Public Administration program is ranked among the best in the United States and Kentucky, according to a new report by U.S. News and World Report.

According to the new rankings, the NKU MPA is ranked as the No.3 Best Public Affairs graduate program in the Commonwealth of Kentucky. The program is once again ranked nationally at No. 157, moving up more than 10 spots since the 2021 rankings.

LEARN MORE: <https://tinyurl.com/ysyftj2w>

LEARN MORE: <https://tinyurl.com/3krmackt>



## NKU HOSTS CONCERT TO BENEFIT UKRAINIAN REFUGEES

In light of the tragic events transpiring in Ukraine, Northern Kentucky University welcomed back two world-renowned pianists and NKU graduates on April 22 for a concert to benefit Ukrainian refugees in the northern Kentucky/Greater Cincinnati community.

Ukraine natives Anna Shelest ('05) and Dmitri Shelest ('05, '08) returned to campus and the Greaves Concert Hall to perform works by Ukrainian and American composers. NKU School of the Arts string musicians and members of the NKU Chamber Choir also performed. The Shelests hail from the city of Kharkiv, which is a sister city of Cincinnati.

"Our hearts continue to be with the people of Ukraine through this tragic time," NKU President Ashish Vaidya said. "NKU is fortunate to have such incredibly talented alumni in Anna and Dimitri. Hosting the concert with their help, allows us to support two excellent organizations aimed at welcoming Ukrainian refugees in our community." The concert raised more than \$20,000 for Kentucky Refugee Ministries and Refugee Connect, two local organizations committed to servicing any refugees from Ukraine. The concert was sponsored by Duke Energy and Vincent Lighting Systems (VLS). **LEARN MORE:** <https://tinyurl.com/3wsvt35f>



## THE SCOREBOARD

Christina Roybal has been selected as NKU's next Vice President and Director of Athletics, effective July 1, 2022.

In her role at Northern Iowa, Roybal served as the primary administrator for 14 of the institution's 17 sports, which included being responsible for budget development, expenditure approvals, maintaining compliance within NCAA and Missouri Valley Conference regulations and standards, non-conference scheduling, and student-athlete conduct and welfare.

**LEARN MORE:** <https://tinyurl.com/yezr8y5f>

## BRIEFS

### NKU CUTS RIBBON ON FIRST-YEAR STUDENT SUCCESS HUB

NKU opened its new First-Year Student Success Hub after a ribbon-cutting ceremony on campus on April 28. It will offer first-year students a centralized, comprehensive advising experience. The Hub aims to provide first-year students the confidence to pursue academic goals using a coordinated-care approach.

The First-Year Student Success Hub also aligns with the *Success by Design* strategic framework laid out by the university in 2019. This will allow NKU students to stay on their path toward graduation.

**LEARN MORE:** <https://tinyurl.com/45594ajb>

### NKU ESPORTS ROCKET LEAGUE TEAM WINS BLUEGRASS BOOST BATTLE CHAMPIONSHIP

The NKU Esports Rocket League Team won the Bluegrass Boost Battle Championship after defeating the University of Kentucky team 4-3 in the grand finals.

Rocket League is a high-powered, arcade-style soccer game with vehicular mayhem. Each team is comprised of three players battling in a five-minute match. The NKU team participated in the inaugural Rocket League Bluegrass Boost Battle in fall 2021, claiming the title against UK's A Team, the same team NKU faced in the finals this spring.

**LEARN MORE:** <https://tinyurl.com/ysjrmkj7>

## FACULTY FOCUS

Dr. Dekuwmini Mornah, assistant professor of entrepreneurship in NKU's Haile College of Business, has been awarded a fellowship by the Carnegie African Diaspora Fellowship Program to travel to Ghana to work with Academic City University College (ACity). Mornah will work on collaborative curriculum development in entrepreneurship with ACity faculty to develop joint projects, courses, and a study abroad program between the two institutions.

This will be Mornah's second fellowship through the program. Mornah joined the Haile College of Business as an assistant professor of entrepreneurship in 2020.

**LEARN MORE:** <https://tinyurl.com/5y7n6mp>

### YOUNG SCHOLARS ACADEMY ANNOUNCES EXPANSION INTO EIGHT SCHOOL DISTRICTS

NKU announced that its Young Scholars Academy, a program that allows students to take college-level courses while still in high school, is expanding its reach into the northern Kentucky community, now serving nine total school districts.

The eight new school districts joining the Young Scholars Academy are Covington Independent Schools, Pendleton County Schools, Erlanger-Elsmere Schools, Newport Independent Schools, Bellevue Independent Schools, Dayton Independent Schools, Ludlow Independent Schools, and Bracken County Schools. These eight districts will join Kenton County Schools, which was the founding member of the Young Scholars Academy.

**LEARN MORE:** <https://tinyurl.com/33n6twyv>

### NKU'S BB&T ARENA CHANGES NAME TO TRUIST ARENA

NKU announced its on-campus arena, BB&T Arena, has changed its name to Truist Arena. The name change reflects the merger between BB&T and SunTrust, with the new company branding itself as Truist.

Changes to outdoor and indoor signage have been completed at Truist Arena. Fans and patrons will see new Truist Arena branding around the arena when the Norse basketball teams return to the court in the fall.

This is the second name change in the arena's history. Following the buyout of The Bank of Kentucky by BB&T, the arena rebranded as BB&T Arena in 2015.

**LEARN MORE:** <https://tinyurl.com/y3jwa7mx>

## ALUMNI NEWS



### MUSIC IS HER CAREER

The sounds of drums, bass guitar and keyboards reverberate across the stage and into the crowded dance floor beyond it. Chants and enthusiastic yells from the crowd can be heard far outside the venue as the lead singer grips the microphone and begins singing. The entire building has a magical, passionate aura as the atmosphere lasts well into the night.

This is something Rebecca Potzner ('13) and her company, Banded PR, highlight on a regular basis. Banded PR, a boutique public relations agency and media outlet, primarily writes reviews of albums or interviews with musicians. During her tenure as head of the company, Potzner has featured bands such as Dirty Honey, Greta Van Fleet, The Aces and The Last Bandoleros.

**LEARN MORE:** <https://tinyurl.com/2zhkfrh7>



# PRESIDENT'S REPORT JUNE 2022

UNIVERSITY OF KENTUCKY



## LETTER FROM PRESIDENT CAPILOUTO

With a proposed \$5.6 billion budget, the University of Kentucky is a growing enterprise, building on a palpable and real sense of momentum to advance the state we are called to serve.

We know our budget is a direct reflection of our values: our students, who are Kentucky's future, as well as our people, whose work promises the transformation and healing of communities across the Commonwealth, nation and world.

We also value the infrastructure that provides a vessel for this progress – expanding our capacity to achieve what's possible.

Those who place their faith in us – and those who will follow – turn to the university time and time again, and we are always ready to answer the call.

I remain humbled by and eager to see the ways our community comes together – as it always has – to do more and be more.

**That is who we are.**

Eli Capilouto  
President

## UK START PROGRAM OFFERS HIGH SCHOOLERS STEM EDUCATION

The STEM Through Authentic Research and Training (START) program at the University of Kentucky is creating a unique pipeline to increase science, technology, engineering and math (STEM) literacy and promote STEM careers for traditionally underrepresented populations – people of color, individuals with disabilities, students from free or reduced lunch schools, first-generation college students and girls and women in STEM.

START represents a cross-campus partnership between the University of Kentucky (providing opportunities for STEM research), Fayette County Public Schools and the Academies of Lexington, the Kentucky-West Virginia Louis Stokes Alliance for Minority Participation (LSAMP) program and Space Tango, a Lexington-based STEM company. The START program is funded by a five-year, \$1.3 million Science Education Partnership Award (SEPA) from the National Institute of General Medical Sciences (NIGMS), part of the National Institutes of Health.



## UK NAMED 2022 BEST EMPLOYER FOR RECENT GRADUATES

The University of Kentucky has been named one of Forbes' "Best Employers for New Graduates" for 2022, ranking fifth among the 25 higher education institutions included in the overall list.

UK provides various professional development programs for college students and recent grads, offering knowledge, training and access to leaders, aimed at empowering employers to be the creators and innovators of tomorrow.

One program, in particular, is the Employee Education Program (EEP). Through this program, employees are eligible for a tuition waiver for classes taken at the university. Through supplemental educational courses and programs of study, the university hopes to encourage self-improvement and career growth.

UK prides itself in being a workplace where new graduates can learn, develop and grow, while making a lasting positive impact on the world. This recognition is a testament to the invaluable work of so many staff, faculty and students who reach across disciplines and units to collaborate and improve the workplace at UK.



## 14 FACULTY NAMED 2022-2023 RESEARCH PROFESSORS

The University of Kentucky Board of Trustees approved 14 University Research Professorships for the 2022-23 academic year. Established by the board in 1976, the professorships program recognizes excellence across the full spectrum of research, scholarship and creative endeavors at UK and awards each nominated professor a one-time amount of \$10,000 to be used to further their research, scholarship and creative endeavors that will ultimately advance Kentucky.

### The 2022-23 University Research Professors are:

- **Merlin Lindemann:** Animal and Food Sciences; College of Agriculture, Food and Environment
- **Theodore Schatzki:** Geography; College of Arts and Sciences
- **Carrie Oser:** Sociology; College of Arts and Sciences
- **Bobi Ivanov:** Integrated Strategic Communication; College of Communication and Information
- **Lindsey Fay:** Interiors; College of Design
- **Susan Chambers Cantrell:** Curriculum and Instruction; College of Education
- **Alexandre Martin:** Mechanical Engineering; College of Engineering
- **Yuha Jung:** Arts Administration; College of Fine Arts
- **Kristine W. Hankins:** Finance and Quantitative Methods; Gatton College of Business and Economics
- **Judith L. Page:** Communication Sciences and Disorders; College of Health Sciences
- **Nancy Schoenberg:** Behavioral Science; College of Medicine
- **Peter Nelson:** Sanders-Brown Center on Aging; College of Medicine
- **Daniela C. Moga:** Pharmacy Practice and Science; College of Pharmacy
- **David W. Fardo:** Biostatistics; College of Public Health

## UK RECEIVES RENEWED \$11.4 MILLION GRANT TO FURTHER CANCER RESEARCH

With disproportionately high incidences of both cancer and metabolic disorders, Kentucky leads the nation in cancer deaths and is in the top 10 for highest obesity rates in the country.

Our Center for Cancer and Metabolism (CCM) is answering the call and will continue its critical mission to research the metabolism of cancer with a renewed Centers of Biomedical Research Excellence (COBRE) grant award from the National Institutes of General Medical Sciences, part of the National Institutes of Health.

The prestigious grant – totaling \$11.4 million – will continue to fund UK's CCM over the next five years. While the first COBRE grant award allowed the next generation of scientists to address this major health threat in the nation, the second phase will build upon these accomplishments to propel CCM to a nationally recognized center in cancer and metabolism research that will also address the region's critical needs.





## UofL CELEBRATES SPRING 2022 COMMENCEMENT

Spring Commencement at the University of Louisville returned to its pre-global pandemic location on Saturday, May 14, with indoor morning and afternoon ceremonies held at the KFC Yum! Center in downtown Louisville. A total of 1,900 graduates attended as the university conferred approximately 3,300 degrees and certificates. Interim UofL President Lori Stewart Gonzalez led the commencement exercises. Two graduates were chosen as student speakers and an honorary doctorate was awarded during the afternoon ceremony to Kevin Nolan, president and CEO of GE Appliances.

Jesten Sylvester Slaw, a U.S. Army veteran receiving his juris doctor from the Louis D. Brandeis School of Law, was the morning ceremony speaker. Slaw, now a captain in the Army Reserve, served one combat tour in Afghanistan with the U.S. Army and was introduced to UofL when he served at Fort Knox.

Slaw was featured in a recent UofL Magazine story about the longtime partnership between the law school and Louisville's Central High School. He hopes to be a congressman someday as well as run an equal housing nonprofit.

Piper Marie Midle, a member of the Cardinal Marching Band receiving her bachelor of science in secondary education in mathematics, was the afternoon ceremony speaker. After graduating, Midle will return to her alma mater, South Oldham High School in Crestwood, Kentucky, to teach mathematics.

In their nomination letter, College of Education & Human Development associate professors Sue Peters and Shelley Thomas praised Midle for overcoming the challenges of becoming a teacher during a pandemic that upended school schedules and locations and left few true classroom experiences to learn from.

An honorary doctor of science degree was conferred upon Kevin Nolan, president and CEO of GE Appliances, a Haier Company. Nolan leads strategic investments for GE Appliances, and his work has resulted in \$50 million to help support public education initiatives in Louisville to provide equitable access to quality educational opportunities for all students. For Nolan's commitment to public education, in 2020, he received the Kelly Award from the Kentucky Department of Education.



Dear Friends,

The conclusion of the spring semester always brings a celebratory spirit to the University of Louisville's campus. Students, faculty and staff literally and figuratively close the books on classes, projects and other initiatives with the spring commencement exercises as the final celebration of a year's worth of personal, professional and academic successes.

About 1,900 graduates participated in the May 2022 commencement exercises. In higher education, I believe there is nothing that compares to watching our students walk across the stage. I think about the thousands of Cardinals who will soon unleash their potential and take on the careers and complex challenges of our world. The contributions our graduates make as engaged citizens, bold leaders and thoughtful scholars create thriving communities and society.

Our students' success is a testament to the commitment of more than 7,000 employees – nearly 3,000 of which are faculty – who

play vital roles in our students' academic, professional and personal development. Our employees work year-round to create promising futures for our students, our community and society.

These President's Reports always include a few recent newsworthy highlights. However, I encourage the readers of this particular report to visit the [UofL News website](#) and see the many examples of how UofL strives to be a great place to learn, work and connect.

My thanks to all who make UofL thrive in the ever-changing landscape of higher education.

Lori Stewart Gonzalez  
Interim President, University of Louisville





## UofL launches a new vision of health with largest single gift in its history

A catalytic investment in the University of Louisville will revitalize two currently historic buildings in Downtown Louisville. On May 25, the university announced the creation of the future home of the Christina Lee Brown Envirome Institute New Vision of Health Campus. The new space will enable the institute to continue its pioneering work addressing holistic health challenges for Louisville and beyond. Engaging scientists, health care practitioners, governments, organizations and community members in studying how natural, social and personal environments impact health, the institute is emerging as a world leader in decoding the nature of individual and environmental factors that promote optimum health and prevent disease.

## UofL hosts inaugural Black Business Summit to connect with the community

UofL's inaugural Black Business Summit was held April 28 in the Main Ballroom of the Student Activities Center. Hosted by UofL Interim President Lori Stewart Gonzalez, along with Louisville ambassadors and business leaders Alice Houston, Ira Salls, Junior Bridgeman, Darrell Griffith, UofL Interim Athletic Director Josh Heird and new UofL men's basketball coach Kenny Payne, the Black Business Summit showcased how collaboration can support student success and build a talent pipeline to all Louisville businesses. More than 300 guests attended representing 120 businesses from all sectors and areas of the community.

Speakers shared stories about their personal connection with UofL and the importance of empowering students to become the next generation of business leaders.

The Black Business Summit also was an opportunity for the university to share the mission and vision of the new Center for Engaged Learning, a resource designed to improve students' educational experience while creating opportunities with our community businesses. These opportunities include internships, apprenticeships, research projects, community service and more.

UofL and the Department of Procurement Services are committed to engaging with the local community to increase awareness of potential opportunities for supplier diversity and develop mutually beneficial relationships with all businesses.



## LaunchIt bootcamp graduates new cohort of entrepreneurs and innovators

The University of Louisville's LaunchIt entrepreneurial bootcamp has wrapped its spring 2022 session, graduating nine innovators and founders working to create cleaner fuels, improve internet access and more.

LaunchIt, through the UofL Office of Research and Innovation, is an intensive 10-week hybrid course focused on Steve Blank's lean launchpad methodology. The program includes coaching, mentoring and curriculum including lessons on customer discovery, product validation, marketing and other considerations when preparing for market launch.

The LaunchIt program is offered by UofL New Ventures, a group within the UofL Office of Research and Innovation that works to launch and grow startups and is supported in part by Amplify Louisville. LaunchIt coaches include the office's Entrepreneurs in Residence, knowledgeable founders with an in-depth understanding of launching and growing a business. The program also taps into the region's entrepreneurial ecosystem for its speaker lineup, bringing in real-world experience and insights of local innovators, entrepreneurs and venture capitalists.



## UofL Health expands family medicine services in south Louisville

UofL Health and the University of Louisville are increasing access to family medicine in south Louisville on the Mary & Elizabeth Hospital campus. On April 21, UofL Health announced that three primary care providers who are UofL School of Medicine faculty along with eight first-year resident physicians are opening a new office in Medical Plaza 1, Suite 306, 4402 Churchman Ave. The new office becomes a second location of UofL Physicians - Family Medicine at Cardinal Station on Central Avenue.



"This is a great opportunity to increase access and health services to an underserved population," said Jonathan Becker, chair of the Department of Family and Geriatric Medicine at the UofL School of Medicine. "The immediate benefit is to south Louisville, but long term, the impact is much larger as our future physicians share best practices developed here with other communities and the state."

## New program offers support for Black and Brown students interested in the medical profession

Lack of mentorship and exposure to the medical field is often a barrier for Black and Brown students interested in becoming doctors, but UofL is working to change that through the Porter Scholars in Medicine Program.

The program is aimed at UofL's Porter Scholars, a scholarship program for exceptional undergraduate students of color from Kentucky and neighboring Indiana counties. First-year students through seniors interested in health care go through an application process to take part in the niche Porter Scholars in Medicine Program, now in its second year.

Students receive mentoring and shadowing experiences from UofL physicians and are also able to participate in clinical experiences including simulation and ultrasound. They learn about the medical school application process and entrance exam, gain insight related to the history of medical disparities in underrepresented communities and connect with students in UofL's chapter of the Student National Medical Association. The program also hosts book clubs and discussions.

Brit Anderson, a physician in UofL's Department of Pediatrics, along with V. Faye Jones, associate vice president for health affairs - diversity initiatives at the Health Sciences Center and vice chair of inclusive excellence in the Department of Pediatrics, teamed up with Leondra Gully, advisor for the Woodford R. Porter Scholarship Program, to create the new initiative.

One future goal of the program is to engage students in off-campus experiences. Gully and her team are working to coordinate an opportunity this fall for Porter Scholars to see a live surgery through the Kentucky Science Center Pulse of Surgery program. Organizers also hope to explore ways to eventually secure funding for students who want to attend medical school.



## NEWS FOR THE COUNCIL ON

# POSTSECONDARY EDUCATION

JUNE 2022

### WKU ties for highest score in state on CPE diversity report

Western Kentucky University tied for the highest score among Kentucky's public, four-year institutions on the Council on Postsecondary Education's (CPE) annual diversity, equity, and inclusion (DEI) report.

The CPE measures all public universities and community colleges on a) the recruitment and enrollment of diverse students (Opportunity), b) success of diverse students (Success), and c) campus climate, inclusiveness, and cultural competency (Impact). All Kentucky public, four-year institutions are evaluated on a 36-point rubric based on quantitative data and a qualitative narrative outlining DEI initiatives. The CPE quantitative metrics include undergraduate and graduate enrollment, workforce diversity, retention rates, graduation rates, and the number of degrees completed by both underrepresented minorities and low-income students.

This year, WKU received its highest score to date, 30 out of 36. WKU and the University of Kentucky tied for the highest score.

WKU's **DEI Workgroup**, led by Chief Diversity Officers Mr. Michael P. Crowe Jr. and Dr. Molly Kerby, credits the University's commitment to the **ONE WKU Campaign** launched in Fall 2020. The report solidifies the successful, collaborative work among the divisions of Academic Affairs, Enrollment and Student Experience, and other support units like Human Resources, Equal Employment Opportunity, Philanthropy and Alumni Engagement, and Communications & Marketing.

"The team actively made strides over the last year in shifting the culture of WKU in terms of inclusive classroom and workplace practices among faculty and staff," Dr. Kerby said.

As a result of these efforts, WKU became the first university in Kentucky to receive approval from the CPE for the **Kentucky cultural competency credential certification** with the Center for Innovative Teaching and Learning's ONE WKU Inclusive Teaching Academy, led by Dr. Marko Dumančić and the **DEI Community of Practice Fellows**.



### WKU Forensics Team wins national championship

The **Western Kentucky University Forensics Team** won the National Forensics Association National Tournament sweepstakes championship hosted by Illinois State University April 15-18.

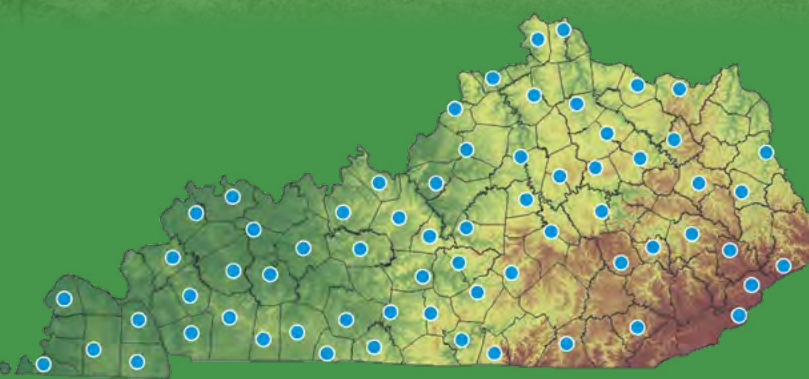
WKU sent 28 competitors with a total of 82 individual event (IE) entries and eight debate entries to the tournament. Of the 82 IE entries, WKU advanced 75 to the octofinal round; 51 entries advanced to the quarterfinal round; 33 entries advanced to the semifinal round; and 17 IE entries advanced to the final round of the tournament.

Six of WKU's debate entries advanced to the elimination rounds. Two advanced to the top 16, with senior Tess Welch advancing to the final round. This is the third straight year WKU has been represented in the top two of Lincoln-Douglas Debate.

Director of Debate Chad Meadows said: "Returning to the final round of Lincoln-Douglas debate for the third straight year is a testament to the program's consistency. Every member of the team pulled together to help create that opportunity."

Director of Forensics Ganer Newman said that, for many reasons, this was one of the most difficult national experiences the team has ever prepared for. Newman spoke of the logistical challenges leading up to the national tournament, never knowing if the national competition would pivot to an online format. "Our plan was to begin the season virtually, and then migrate the students to in-person regular season competitions to practice their in-person skills for nationals," he said. Unfortunately, every planned in-person competition eventually switched back to virtual. "As the dominoes fell, we realized we would not be able to provide these students with an in-person competitive experience prior to the national tournament, making it extremely difficult to prepare these students for what to expect when they stood up to speak in front of a crowd of 300 people."

Despite challenges, WKU was crowned the open sweepstakes champion for individual events. The University of Texas at Austin placed second, Eastern Michigan University placed third, Lewis and Clark College placed fourth, and Illinois State University placed fifth.



## Kentucky Mesonet at WKU dedicates Ballard County site

The Kentucky Mesonet at WKU dedicated a new station in Ballard County on May 10.

“The station represents a beautiful partnership between many people,” said Megan Schargorodski, Interim Director of the Kentucky Mesonet at WKU and Kentucky Climate Center. “This area of the state is significantly underserved with regard to weather data. Having these data points from Ballard County will aid the National Weather Service with forecasting and warnings, as well as provide a high-quality reference dataset for agriculture interests in the county. The addition of the Ballard County station moves the network toward its goal of one station per county.”

The Kentucky Mesonet at WKU, the Commonwealth’s official source for weather and climate data, has 76 stations in 71 counties. The Ballard County station is the fifth located in the Jackson Purchase region of western Kentucky.

The Mesonet stations collect real-time data on air temperature, precipitation, humidity, barometric pressure, solar radiation, wind speed and direction, soil moisture and soil temperature and transmit it to the Kentucky Mesonet at WKU Operations Center (housed at WKU’s Center for Research and Development) every five minutes, 24 hours per day, throughout the year. The data is available online at [www.kymesonet.org](http://www.kymesonet.org).



## WKU School of Media finishes 4th in Hearst overall competition

WKU’s School of Media finished fourth in the nation in the **Hearst Journalism Awards Program’s 2021-2022 Overall Intercollegiate Competition**.

WKU has finished in the top five nationally for 13 consecutive years and has placed in the top eight for 29 straight years with four overall championships in 2000, 2001, 2005 and 2018.

WKU won the Hearst Intercollegiate Photojournalism Competition for the sixth straight year and placed second in the Intercollegiate Multimedia Competition.

The top 10 in the 2021-2022 Overall Intercollegiate Competition are University of North Carolina at Chapel Hill, Arizona State University, University of Florida, WKU, Syracuse University, University of Missouri, Pennsylvania State University, University of Oregon, University of Southern California and University of Montana.

In this year’s final multimedia contest, WKU students won the **Team Multimedia Digital News/Enterprise Story Competition**.

Often called “The Pulitzers of college journalism,” the Hearst program consists of five writing, two photojournalism, one audio, two television and four multimedia competitions. The points earned by individual students in the monthly competitions determine each discipline’s Intercollegiate ranking. The winners are those schools with the highest accumulated student points in each category.

*WKU has won the Hearst photojournalism title 28 times in the past 33 years and has won the multimedia title eight times in 11 years. Since 1985, WKU students have won 15 Hearst individual national championships — photojournalism in 1987, 1988, 1991, 1992, 1996, 2004, 2005, 2006, 2008, 2010, 2014 and 2016; multimedia in 2015; writing in 1985; and radio news in 2006.*