

## DRAFT – FOR DISCUSSION PURPOSES ONLY

### Draft Revisions to Selected Components of the Kentucky Postsecondary Education Accountability System December 13, 2010

#### Reporting

Establishing an accountability system with regular reporting and updates to Council members and other key stakeholders to track progress on the selected state and institutional performance metrics will be critical to successful implementation of the 2011-15 Strategic Agenda. Highlighted below is a brief list of the types of reporting being considered.

- Web-based Dashboard
  - CPE staff members are designing a new Web-based dashboard to serve as a primary, up-to-date, source of data and information related to tracking progress on the 2011-15 Strategic Agenda.
  - The Web-based dashboard will have multiple dimensions
    - High-level dashboard with statewide performance metrics with targets.
    - Drillable at the institution and student demographic level on most of the selected state and institution level performance metrics.
    - Include leading and/or contextual data and information related to performance metrics or other mission specific data.
    - Information related to statewide strategies and implementations plans, as well as strategy assessment reports that will help gauge progress on strategy implementation.
  - Generated using Business Objects software and allows for Web-only displays, as well as options to print selected results.
- Annual Accountability Reports (hard copy or pdfs)
  - Executive Summary
    - High level two-page report will feature statewide performance metrics that track the key objectives outlined in the strategic agenda.
    - Intended to be very quick read, with little text and a visual display to easily identify whether or not and to what extent progress is being made on state performance metrics.
  - State Report
    - Review of state level performance metrics (introduction with one page per state indicator similar to current accountability report).
    - Likely to be similar in format to annual accountability reports CPE has produced in past with information on metric, performance, target, and strategies being used to achieve target.
  - Institution Reports
    - Review of institution performance metrics with ability to customize with mission specific (HB 1 1997) updates.
    - Intended to be a quick read (two-page), with similar format for each institution based upon select number of common institution performance metrics.
    - However, it intended that there be room to include institutional context related to progress on the select number of mission specific metrics that are aligned with statewide strategic agenda.

## DRAFT – FOR DISCUSSION PURPOSES ONLY

- Strategy Assessment Reports
  - CPE staff strategy managers will provide regular updates on progress being made on each strategy featured in the strategic agenda – most likely on a quarterly basis.
  - Provides an opportunity to report on the progress of performance metrics, while also highlighting status of action steps, new challenges or obstacles to overcome in completing strategy, etc.
  - Will be a one-page form that it can be viewed either on Web or in hard-copy.
- 2011-15 Strategic Agenda Partnership Agreement (concept still in progress; significantly revised from MOU concept)
  - Statewide partnership agreement between CPE and institutions
    - Signed jointly by CPE president, all institution presidents, as well as AIKCU president (similar to points of consensus for budget request).
    - Commitment to work collaboratively to achieve statewide performance targets.
  - Also include institutional specific attachment
    - Separate one-page that includes common institution specific negotiated performance targets linked to strategic agenda and other mission specific metrics.
    - Annual update provided in institution accountability report and reported on by institutional representatives to CPE at designated meetings during the year.

### Meetings

A number of meetings with Council members, institutional representatives, legislative and executive leadership, and other key stakeholders will be regularly scheduled during the year to provide progress updates on the strategic agenda.

- Council meetings
  - Regular updates provided by institutions on progress related to select number of common institution performance metrics aligned with strategic agenda, any additional mission specific metrics of interest, and other important institutional updates.
  - Each institution plus AIKCU would be assigned to formally report at a designated CPE meeting during the calendar year.
  - Updates will also be provided by CPE staff related to strategic agenda objective, statewide performance, strategies, etc.
  - Match Council meeting schedule to each focus area and allow one meeting for annual review.
    - February – College Readiness
    - April – Research, Economic, and Community Competitiveness
    - June – Student Success
    - September – Efficiency and Innovation
    - November – Annual Performance Review
- Legislative and executive meetings
  - Provide updates during regular and interim sessions to appropriate committees and staff members.
  - Provide more detailed information during one-on-one or small group sessions.
- Annual president-to-president meeting
  - Review statewide progress on performance metrics, as well improvements in institutional performance metrics negotiated between CPE and institutions.
  - Update on other mission or institution specific metrics or contextual performance information.

## DRAFT – FOR DISCUSSION PURPOSES ONLY

- Other potential meetings
  - Annual meeting between Governor, institution board chairs and/or presidents.
  - Annual updates on strategic agenda by CPE president to institutional boards.

### Incentives

The following incentive ideas are included as potential action steps to help move a number of the 2011-15 Strategic Agenda objectives forward.

- Biennial budget request process
  - Include performance funding into new funding approach for 2012-14.
  - Performance components to be worked on with institutions but possible metrics for improvement could include items such as degrees, graduation rates, transfers, closing achievement gaps, and/or research.
  - Other performance funds that have been looked at in recent years are associated with endowment match, regional stewardship, and capital renewal, among others.
- Tuition setting flexibility
  - Explore idea of providing greater tuition flexibility to institutions that demonstrate improvements in performance above base year.
  - Increased flexibility would likely be at the margin (e.g., a couple percentage points difference).
  - Possible performance metrics similar to those being looked at for state appropriation performance funding including degrees, graduation rates, transfers, and closing achievement gaps.
- Student incentives
  - Encourage the development of new and innovative financial incentives that reward students for reducing time to degree and keep unnecessary credit accrual to a minimum.
  - Possible links between state or campus-based financial incentive programs (e.g., financial aid, tuition commitments, etc.) and time to degree or degree and credential completion.
- New and innovative performance incentive systems
  - Work with public universities and KCTCS to develop new and innovative student and campus-based incentive systems.
  - Recognition or rewards for improved performance (e.g., new series of institutional performance awards, faculty and staff honors, etc.).